CH106: ALLIED HEALTH CHEMISTRY III



Mike Mackel and Stephanie Bryan
Portland Community College

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CH106: Allied Health Chemistry III

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Detailed Licensing

Licensing

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CHAPTER OVERVIEW

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1.3: Physical Properties of Alkenes

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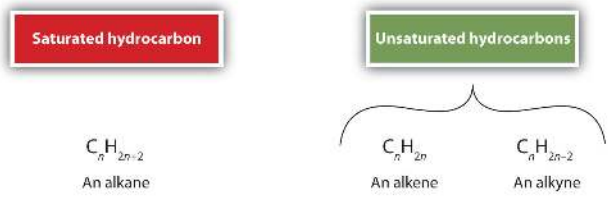
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1.1: Alkenes- Structures and Names

Learning Objectives

- To name alkenes given formulas and write formulas for alkenes given names.

As noted before, alkenes are hydrocarbons with carbon-to-carbon double bonds ($R_2C{=}CR_2$) and alkynes are hydrocarbons with carbon-to-carbon triple bonds (R–C≡C–R). Collectively, they are called unsaturated hydrocarbons because they have fewer hydrogen atoms than does an alkane with the same number of carbon atoms, as is indicated in the following general formulas:

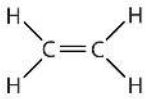


Some representative alkenes—their names, structures, and physical properties—are given in Table **1.1.1**.

Table **1.1.1**: Physical Properties f Some Selected Alkenes

IUPAC Name	Molecular Formula	Condensed Structural Formula	Melting Point (°C)	Boiling Point (°C)
ethene	C_2H_4	$CH_2{=}CH_2$	–169	–104
propene	C_3H_6	$CH_2{=}CHCH_3$	–185	–47
1-butene	C_4H_8	$CH_2{=}CHCH_2CH_3$	–185	–6
1-pentene	C_5H_{10}	$CH_2{=}CH(CH_2)_2CH_3$	–138	30
1-hexene	C_6H_{12}	$CH_2{=}CH(CH_2)_3CH_3$	–140	63
1-heptene	C_7H_{14}	$CH_2{=}CH(CH_2)_4CH_3$	–119	94
1-octene	C_8H_{16}	$CH_2{=}CH(CH_2)_5CH_3$	–102	121

We used only condensed structural formulas in Table **1.1.1**. Thus, $CH_2{=}CH_2$ stands for



The double bond is shared by the two carbons and does not involve the hydrogen atoms, although the condensed formula does not make this point obvious. Note that the molecular formula for ethene is C_2H_4, whereas that for ethane is C_2H_6.

The first two alkenes in Table **1.1.1**, ethene and propene, are most often called by their common names—ethylene and propylene, respectively (Figure **1.1.1**). Ethylene is a major commercial chemical. The US chemical industry produces about 25 billion kilograms of ethylene annually, more than any other synthetic organic chemical. More than half of this ethylene goes into the manufacture of polyethylene, one of the most familiar plastics. Propylene is also an important industrial chemical. It is converted to plastics, isopropyl alcohol, and a variety of other products.

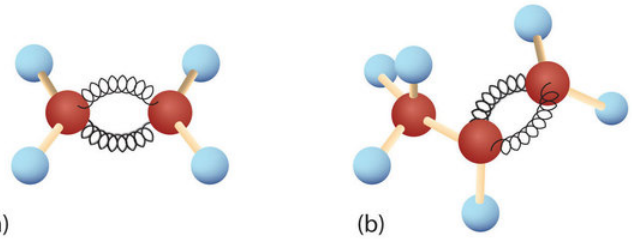
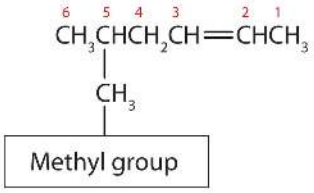


Figure **1.1.1**: Ethene and Propene. The ball-and-spring models of ethene/ethylene (a) and propene/propylene (b) show their respective shapes, especially bond angles.

Although there is only one alkene with the formula C_2H_4 (ethene) and only one with the formula C_3H_6 (propene), there are several alkenes with the formula C_4H_8.

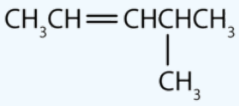
Here are some basic rules for naming alkenes from the International Union of Pure and Applied Chemistry (IUPAC):

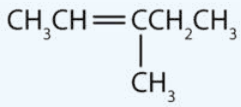
1. The longest chain of carbon atoms *containing the double bond* is considered the parent chain. It is named using the same stem as the alkane having the same number of carbon atoms but ends in *-ene* to identify it as an alkene. Thus the compound CH_2=$CHCH_3$ is *propene*.
2. If there are four or more carbon atoms in a chain, we must indicate the position of the double bond. The carbons atoms are numbered so that the first of the two that are doubly bonded is given the lower of the two possible numbers.The compound CH_3CH=$CHCH_2CH_3$, for example, has the double bond between the second and third carbon atoms. Its name is 2-pentene (not 3-pentene).
3. Substituent groups are named as with alkanes, and their position is indicated by a number. Thus, the structure below is 5-methyl-2-hexene. Note that the numbering of the parent chain is always done in such a way as to give the double bond the lowest number, even if that causes a substituent to have a higher number. *The double bond always has priority in numbering.*



Example 1.1.1

Name each compound.

a. 

b. 

Solution

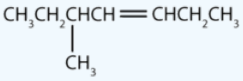
a. The longest chain containing the double bond has five carbon atoms, so the compound is a *pentene* (rule 1). To give the first carbon atom of the double bond the lowest number (rule 2), we number from the left, so the compound is a 2-pentene. There is a methyl group on the fourth carbon atom (rule 3), so the compound's name is 4-methyl-2-pentene.

b. The longest chain containing the double bond has five carbon atoms, so the parent compound is a *pentene* (rule 1). To give the first carbon atom of the double bond the lowest number (rule 2), we number from the left, so the compound is a 2-pentene. There is a methyl group on the third carbon atom (rule 3), so the compound's name is 3-methyl-2-pentene.

Exercise 1.1.1

Name each compound.

a. $CH_3CH_2CH_2CH_2CH_2CH{=}CHCH_3$

b. 

Answer

Just as there are cycloalkanes, there are *cycloalkenes*. These compounds are named like alkenes, but with the prefix *cyclo-* attached to the beginning of the parent alkene name.

Example 1.1.2

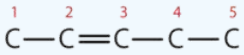
Draw the structure for each compound.

a. 3-methyl-2-pentene
b. cyclohexene

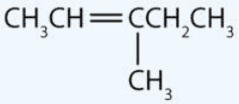
Solution

a.

First write the parent chain of five carbon atoms: C–C–C–C–C. Then add the double bond between the second and third carbon atoms:

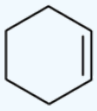


Now place the methyl group on the third carbon atom and add enough hydrogen atoms to give each carbon atom a total of four bonds.



b

First, consider what each of the three parts of the name means. *Cyclo* means a ring compound, *hex* means 6 carbon atoms, and *-ene* means a double bond.



Exercise 1.1.2

Draw the structure for each compound.

a. 2-ethyl-1-hexene
b. cyclopentene

1.1.1 Key Takeaway

- Alkenes are hydrocarbons with a carbon-to-carbon double bond.

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1.2: Cis-Trans Isomers (Geometric Isomers)

Learning Objectives

- Recognize that alkenes that can exist as cis-trans isomers.
- Classify isomers as cis or trans.
- Draw structures for cis-trans isomers given their names.

There is free rotation about the carbon-to-carbon single bonds (C–C) in alkanes. In contrast, the structure of alkenes requires that the carbon atoms of a double bond and the two atoms bonded to each carbon atom all lie in a single plane, and that each doubly bonded carbon atom lies in the center of a triangle. This part of the molecule's structure is rigid; rotation about doubly bonded carbon atoms is *not* possible without rupturing the bond. Look at the two chlorinated hydrocarbons in Figure **1.2.1**.

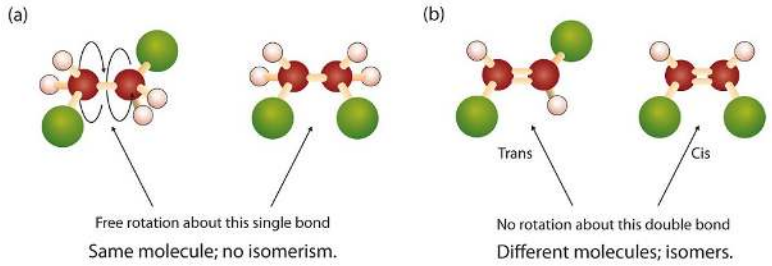


Figure **1.2.1**: Rotation about Bonds. In 1,2-dichloroethane (a), free rotation about the C–C bond allows the two structures to be interconverted by a twist of one end relative to the other. In 1,2-dichloroethene (b), restricted rotation about the double bond means that the relative positions of substituent groups above or below the double bond are significant.

In 1,2-dichloroethane (part (a) of Figure **1.2.1**), there is free rotation about the C–C bond. The two models shown represent exactly the same molecule; they are *not* isomers. You can draw structural formulas that look different, but if you bear in mind the possibility of this free rotation about single bonds, you should recognize that these two structures represent the same molecule:



In 1,2-dichloroethene (Figure **1.2.1***b*), however, restricted rotation about the double bond means that the relative positions of substituent groups above or below the double bond become significant. This leads to a special kind of isomerism. The isomer in which the two chlorine (Cl) atoms lie on the same side of the molecule is called the cis isomer (Latin *cis*, meaning "on this side") and is named cis-1,2-dichloroethene. The isomer with the two Cl atoms on opposite sides of the molecule is the trans isomer (Latin *trans*, meaning "across") and is named *trans*-1,2-dichloroethene. These two compounds are cis-trans isomers (or geometric isomers), compounds that have different configurations (groups permanently in different places in space) because of the presence of a rigid structure in their molecule.

Consider the alkene with the condensed structural formula $CH_3CH{=}CHCH_3$. We could name it 2-butene, but there are actually two such compounds; the double bond results in cis-trans isomerism (Figure **1.2.2**).

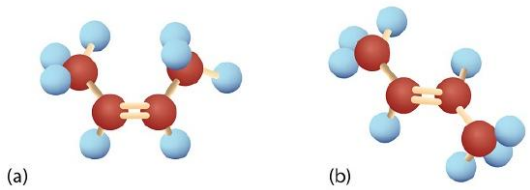


Figure **1.2.2**: Ball-and-Spring Models of (a) Cis-2-Butene and (b) Trans-2-Butene. Cis-trans isomers have different physical, chemical, and physiological properties.

Cis-2-butene has both methyl groups on the same side of the molecule. *Trans*-2-butene has the methyl groups on opposite sides of the molecule. Their structural formulas are as follows:



***Figure* 1.2.3**: *Models of (left) Cis-2-Butene and (right) Trans-2-Butene.*

Note, however, that the presence of a double bond does **not** necessarily lead to cis-trans isomerism (Figure **1.2.4**). We can draw two *seemingly* different propenes:



***Figure* 1.2.4**: *Different views of the propene molecule (flip vertically). These are not isomers.*

However, these two structures are not really different from each other. If you could pick up either molecule from the page and flip it over top to bottom, you would see that the two formulas are identical. Thus there are two requirements for cis-trans isomerism:

1. Rotation must be restricted in the molecule.
2. There must be **two nonidentical groups** on **each** doubly bonded carbon atom.

In these propene structures, the second requirement for cis-trans isomerism is not fulfilled. One of the doubly bonded carbon atoms does have two different groups attached, but the rules require that *both* carbon atoms have two different groups. In general, the following statements hold true in cis-trans isomerism:

- Alkenes with a $C{=}CH_2$ unit do not exist as cis-trans isomers.
- Alkenes with a $C{=}CR_2$ unit, where the two R groups are the same, do not exist as cis-trans isomers.
- Alkenes of the type R–CH=CH–R can exist as cis and trans isomers; cis if the two R groups are on the same side of the carbon-to-carbon double bond, and trans if the two R groups are on opposite sides of the carbon-to-carbon double bond.

Advanced Note: E/Z Isomerization

If a molecule has a C=C bond with one non-hydrogen group attached to each of the carbons, cis/trans nomenclature descried above is enough to describe it. However, if you have three different groups (or four), then the cis/trans approach is insufficient to describe the different isomers, since we do not know which two of the three groups are being described. For example, if you have a C=C bond, with a methyl group and a bromine on one carbon , and an ethyl group on the other, it is neither trans nor cis, since it is not clear whether the ethyl group is trans to the bromine or the methyl. This is addressed with a more advanced E,Z Convention [E] [E] [E] discussed elsewhere.

Cis-trans isomerism also occurs in cyclic compounds. In ring structures, groups are unable to rotate about any of the ring carbon–carbon bonds. Therefore, groups can be either on the same side of the ring (cis) or on opposite sides of the ring (trans). For our purposes here, we represent all cycloalkanes as planar structures, and we indicate the positions of the groups, either above or below the plane of the ring.



Example 1.2.1

Which compounds can exist as cis-trans (geometric) isomers? Draw them.

1. CHCl=CHBr
2. CH_2=$CBrCH_3$
3. $(CH_3)_2C$=$CHCH_2CH_3$
4. CH_3CH=$CHCH_2CH_3$

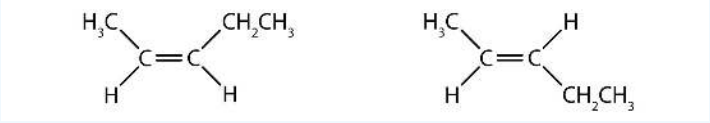
Solution

All four structures have a double bond and thus meet rule 1 for cis-trans isomerism.

1. This compound meets rule 2; it has two nonidentical groups on *each* carbon atom (H and Cl on one and H and Br on the other). It exists as both cis and trans isomers:

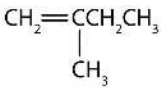


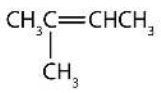
2. This compound has two hydrogen atoms on one of its doubly bonded carbon atoms; it fails rule 2 and does not exist as cis and trans isomers.
3. This compound has two methyl (CH_3) groups on one of its doubly bonded carbon atoms. It fails rule 2 and does not exist as cis and trans isomers.
4. This compound meets rule 2; it has two nonidentical groups on *each* carbon atom and exists as both cis and trans isomers:



Exercise 1.2.1

Which compounds can exist as cis-trans isomers? Draw them.

a. CH_2=$CHCH_2CH_2CH_3$
b. CH_3CH=$CHCH_2CH_3$
c. CH_3CH_2CH=$CHCH_2CH_3$
d. 

e. 

1.2.1 Key Takeaway

- Cis-trans (geometric) isomerism exists when there is restricted rotation in a molecule and there are two nonidentical groups on *each* doubly bonded carbon atom.

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1.3: Physical Properties of Alkenes

Learning Objectives

- To identify the physical properties of alkenes and describe trends in these properties.

The physical properties of alkenes are similar to those of the alkanes. The table at the start of the chapter shows that the boiling points of straight-chain alkenes increase with increasing molar mass, just as with alkanes. For molecules with the same number of carbon atoms and the same general shape, the boiling points usually differ only slightly, just as we would expect for substances whose molar mass differs by only 2 u (equivalent to two hydrogen atoms). Like other hydrocarbons, the alkenes are insoluble in water but soluble in organic solvents.

Looking Closer: Environmental Note

Alkenes occur widely in nature. Ripening fruits and vegetables give off ethylene, which triggers further ripening. Fruit processors artificially introduce ethylene to hasten the ripening process; exposure to as little as 0.1 mg of ethylene for 24 h can ripen 1 kg of tomatoes. Unfortunately, this process does not exactly duplicate the ripening process, and tomatoes picked green and treated this way don't taste much like vine-ripened tomatoes fresh from the garden.



The bright red color of tomatoes is due to lycopene—a polyene.

Other alkenes that occur in nature include 1-octene, a constituent of lemon oil, and octadecene ($C_{18}H_{36}$) found in fish liver. Dienes (two double bonds) and polyenes (three or more double bonds) are also common. Butadiene (CH_2=CHCH=CH_2) is found in coffee. Lycopene and the carotenes are isomeric polyenes ($C_{40}H_{56}$) that give the attractive red, orange, and yellow colors to watermelons, tomatoes, carrots, and other fruits and vegetables. Vitamin A, essential to good vision, is derived from a carotene. The world would be a much less colorful place without alkenes.

1.3.1 Key Takeaway

- The physical properties of alkenes are much like those of the alkanes: their boiling points increase with increasing molar mass, and they are insoluble in water.

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1.4: Chemical Properties of Alkenes

Learning Objectives

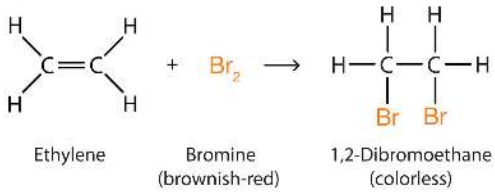
- To write equations for the addition reactions of alkenes with hydrogen, halogens, and water

Alkenes are valued mainly for addition reactions, in which one of the bonds in the double bond is broken. Each of the carbon atoms in the bond can then attach another atom or group while remaining joined to each other by a single bond. Perhaps the simplest addition reaction is hydrogenation—a reaction with hydrogen (H_2) in the presence of a catalyst such as nickel (Ni) or platinum (Pt).

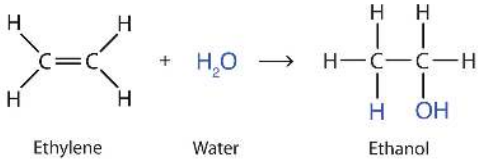


The product is an alkane having the same carbon skeleton as the alkene.

Alkenes also readily undergo halogenation—the addition of halogens. Indeed, the reaction with bromine (Br_2) can be used to test for alkenes. Bromine solutions are brownish red. When we add a Br_2 solution to an alkene, the color of the solution disappears because the alkene reacts with the bromine:



Another important addition reaction is that between an alkene and water to form an alcohol. This reaction, called hydration, requires a catalyst—usually a strong acid, such as sulfuric acid (H_2SO_4):



The hydration reaction is discussed later, where we deal with this reaction in the synthesis of alcohols.

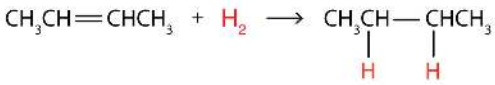
Example 1.4.1

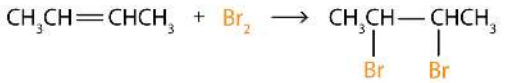
Write the equation for the reaction between $CH_3CH{=}CHCH_3$ and each substance.

a. H_2 (Ni catalyst)
b. Br_2
c. H_2O (H_2SO_4 catalyst)

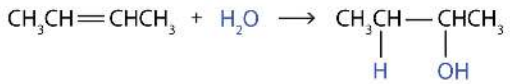
Solution

In each reaction, the reagent adds across the double bond.

a. 

b. 

or $CH_3CHBrCHBrCH_3$

c. 

or $CH_3CH_2CHOHCH_3$

? Exercise 1.4.1

Write the equation for each reaction.

a. $CH_3CH_2CH{=}CH_2$ with H_2 (Ni catalyst)
b. $CH_3CH{=}CH_2$ with Cl_2
c. $CH_3CH_2CH{=}CHCH_2CH_3$ with H_2O (H_2SO_4 catalyst)

1.4.1 Key Takeaway

- Alkenes undergo addition reactions, adding such substances as hydrogen, bromine, and water across the carbon-to-carbon double bond.

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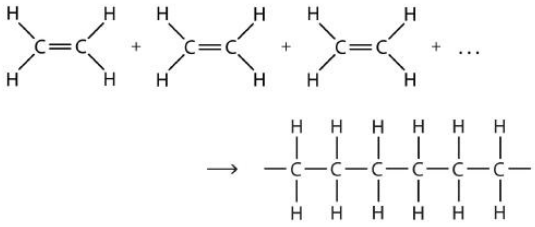
1.5: Polymers

Learning Objectives

- To draw structures for monomers that can undergo addition polymerization and for four-monomer-unit sections of an addition polymer.

The most important commercial reactions of alkenes are *polymerizations*, reactions in which small molecules, referred to in general as monomers (from the Greek *monos*, meaning “one,” and *meros*, meaning “parts”), are assembled into giant molecules referred to as polymers (from the Greek *poly*, meaning “many,” and *meros*, meaning “parts”). A polymer is as different from its monomer as a long strand of spaghetti is from a tiny speck of flour. For example, polyethylene, the familiar waxy material used to make plastic bags, is made from the monomer ethylene—a gas.

There are two general types of polymerization reactions: addition polymerization and condensation polymerization. In addition polymerization, the monomers add to one another in such a way that the polymer contains all the atoms of the starting monomers. Ethylene molecules are joined together in long chains. The polymerization can be represented by the reaction of a few monomer units:



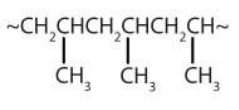
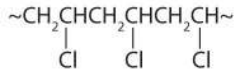
The bond lines extending at the ends in the formula of the product indicate that the structure extends for many units in each direction. Notice that all the atoms—two carbon atoms and four hydrogen atoms—of each monomer molecule are incorporated into the polymer structure. Because displays such as the one above are cumbersome, the polymerization is often abbreviated as follows:

$$n\mathrm{CH_2{=}CH_2} \rightarrow \text{-}\mathrm{CH_2CH_2}\text{-}_n$$

Many natural materials—such as proteins, cellulose and starch, and complex silicate minerals—are polymers. Artificial fibers, films, plastics, semisolid resins, and rubbers are also polymers. More than half the compounds produced by the chemical industry are synthetic polymers.

Some common addition polymers are listed in Table **1.5.1**. Note that all the monomers have carbon-to-carbon double bonds. Many polymers are mundane (e.g., plastic bags, food wrap, toys, and tableware), but there are also polymers that conduct electricity, have amazing adhesive properties, or are stronger than steel but much lighter in weight.

Table **1.5.1***: Some Addition Polymers*

Monomer	Polymer	Polymer Name	Some Uses
$CH_2=CH_2$	$\sim CH_2CH_2CH_2CH_2CH_2CH_2\sim$	polyethylene	plastic bags, bottles, toys, electrical insulation
$CH_2=CHCH_3$		polypropylene	carpeting, bottles, luggage, exercise clothing
$CH_2=CHCl$		polyvinyl chloride	bags for intravenous solutions, pipes, tubing, floor coverings
$CF_2=CF_2$	$\sim CF_2CF_2CF_2CF_2CF_2CF_2\sim$	polytetrafluoroethylene	nonstick coatings, electrical insulation

Medical Uses of Polymers

An interesting use of polymers is the replacement of diseased, worn out, or missing parts in the body. For example, about a 250,000 hip joints and 500,000 knees are replaced in US hospitals each year. The artificial ball-and-socket hip joints are made of a special steel (the ball) and plastic (the socket). People crippled by arthritis or injuries gain freedom of movement and relief from pain. Patients with heart and circulatory problems can be helped by replacing worn out heart valves with parts based on synthetic polymers. These are only a few of the many biomedical uses of polymers.

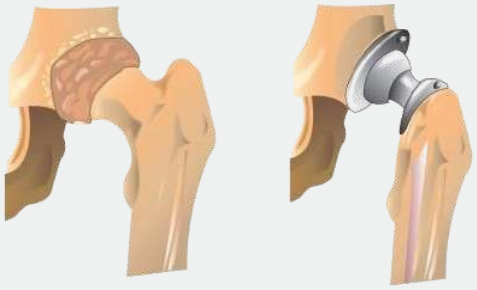


Figure **1.5.1**: Hip Joint Replacement. Synthetic polymers are an important part of a hip joint replacement. The hip is much like a ball-and-socket joint, and total hip replacements mimic this with a metal ball that fits in a plastic cup.

1.5.1 Key Takeaway

- Molecules having carbon-to-carbon double bonds can undergo addition polymerization.

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1.6: Alkynes

Learning Objectives

- Describe the general physical and chemical properties of alkynes.
- Name alkynes given formulas and write formulas for alkynes given names.

The simplest alkyne—a hydrocarbon with carbon-to-carbon triple bond—has the molecular formula C_2H_2 and is known by its common name—acetylene (Figure **1.6.1**). Its structure is H–C≡C–H.

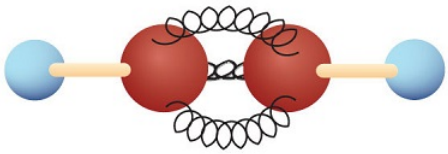


Figure **1.6.1**: Ball-and-Spring Model of Acetylene. Acetylene (ethyne) is the simplest member of the alkyne family.

Acetylene is used in oxyacetylene torches for cutting and welding metals. The flame from such a torch can be very hot. Most acetylene, however, is converted to chemical intermediates that are used to make vinyl and acrylic plastics, fibers, resins, and a variety of other products.

Alkynes are similar to alkenes in both physical and chemical properties. For example, alkynes undergo many of the typical addition reactions of alkenes. The International Union of Pure and Applied Chemistry (IUPAC) names for alkynes parallel those of alkenes, except that the family ending is *-yne* rather than *-ene*. The IUPAC name for acetylene is ethyne. The names of other alkynes are illustrated in the following exercises.

1.6.1 Key Takeaway

- Alkynes are hydrocarbons with carbon-to-carbon triple bonds and properties much like those of alkenes.

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CHAPTER OVERVIEW

2: Aromatics and Alcohols

2.1: Aromatic Compounds- Benzene
2.2: Structure and Nomenclature of Aromatic Compounds
2.3: Functional Groups
2.4: Alcohols - Nomenclature and Classification
2.5: Physical Properties of Alcohols
2.6: Reactions that Form Alcohols
2.7: Reactions of Alcohols
2.8: Glycols and Glycerol
2.9: Phenols

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2.1: Aromatic Compounds- Benzene

Learning Objectives

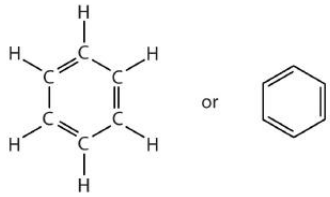
- To describe the bonding in benzene and the way typical reactions of benzene differ from those of the alkenes.

Next we consider a class of hydrocarbons with molecular formulas like those of unsaturated hydrocarbons, but which, unlike the alkenes, do not readily undergo addition reactions. These compounds comprise a distinct class, called aromatic hydrocarbons, with unique structures and properties. We start with the simplest of these compounds. Benzene (C_6H_6) is of great commercial importance, but it also has noteworthy health effects.

The formula C_6H_6 seems to indicate that benzene has a high degree of unsaturation. (Hexane, the saturated hydrocarbon with six carbon atoms has the formula C_6H_{14}—eight more hydrogen atoms than benzene.) However, despite the seeming low level of saturation, benzene is rather unreactive. It does not, for example, react readily with bromine, which, is a test for unsaturation.

Benzene is a liquid that smells like gasoline, boils at 80°C, and freezes at 5.5°C. It is the aromatic hydrocarbon produced in the largest volume. It was formerly used to decaffeinate coffee and was a significant component of many consumer products, such as paint strippers, rubber cements, and home dry-cleaning spot removers. It was removed from many product formulations in the 1950s, but others continued to use benzene in products until the 1970s when it was associated with leukemia deaths. Benzene is still important in industry as a precursor in the production of plastics (such as Styrofoam and nylon), drugs, detergents, synthetic rubber, pesticides, and dyes. It is used as a solvent for such things as cleaning and maintaining printing equipment and for adhesives such as those used to attach soles to shoes. Benzene is a natural constituent of petroleum products, but because it is a known carcinogen, its use as an additive in gasoline is now limited.

To explain the surprising properties of benzene, chemists suppose the molecule has a cyclic, hexagonal, planar structure of six carbon atoms with one hydrogen atom bonded to each. We can write a structure with alternate single and double bonds, either as a full structural formula or as a line-angle formula:



However, these structures do not explain the unique properties of benzene. Furthermore, experimental evidence indicates that all the carbon-to-carbon bonds in benzene are equivalent, and the molecule is unusually stable. Chemists often represent benzene as a hexagon with an inscribed circle:



The inner circle indicates that the valence electrons are shared equally by all six carbon atoms (that is, the electrons are *delocalized*, or spread out, over all the carbon atoms). It is understood that each corner of the hexagon is occupied by one carbon atom, and each carbon atom has one hydrogen atom attached to it. Any other atom or groups of atoms substituted for a hydrogen atom must be shown bonded to a particular corner of the hexagon. We use this modern symbolism, but many scientists still use the earlier structure with alternate double and single bonds.

To Your Health: Benzene and Us

Most of the benzene used commercially comes from petroleum. It is employed as a starting material for the production of detergents, drugs, dyes, insecticides, and plastics. Once widely used as an organic solvent, benzene is now known to have both short- and long-term toxic effects. The inhalation of large concentrations can cause nausea and even death due to respiratory or heart failure, while repeated exposure leads to a progressive disease in which the ability of the bone marrow to make new blood cells is eventually destroyed. This results in a condition called *aplastic anemia*, in which there is a decrease in the numbers of both the red and white blood cells.

2.1.1 Key Takeaway

- Aromatic hydrocarbons appear to be unsaturated, but they have a special type of bonding and do not undergo addition reactions.

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2.2: Structure and Nomenclature of Aromatic Compounds

Learning Objectives

- Recognize aromatic compounds from structural formulas.
- Name aromatic compounds given formulas.
- Write formulas for aromatic compounds given their names.

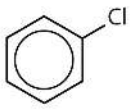
Historically, benzene-like substances were called aromatic hydrocarbons because they had distinctive aromas. Today, an aromatic compound is any compound that contains a benzene ring or has certain benzene-like properties (but not necessarily a strong aroma). You can recognize the aromatic compounds in this text by the presence of one or more benzene rings in their structure. Some representative aromatic compounds and their uses are listed in Table **2.2.1**, where the benzene ring is represented as C_6H_5.

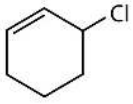
Table **2.2.1**: Some Representative Aromatic Compounds

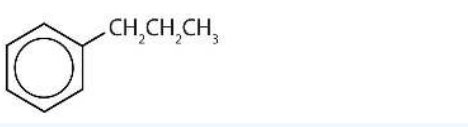
Name	Structure	Typical Uses
aniline	$C_6H_5–NH_2$	starting material for the synthesis of dyes, drugs, resins, varnishes, perfumes; solvent; vulcanizing rubber
benzoic acid	$C_6H_5–COOH$	food preservative; starting material for the synthesis of dyes and other organic compounds; curing of tobacco
bromobenzene	$C_6H_5–Br$	starting material for the synthesis of many other aromatic compounds; solvent; motor oil additive
nitrobenzene	$C_6H_5–NO_2$	starting material for the synthesis of aniline; solvent for cellulose nitrate; in soaps and shoe polish
phenol	$C_6H_5–OH$	disinfectant; starting material for the synthesis of resins, drugs, and other organic compounds
toluene	$C_6H_5–CH_3$	solvent; gasoline octane booster; starting material for the synthesis of benzoic acid, benzaldehyde, and many other organic compounds

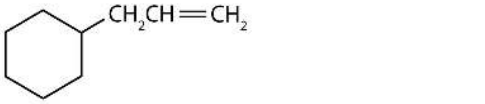
Example 2.2.1

Which compounds are aromatic?

1. 

2. 

3. 

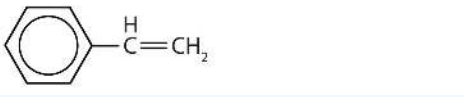
4. 

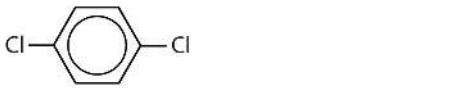
Solution

1. The compound has a benzene ring (with a chlorine atom substituted for one of the hydrogen atoms); it is aromatic.
2. The compound is cyclic, but it does not have a benzene ring; it is not aromatic.
3. The compound has a benzene ring (with a propyl group substituted for one of the hydrogen atoms); it is aromatic.
4. The compound is cyclic, but it does not have a benzene ring; it is not aromatic.

? Exercise 2.2.1

Which compounds are aromatic?

1. 

2. 

3. 

In the International Union of Pure and Applied Chemistry (IUPAC) system, aromatic hydrocarbons are named as derivatives of benzene. Figure **2.2.1** shows four examples. In these structures, it is immaterial whether the single substituent is written at the top, side, or bottom of the ring: a hexagon is symmetrical, and therefore all positions are equivalent.

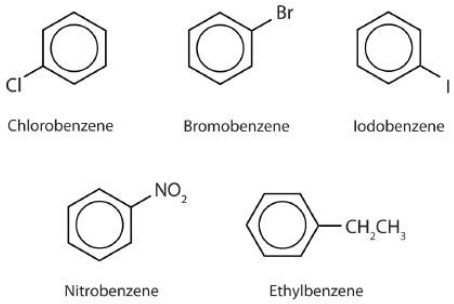


Figure **2.2.1**: Some Benzene Derivatives. These compounds are named in the usual way with the group that replaces a hydrogen atom named as a substituent group: Cl as chloro, Br as bromo, I as iodo, NO_2 as nitro, and CH_3CH_2 as ethyl.

Although some compounds are referred to exclusively by IUPAC names, some are more frequently denoted by common names, as is indicated in Table **2.2.1**.



When there is more than one substituent, the corners of the hexagon are no longer equivalent, so we must designate the relative positions. There are three possible disubstituted benzenes, and we can use numbers to distinguish them (Figure **2.2.2**). We start numbering at the carbon atom to which one of the groups is attached and count toward the carbon atom that bears the other substituent group by the shortest path.

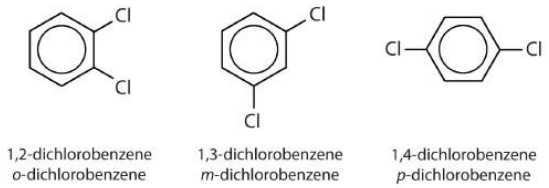
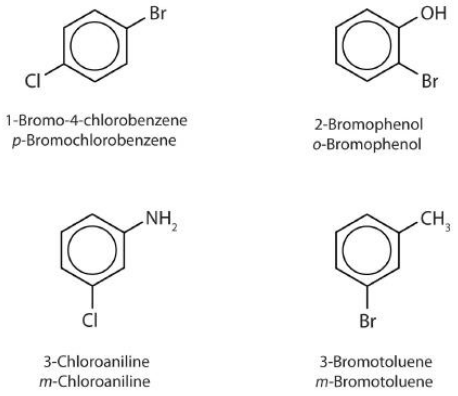


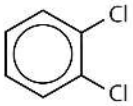
Figure **2.2.2**: The Three Isomeric Dichlorobenzenes

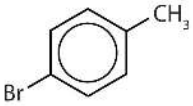
In Figure **2.2.2**, common names are also used: the prefix *ortho* (*o*-) for 1,2-disubstitution, *meta* (*m*-) for 1,3-disubstitution, and *para* (*p*-) for 1,4-disubstitution. The substituent names are listed in alphabetical order. The first substituent is given the lowest number. When a common name is used, the carbon atom that bears the group responsible for the name is given the number 1:

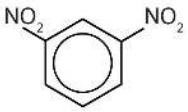


Example 2.2.2

Name each compound using both the common name and the IUPAC name.

1. 

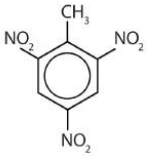
2. 

3. 

Solution

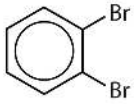
1. The benzene ring has two chlorine atoms (dichloro) in the first and second positions. The compound is *o*-dichlorobenzene or 1,2-dichlorobenzene.
2. The benzene ring has a methyl (CH_3) group. The compound is therefore named as a derivative of toluene. The bromine atom is on the fourth carbon atom, counting from the methyl group. The compound is *p*-bromotoluene or 4-bromotoluene.
3. The benzene ring has two nitro (NO_2) groups in the first and third positions. It is *m*-dinitrobenzene or 1,3-dinitrobenzene.

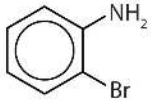
4. Note: The nitro (NO_2) group is a common substituent in aromatic compounds. Many nitro compounds are explosive, most notably 2,4,6-trinitrotoluene (TNT).

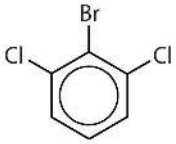


? Exercise 2.2.2

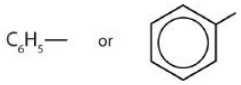
Name each compound using the IUPAC name.

1. 

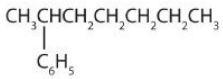
2. 

3. 

- Sometimes an aromatic group is found as a substituent bonded to a nonaromatic entity or to another aromatic ring. The group of atoms remaining when a hydrogen atom is removed from an aromatic compound is called an aryl group. The most common aryl group is derived from benzene (C_6H_6) by removing one hydrogen atom (C_6H_5) and is called a *phenyl* group, from *pheno*, an old name for benzene.



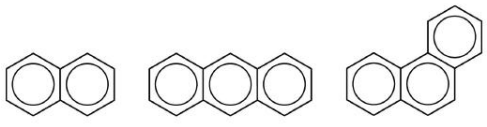
Phenyl group



2-Phenylheptane

2.2.1 Polycyclic Aromatic Hydrocarbons

Some common aromatic hydrocarbons consist of fused benzene rings—rings that share a common side. These compounds are called polycyclic aromatic hydrocarbons (PAHs).



Naphthalene Anthracene Phenanthrene

The three examples shown here are colorless, crystalline solids generally obtained from coal tar. Naphthalene has a pungent odor and is used in mothballs. Anthracene is used in the manufacture of certain dyes. Steroids, a large group of naturally occurring substances, contain the phenanthrene structure.

2.2.2 To Your Health: Polycyclic Aromatic Hydrocarbons and Cancer

The intense heating required for distilling coal tar results in the formation of PAHs. For many years, it has been known that workers in coal-tar refineries are susceptible to a type of skin cancer known as tar cancer. Investigations have shown that a number of PAHs

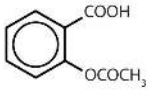
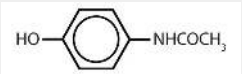
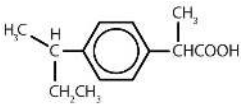
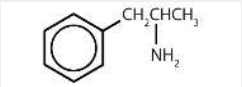
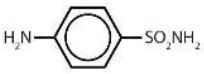
are carcinogens. One of the most active carcinogenic compounds, benzopyrene, occurs in coal tar and has also been isolated from cigarette smoke, automobile exhaust gases, and charcoal-broiled steaks. It is estimated that more than 1,000 t of benzopyrene are emitted into the air over the United States each year. Only a few milligrams of benzopyrene per kilogram of body weight are required to induce cancer in experimental animals.

2.2.3 Biologically Important Compounds with Benzene Rings

Substances containing the benzene ring are common in both animals and plants, although they are more abundant in the latter. Plants can synthesize the benzene ring from carbon dioxide, water, and inorganic materials. Animals cannot synthesize it, but they are dependent on certain aromatic compounds for survival and therefore must obtain them from food. Phenylalanine, tyrosine, and tryptophan (essential amino acids) and vitamins K, B_2 (riboflavin), and B_9 (folic acid) all contain the benzene ring. Many important drugs, a few of which are shown in Table **2.2.2**, also feature a benzene ring.

> So far we have studied only aromatic compounds with carbon-containing rings. However, many cyclic compounds have an element other than carbon atoms in the ring. These compounds, called *heterocyclic compounds*, are discussed later. Some of these are heterocyclic aromatic compounds.

Table **2.2.2**: Some Drugs That Contain a Benzene Ring

Name	Structure
aspirin	
acetaminophen	
ibuprofen	
amphetamine	
sulfanilamide	

2.2.4 Key Takeaway

- Aromatic compounds contain a benzene ring or have certain benzene-like properties; for our purposes, you can recognize aromatic compounds by the presence of one or more benzene rings in their structure.

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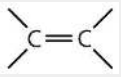
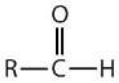
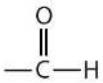
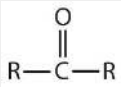
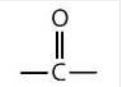
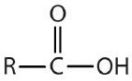
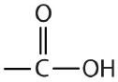
2.3: Functional Groups

Learning Objectives

- to describe functional groups and explain why they are useful in the study of organic chemistry.

Previously, we considered several kinds of hydrocarbons. Now we examine some of the many organic compounds that contain functional groups. We first introduced the idea of the functional group, a specific structural arrangement of atoms or bonds that imparts a characteristic chemical reactivity to the molecule. If you understand the behavior of a particular functional group, you will know a great deal about the general properties of that class of compounds. In this chapter, we make a brief yet systematic study of some of organic compound families. Each family is based on a common, simple functional group that contains an oxygen atom or a nitrogen atom. Some common functional groups are listed in Table **2.3.1**.

Table **2.3.1**: Selected Organic Functional Groups

Name of Family	General Formula	Functional Group	Suffix*
alkane	RH	none	*-ane*
alkene	$R_2C{=}CR_2$		*-ene*
alkyne	RC≡CR	–C≡C–	*-yne*
alcohol	ROH	–OH	*-ol*
thiol	RSH	–SH	*-thiol*
ether	ROR	–O–	ether
aldehyde			*-al*
ketone			*-one*
carboxylic acid			*-oic acid*

*Ethers do not have a suffix in their common name; all ethers end with the word *ether*.

Summary

The functional group, a structural arrangement of atoms and/or bonds, is largely responsible for the properties of organic compound families.

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2.4: Alcohols - Nomenclature and Classification

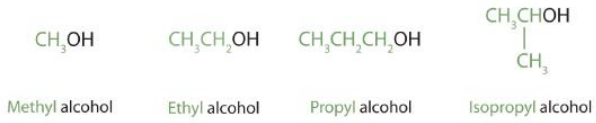
Learning Objectives

- Identify the general structure for an alcohol.
- Identify the structural feature that classifies alcohols as primary, secondary, or tertiary.
- Name alcohols with both common names and IUPAC names

An alcohol is an organic compound with a hydroxyl (OH) functional group on an aliphatic carbon atom. Because OH is the functional group of all alcohols, we often represent alcohols by the general formula ROH, where R is an alkyl group. Alcohols are common in nature. Most people are familiar with ethyl alcohol (ethanol), the active ingredient in alcoholic beverages, but this compound is only one of a family of organic compounds known as alcohols. The family also includes such familiar substances as cholesterol and the carbohydrates. Methanol (CH_3OH) and ethanol (CH_3CH_2OH) are the first two members of the homologous series of alcohols.

2.4.1 Nomenclature of Alcohols

Alcohols with one to four carbon atoms are frequently called by common names, in which the name of the alkyl group is followed by the word *alcohol*:



Structural formula of methyl alcohol, ethyl alcohol, propyl alcohol, and isopropyl alcohol with the methyl, ethyl propyl, and isopropyl groups highlighted in green.

According to the International Union of Pure and Applied Chemistry (IUPAC), alcohols are named by changing the ending of the parent alkane name to *-ol*. Here are some basic IUPAC rules for naming alcohols:

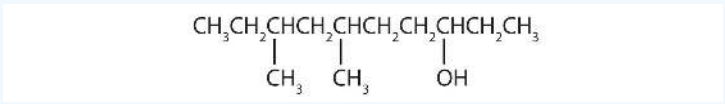
1. The longest continuous chain (LCC) of carbon atoms containing the OH group is taken as the parent compound—an alkane with the same number of carbon atoms. The chain is numbered from the end nearest the OH group.
2. The number that indicates the position of the OH group is prefixed to the name of the parent hydrocarbon, and the *-e* ending of the parent alkane is replaced by the suffix *-ol*. (In cyclic alcohols, the carbon atom bearing the OH group is designated C1, but the 1 is not used in the name.) Substituents are named and numbered as in alkanes.
3. If more than one OH group appears in the same molecule (polyhydroxy alcohols), suffixes such as *-diol* and *-triol* are used. In these cases, the *-e* ending of the parent alkane is retained.

Figure **2.4.1** shows some examples of the application of these rules.

Structures of 2 methylbutan-2-ol, 3 5-dimethylhexan-1-ol, 6 methylheptan-3-ol, 2 bromo 5 chlorocyclopentanol are shown to highlight rules 1 and 2. 1 2 ethanediol and propane 1 2 3 triol are shown to highlight rule 3
Figure **2.4.1**: IUPAC Rules for Alcohols. The names and structures of some alcohols demonstrate the use of IUPAC rules.

Example 2.4.1

Give the IUPAC name for each compound.

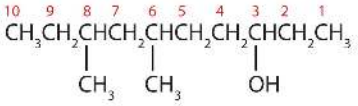
a. 

From left to right, there are ten carbon on the alkane straight chain with methyl groups emerging from carbon 3 and 5 and a hydroxyl group on carbon 8.

- $HOCH_2CH_2CH_2CH_2CH_2OH$

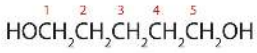
Solution

a. Ten carbon atoms in the LCC makes the compound a derivative of decane (rule 1), and the OH on the third carbon atom makes it a 3-decanol (rule 2).



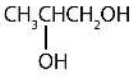
The carbon atoms are numbered from the end closest to the OH group. That fixes the two methyl (CH_3) groups at the sixth and eighth positions. The name is 6,8-dimethyl-3-decanol (not 3,5-dimethyl-8-decanol).

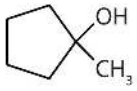
b. Five carbon atoms in the LCC make the compound a derivative of pentane. Two OH groups on the first and fifth carbon atoms make the compound a diol and give the name 1,5-pentanediol (rule 3).



? Exercise 2.4.1

Give the IUPAC name for each compound.

a. 

- 

✔ Example 2.4.2

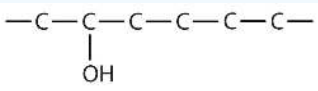
Draw the structure for each compound.

a. 2-hexanol
b. 3-methyl-2-pentanol

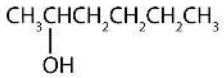
Solution

a. The ending *-ol* indicates an alcohol (the OH functional group), and the *hex-* stem tells us that there are six carbon atoms in the LCC. We start by drawing a chain of six carbon atoms: –C–C–C–C–C–C–.

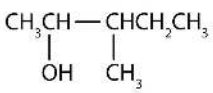
The 2 indicates that the OH group is attached to the second carbon atom.



Finally, we add enough hydrogen atoms to give each carbon atom four bonds.



- The numbers indicate that there is a methyl (CH_3) group on the third carbon atom and an OH group on the second carbon atom.



? Exercise 2.4.2

Draw the structure for each compound.

a. 3-heptanol

- 2-methyl-3-hexanol

2.4.2 Classification of Alcohols

Some of the properties of alcohols depend on the number of carbon atoms attached to the specific carbon atom that is attached to the OH group. Alcohols can be grouped into three classes on this basis.

- A primary (1°) alcohol is one in which the carbon atom (in red) with the OH group is attached to *one* other carbon atom (in blue). Its general formula is RCH_2OH.



- A secondary (2°) alcohol is one in which the carbon atom (in red) with the OH group is attached to *two* other carbon atoms (in blue). Its general formula is R_2CHOH.



- A tertiary (3°) alcohol is one in which the carbon atom (in red) with the OH group is attached to *three* other carbon atoms (in blue). Its general formula is R_3COH.

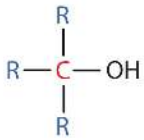
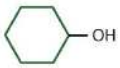


Table **2.4.1** names and classifies some of the simpler alcohols. Some of the common names reflect a compound's classification as secondary (*sec*-) or tertiary (*tert*-). These designations are not used in the IUPAC nomenclature system for alcohols. Note that there are four butyl alcohols in the table, corresponding to the four butyl groups: the butyl group ($CH_3CH_2CH_2CH_2$) discussed before, and three others:



Table **2.4.1**: Classification and Nomenclature of Some Alcohols

Condensed Structural Formula	Class of Alcohol	Common Name	IUPAC Name
CH_3OH	—	methyl alcohol	methanol
CH_3CH_2OH	primary	ethyl alcohol	ethanol
$CH_3CH_2CH_2OH$	primary	propyl alcohol	1-propanol
$(CH_3)_2CHOH$	secondary	isopropyl alcohol	2-propanol
$CH_3CH_2CH_2CH_2OH$	primary	butyl alcohol	1-butanol
$CH_3CH_2CHOHCH_3$	secondary	*sec*-butyl alcohol	2-butanol
$(CH_3)_2CHCH_2OH$	primary	isobutyl alcohol	2-methyl-1-propanol
$(CH_3)_3COH$	tertiary	*tert*-butyl alcohol	2-methyl-2-propanol
	secondary	cyclohexyl alcohol	cyclohexanol

Summary

In the IUPAC system, alcohols are named by changing the ending of the parent alkane name to *-ol*. Alcohols are classified according to the number of carbon atoms attached to the carbon atom that is attached to the OH group.

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2.5: Physical Properties of Alcohols

Learning Objectives

- Explain why the boiling points of alcohols are higher than those of ethers and alkanes of similar molar masses.
- Explain why alcohols and ethers of four or fewer carbon atoms are soluble in water while comparable alkanes are not soluble.

Alcohols can be considered derivatives of water (H_2O; also written as HOH).



Like the H–O–H bond in water, the R–O–H bond is bent, and alcohol molecules are polar. This relationship is particularly apparent in small molecules and reflected in the physical and chemical properties of alcohols with low molar mass. Replacing a hydrogen atom from an alkane with an OH group allows the molecules to associate through hydrogen bonding (Figure 2.5.1).

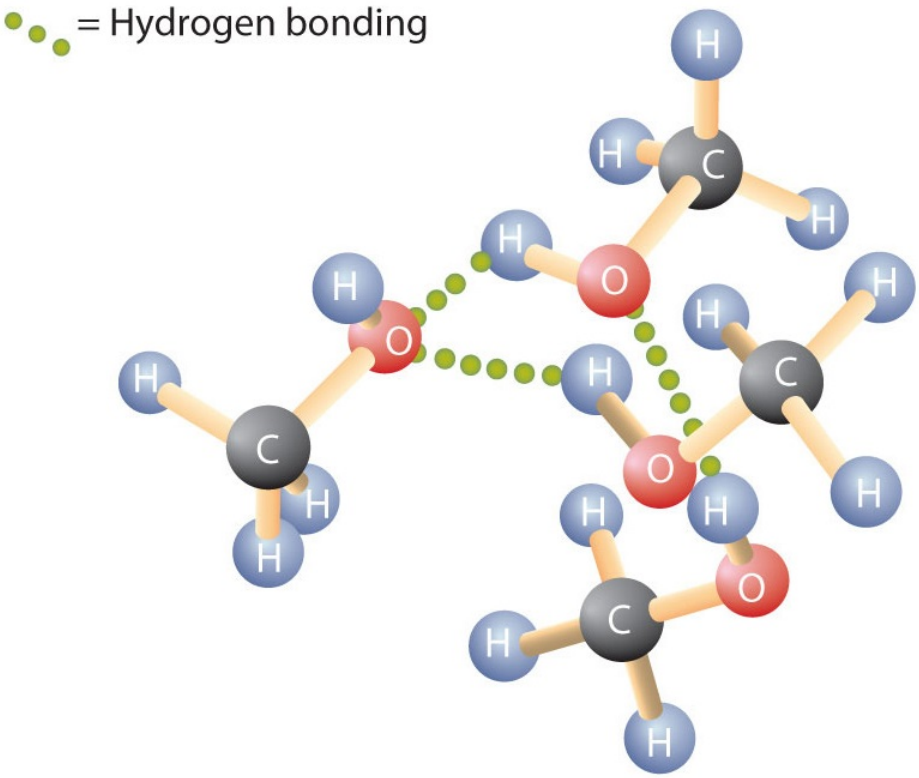


Figure 2.5.1: Intermolecular Hydrogen Bonding in Methanol. The OH groups of alcohol molecules make hydrogen bonding possible.

Recall that physical properties are determined to a large extent by the type of intermolecular forces. Table 2.5.1 lists the molar masses and the boiling points of some common compounds. The table shows that substances with similar molar masses can have quite different boiling points.

Table 2.5.1: Comparison of Boiling Points and Molar Masses

Formula	Name	Molar Mass	Boiling Point (°C)
CH_4	methane	16	–164
HOH	water	18	100
C_2H_6	ethane	30	–89
CH_3OH	methanol	32	65
C_3H_8	propane	44	–42
CH_3CH_2OH	ethanol	46	78
C_4H_{10}	butane	58	–1
$CH_3CH_2CH_2OH$	1-propanol	60	97

Alkanes are nonpolar and are thus associated only through relatively weak dispersion forces. Alkanes with one to four carbon atoms are gases at room temperature. In contrast, even methanol (with one carbon atom) is a liquid at room temperature. Hydrogen bonding greatly increases the boiling points of alcohols compared to hydrocarbons of comparable molar mass. The boiling point is a rough measure of the amount of energy necessary to separate a liquid molecule from its nearest neighbors. If the molecules interact through hydrogen bonding, a relatively large quantity of energy must be supplied to break those intermolecular attractions. Only then can the molecule escape from the liquid into the gaseous state.

Alcohols can also engage in hydrogen bonding with water molecules (Figure **2.5.2**). Thus, whereas the hydrocarbons are insoluble in water, alcohols with one to three carbon atoms are completely soluble. As the length of the chain increases, however, the solubility of alcohols in water decreases; the molecules become more like hydrocarbons and less like water. The alcohol 1-decanol ($CH_3CH_2CH_2CH_2CH_2CH_2CH_2CH_2CH_2CH_2OH$) is essentially insoluble in water. We frequently find that the borderline of solubility in a family of organic compounds occurs at four or five carbon atoms.

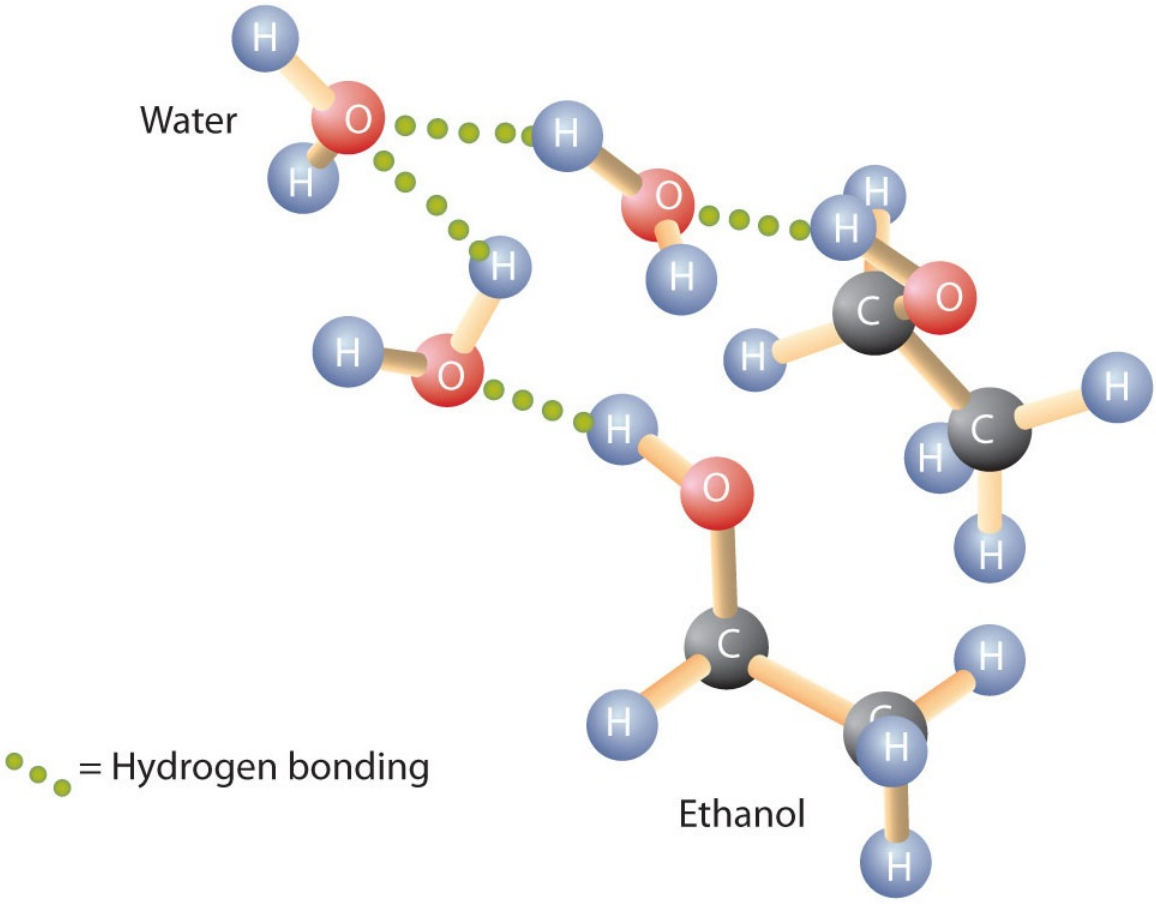


Figure **2.5.2**: Hydrogen Bonding between Ethanol Molecules and Water Molecules. Hydrogen bonding between the OH of ethanol and water molecules accounts for the solubility of methanol in water.

Summary

Alcohols have higher boiling points than do ethers and alkanes of similar molar masses because the OH group allows alcohol molecules to engage in hydrogen bonding. Alcohols of four or fewer carbon atoms are soluble in water because the alcohol molecules engage in hydrogen bonding with water molecules; comparable alkane molecules cannot engage in hydrogen bonding.

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2.6: Reactions that Form Alcohols

Learning Objectives

- To describe how to prepare alcohols from alkenes

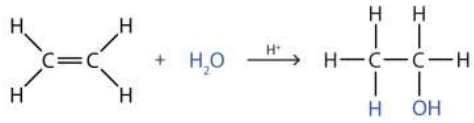
Methanol is prepared by combining hydrogen gas and carbon monoxide at high temperatures and pressures in the presence of a catalyst composed of zinc oxide (ZnO) and chromium oxide (Cr_2O_3) catalyst:

$$2H_2 + CO \xrightarrow[ZnO,\ Cr_2O_3]{200\ atm,\ 350°C} CH_3OH$$

Methanol is an important solvent and is used as an automotive fuel, either as the pure liquid—as in some racing cars—or as an additive in gasoline.

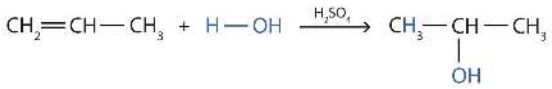
> *Nearly 2 billion gallons of methanol are produced each year in the United States by the catalytic reduction of carbon monoxide with hydrogen gas.*

Many simple alcohols are made by the hydration of alkenes. Ethanol is made by the hydration of ethylene in the presence of a catalyst such as sulfuric acid (H_2SO_4).



Ethylene Water Ethanol

In a similar manner, isopropyl alcohol is produced by the addition of water to propene (propylene).



Propylene Isopropyl alcohol (2-propanol)

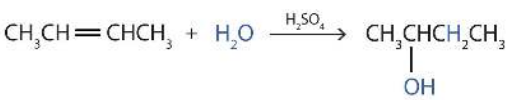
Additional Exercise **2.6.1** describes how to use a generalization called Markovnikov's rule to predict the results when the addition of water to an alcohol has two possible products.

Example 2.6.1

Write the equation for the reaction of 2-butene with water to form 2-butanol. Indicate that sulfuric acid is used as a catalyst.

Solution

First write the condensed structural formula of 2-butene and indicate that it reacts with water. Then write the condensed structural formula of 2-butanol after the reaction arrow to indicate that it is the product. Finally, write the formula for the catalyst above the arrow.



Exercise 2.6.1

Write the equation for the reaction of cyclopentene with water to form cyclopentanol. Indicate that phosphoric acid (H_3PO_4) is used as a catalyst.

> *Many OH compounds in living systems are formed by alkene hydration. Here is an example that occurs in the Krebs cycle: fumarate is hydrated to form malate.*
>
> $$^-OOCCH{=}CHCOO^- + HOH \overset{\text{enzyme}}{\rightleftharpoons} {}^-OOCCH_2CHOHCOO^-$$

In addition to its preparation from ethylene, ethanol is made by the fermentation of sugars or starch from various sources (potatoes, corn, wheat, rice, etc.). Fermentation is catalyzed by enzymes found in yeast and proceeds by an elaborate multistep mechanism. We can represent the overall process as follows:

$$(C_6H_{10}O_5)_x \xrightarrow{\text{enzymes}} C_6H_{12}O_6 \xrightarrow{\text{enzymes}} 2CH_3CH_2OH + 2CO_2$$

Starch — Glucose — Ethanol

To Your Health: The Physiological Effects of Alcohols

Methanol is quite poisonous to humans. Ingestion of as little as 15 mL of methanol can cause blindness, and 30 mL (1 oz) can cause death. However, the usual fatal dose is 100 to 150 mL. The main reason for methanol's toxicity is that we have liver enzymes that catalyze its oxidation to formaldehyde, the simplest member of the aldehyde family:

$$CH_3OH \xrightarrow{\text{liver enzymes}} H{-}\overset{\overset{O}{\|}}{C}{-}H$$

Methanol — Formaldehyde

Formaldehyde reacts rapidly with the components of cells, coagulating proteins in much the same way that cooking coagulates an egg. This property of formaldehyde accounts for much of the toxicity of methanol.

Organic and biochemical equations are frequently written showing only the organic reactants and products. In this way, we focus attention on the organic starting material and product, rather than on balancing complicated equations.

Ethanol is oxidized in the liver to acetaldehyde:

$$CH_3CH_2OH \xrightarrow{\text{liver enzymes}} CH_3{-}\overset{\overset{O}{\|}}{C}{-}H$$

Ethanol — Acetaldehyde

The acetaldehyde is in turn oxidized to acetic acid ($HC_2H_3O_2$), a normal constituent of cells, which is then oxidized to carbon dioxide and water. Even so, ethanol is potentially toxic to humans. The rapid ingestion of 1 pt (about 500 mL) of pure ethanol would kill most people, and acute ethanol poisoning kills several hundred people each year—often those engaged in some sort of drinking contest. Ethanol freely crosses into the brain, where it depresses the respiratory control center, resulting in failure of the respiratory muscles in the lungs and hence suffocation. Ethanol is believed to act on nerve cell membranes, causing a diminution in speech, thought, cognition, and judgment.

Rubbing alcohol is usually a 70% aqueous solution of isopropyl alcohol. It has a high vapor pressure, and its rapid evaporation from the skin produces a cooling effect. It is toxic when ingested but, compared to methanol, is less readily absorbed through the skin.

Summary

Many alcohols are made by the hydration of alkenes. Ethanol can be made by the fermentation of sugars or starch from various sources.

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2.7: Reactions of Alcohols

Learning Objectives

1. Give two major types of reactions of alcohols.
2. Describe the result of the oxidation of a primary alcohol.
3. Describe the result of the oxidation of a secondary alcohol.

Chemical reactions in alcohols occur mainly at the functional group, but some involve hydrogen atoms attached to the OH-bearing carbon atom or to an adjacent carbon atom. Of the three major kinds of alcohol reactions, which are summarized in Figure **2.7.1**, two—dehydration and oxidation—are considered here. The third reaction type—esterification—is covered elsewhere.

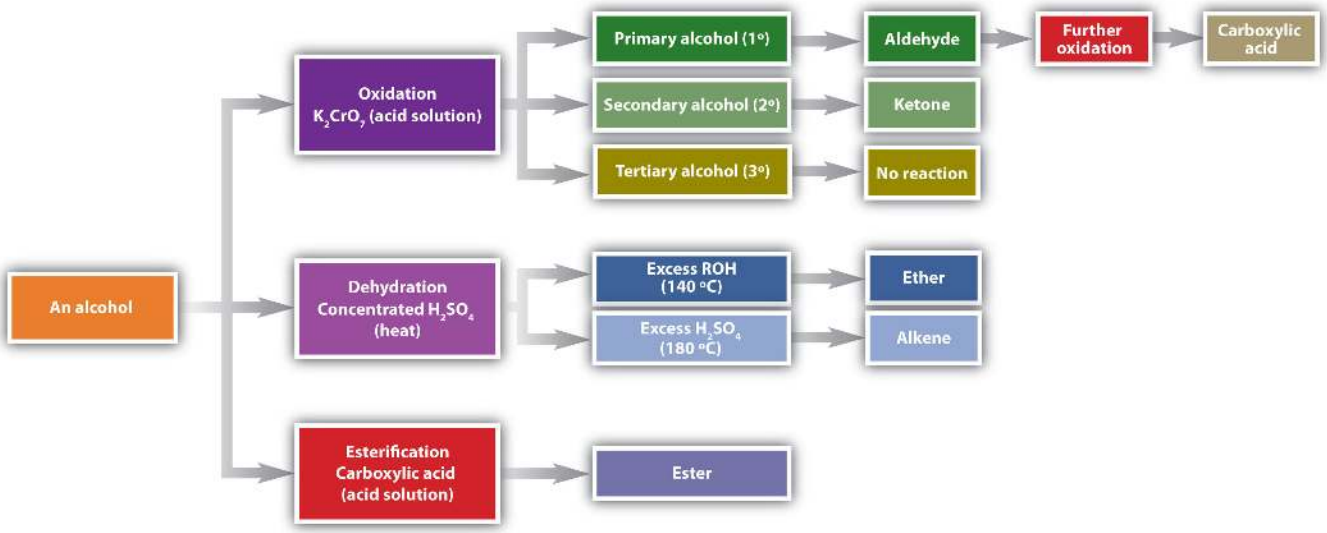
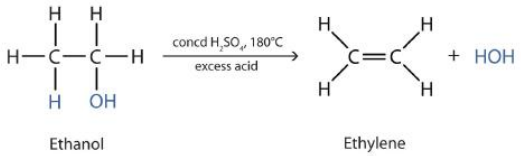


Figure **2.7.1**: Reactions of Alcohols. Oxidation and dehydration of alcohols are considered here.

A flow diagram of three possible reactions of an alcohol. The final products of the reactions are also shown at the end of the flow diagram.

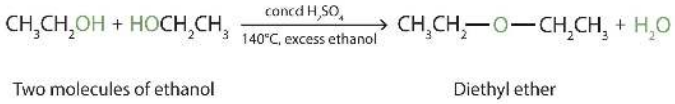
2.7.1 Dehydration

As noted in Figure **2.7.1**, an alcohol undergoes dehydration in the presence of a catalyst to form an alkene and water. The reaction removes the OH group from the alcohol carbon atom and a hydrogen atom from an adjacent carbon atom in the same molecule:



Structural formula of ethanol dehydrating under excess concentrated sulfuric acid at 180 degrees celsius. The products are ethylene and a side product of a water molecule.

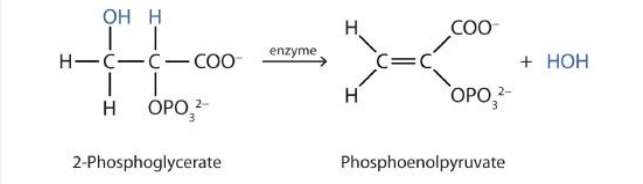
Under the proper conditions, it is possible for the dehydration to occur between *two* alcohol molecules. The entire OH group of one molecule and only the hydrogen atom of the OH group of the second molecule are removed. The two ethyl groups attached to an oxygen atom form an ether molecule.



Two molecules of ethanol are dehydrated under concentrated sulfuric acid at 140 degrees celsius and excess ethanol to give a diethyl ether and a water molecule.

(Ethers are discussed in elsewhere) Thus, depending on conditions, one can prepare either alkenes or ethers by the dehydration of alcohols.

Both dehydration and hydration reactions occur continuously in cellular metabolism, with enzymes serving as catalysts and at a temperature of about 37°C. The following reaction occurs in the "Embden–Meyerhof" pathway

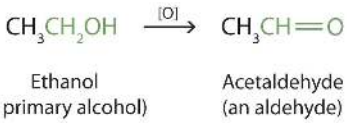


Structural formula of 2 phosphoglycerate forming phosphoenolpyruvate and a water molecule with the aid of enzymes.

Although the participating compounds are complex, the reaction is the same: elimination of water from the starting material. The idea is that if you know the chemistry of a particular functional group, you know the chemistry of hundreds of different compounds.

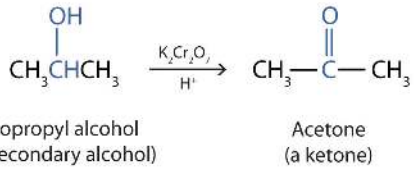
2.7.2 Oxidation

Primary and secondary alcohols are readily oxidized. We saw earlier how methanol and ethanol are oxidized by liver enzymes to form aldehydes. Because a variety of oxidizing agents can bring about oxidation, we can indicate an oxidizing agent without specifying a particular one by writing an equation with the symbol [O] above the arrow. For example, we write the oxidation of ethanol—a primary alcohol—to form acetaldehyde—an aldehyde—as follows:



Formula of ethanol reacting to form acetaldehyde with an O in a bracket above the right pointing arrow.

We shall see that aldehydes are even more easily oxidized than alcohols and yield carboxylic acids. Secondary alcohols are oxidized to *ketones*. The oxidation of isopropyl alcohol by potassium dichromate ($K_2Cr_2O_7$) gives acetone, the simplest ketone:

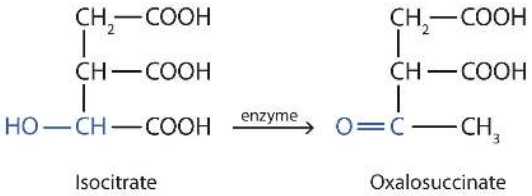


Unlike aldehydes, ketones are relatively resistant to further oxidation, so no special precautions are required to isolate them as they form. Note that in oxidation of both primary (RCH_2OH) and secondary (R_2CHOH) alcohols, two hydrogen atoms are removed from the alcohol molecule, one from the OH group and other from the carbon atom that bears the OH group.

These reactions can also be carried out in the laboratory with chemical oxidizing agents. One such oxidizing agent is potassium dichromate. The balanced equation (showing only the species involved in the reaction) in this case is as follows:

$$8\,H^{=} + Cr_2O_7^{2-} + 3\,CH_3CH_2OH \longrightarrow 3\,CH_3CHO + 2\,Cr^{3+} + 7\,H_2O$$

Alcohol oxidation is important in living organisms. Enzyme-controlled oxidation reactions provide the energy cells need to do useful work. One step in the metabolism of carbohydrates involves the oxidation of the secondary alcohol group in isocitric acid to a ketone group:



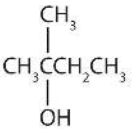
The overall type of reaction is the same as that in the conversion of isopropyl alcohol to acetone.

Tertiary alcohols (R_3COH) are resistant to oxidation because the carbon atom that carries the OH group does not have a hydrogen atom attached but is instead bonded to other carbon atoms. The oxidation reactions we have described involve the formation of a carbon-to-oxygen double bond. Thus, the carbon atom bearing the OH group must be able to release one of its attached atoms to form the double bond. The carbon-to-hydrogen bonding is easily broken under oxidative conditions, but carbon-to-carbon bonds are not. Therefore tertiary alcohols are not easily oxidized.

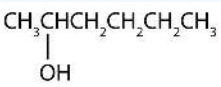
Example 2.7.1

Write an equation for the oxidation of each alcohol. Use [O] above the arrow to indicate an oxidizing agent. If no reaction occurs, write "no reaction" after the arrow.

a. $CH_3CH_2CH_2CH_2CH_2OH$

b. 

From left to right, there are four carbons on the alkane straight chain with a methyl and hydroxyl group on carbon 2.

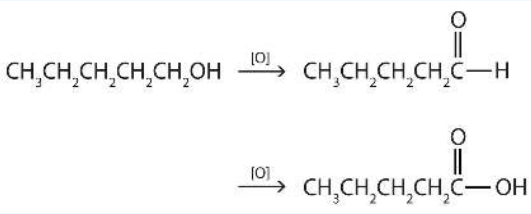
c. 

From left to right, there are six carbons on the alkane straight chain with a hydroxyl group on carbon 2.

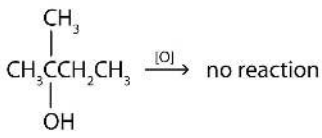
Solution

The first step is to recognize the class of each alcohol as primary, secondary, or tertiary.

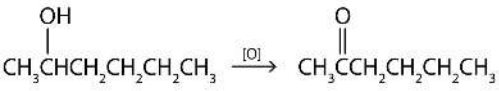
a. This alcohol has the OH group on a carbon atom that is attached to only *one* other carbon atom, so it is a primary alcohol. Oxidation forms first an aldehyde and further oxidation forms a carboxylic acid.



b. This alcohol has the OH group on a carbon atom that is attached to three other carbon atoms, so it is a tertiary alcohol. No reaction occurs.

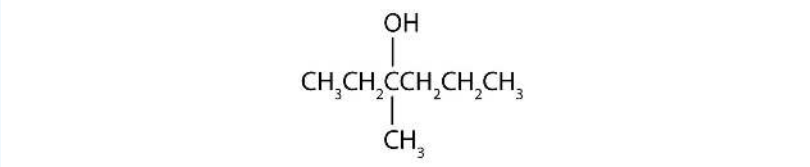


c. This alcohol has the OH group on a carbon atom that is attached to two other carbon atoms, so it is a secondary alcohol; oxidation gives a ketone.

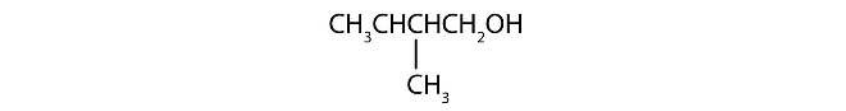


Exercise 2.7.1

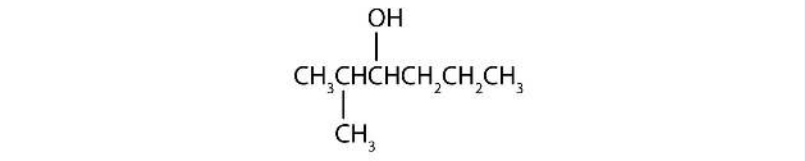
Write an equation for the oxidation of each alcohol. Use [O] above the arrow to indicate an oxidizing agent. If no reaction occurs, write “no reaction” after the arrow.

a. 

From left to right, there are six carbons on the alkane straight chain with a hydroxyl group and methyl group on carbon 3.

b. 

From left to right, there are four carbons on the alkane straight chain with a methyl group on carbon 3 as well as a hydroxyl group on carbon 4.

c. 

From left to right, there are six carbons on the alkane straight chain with a methyl group on carbon 2 and a hydroxyl group on carbon 3.

2.7.3 Summary

Alcohols can be dehydrated to form either alkenes (higher temperature, excess acid) or ethers (lower temperature, excess alcohol). Primary alcohols are oxidized to form aldehydes. Secondary alcohols are oxidized to form ketones. Tertiary alcohols are not readily oxidized.

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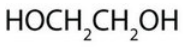
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2.8: Glycols and Glycerol

Learning Objectives

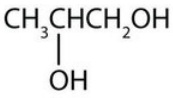
- To describe the structure and uses of some common polyhydric alcohols.

Alcohols with two OH groups on adjacent carbon atoms are commonly known as glycols. The most important of these is 1,2-ethanediol (the common name is ethylene glycol), a sweet, colorless, somewhat viscous liquid.



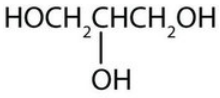
Ethylene glycol

Another common glycol, 1,2-propanediol, is commonly called propylene glycol. Its physical properties are quite similar to those of ethylene glycol.



Propylene glycol

Commonly called glycerol or glycerin, 1,2,3-propanetriol is the most important trihydroxy alcohol. Like the two glycols, it is a sweet, syrupy liquid. Glycerol is a product of the hydrolysis of fats and oils.

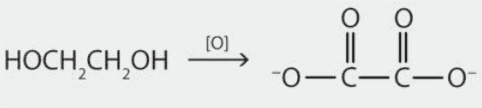


Glycerol

Ethylene glycol is the main ingredient in many antifreeze mixtures for automobile radiators. The two OH groups lead to extensive intermolecular hydrogen bonding. This results in a high boiling point—198°C; thus ethylene glycol does not boil away when it is used as an antifreeze. It is also completely miscible with water. A solution of 60% ethylene glycol in water freezes at −49°C (−56°F) and thus protects an automobile radiator down to that temperature. Ethylene glycol is also used in the manufacture of polyester fiber and magnetic film used in tapes for recorders and computers.

To Your Health: Glycols and Human Health

Ethylene glycol is quite toxic. Because it is sweet, pets often lap up spills of leaked antifreeze from a garage floor or driveway. Sometimes people, especially children, drink it. As with methanol, its toxicity is due to a metabolite. Liver enzymes oxidize ethylene glycol to oxalate ion.



Ethylene glycol Oxalate ion

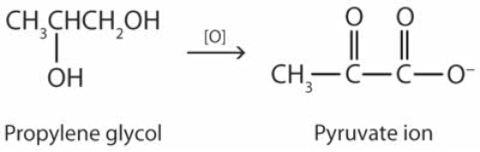
In the kidneys, the oxalate ion combines with the calcium (Ca^{2+}) ion, precipitating as calcium oxalate (CaC_2O_4).

$$Ca^{2+}(aq) + C_2O_4^{2-}(aq) \rightarrow CaC_2O_4(s)$$

These crystals cause renal damage and can lead to kidney failure and death.

Although propylene glycol has physical properties much like those of ethylene glycol, its physiological properties are quite different. Propylene glycol is essentially nontoxic, and it can be used as a solvent for drugs and as a moisturizing agent for foods.

Like other alcohols, propylene glycol is oxidized by liver enzymes.



Propylene glycol Pyruvate ion

In this case, however, the product is pyruvate ion, a normal intermediate in carbohydrate metabolism. Glycerol, a product of fat metabolism, is essentially nontoxic.

Summary

Glycols are alcohols with two OH groups on adjacent carbon atoms. Glycerol is the most important trihydroxy alcohol.

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2.9: Phenols

Learning Objectives

- To describe the structure and uses of some phenols

Compounds in which an OH group is attached directly to an aromatic ring are designated ArOH and called phenols. Phenols differ from alcohols in that they are slightly acidic in water. They react with aqueous sodium hydroxide (NaOH) to form salts.

$$\mathrm{ArOH\,(aq) + NaOH\,(aq) \rightarrow ArONa\,(aq) + H_2O}$$

The parent compound, C_6H_5OH, is itself called phenol. (An old name, emphasizing its slight acidity, was *carbolic acid*.) Phenol is a white crystalline compound that has a distinctive ("hospital smell") odor.

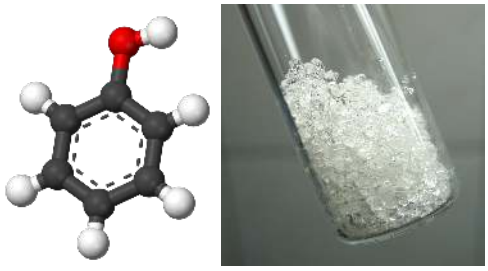


Figure 2.9.1: (Left) Structure of Phenol (right) Approximately two grams of phenol in glass vial. from Wikipedia

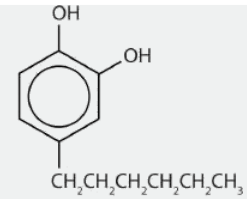
To Your Health: Phenols and Us

Phenols are widely used as antiseptics (substances that kill microorganisms on living tissue) and as disinfectants (substances intended to kill microorganisms on inanimate objects such as furniture or floors). The first widely used antiseptic was phenol. Joseph Lister used it for antiseptic surgery in 1867. Phenol is toxic to humans, however, and can cause severe burns when applied to the skin. In the bloodstream, it is a systemic poison—that is, one that is carried to and affects all parts of the body. Its severe side effects led to searches for safer antiseptics, a number of which have been found.



An operation in 1753, painted by Gaspare Traversi, of a surgery before antiseptics were used.

One safer phenolic antiseptic is 4-hexylresorcinol (4-hexyl-1,3-dihydroxybenzene; resorcinol is the common name for 1,3-dihydroxybenzene, and 4-hexylresorcinol has a hexyl group on the fourth carbon atom of the resorcinol ring). It is much more powerful than phenol as a germicide and has fewer undesirable side effects. Indeed, it is safe enough to be used as the active ingredient in some mouthwashes and throat lozenges.



The compound 4-hexylresorcinol is mild enough to be used as the active ingredient in antiseptic preparations for use on the skin.

The compound 4-hexylresorcinol is mild enough to be used as the active ingredient in antiseptic preparations for use on the skin.

Summary

Phenols are compounds in which an OH group is attached directly to an aromatic ring. Many phenols are used as antiseptics.

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CHAPTER OVERVIEW

3: Ethers, Thiols, and Chirality

3.1: Ethers

3.2: Organic Sulfur Compounds

3.3: Prelude to Conformations and Stereochemistry

3.4: Chirality and stereoisomers

3.5: Naming chiral centers- the R and S system

3.6: Compounds with multiple chiral centers

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3.1: Ethers

Learning Objectives

- Describe the structural difference between an alcohol and an ether that affects physical characteristics and reactivity of each.
- Name simple ethers.
- Describe the structure and uses of some ethers.

With the general formula ROR′, an ether may be considered a derivative of water in which both hydrogen atoms are replaced by alkyl or aryl groups. It may also be considered a derivative of an alcohol (ROH) in which the hydrogen atom of the OH group is been replaced by a second alkyl or aryl group:

$$\mathrm{HOH} \xrightarrow[\text{H atoms}]{\text{replace both}} \mathrm{ROR'} \xleftarrow[\text{of OH group}]{\text{replace H atom}} \mathrm{ROH}$$

Simple ethers have simple common names, formed from the names of the groups attached to oxygen atom, followed by the generic name *ether*. For example, CH_3–O–$CH_2CH_2CH_3$ is methyl propyl ether. If both groups are the same, the group name should be preceded by the prefix *di-*, as in dimethyl ether (CH_3–O–CH_3) and diethyl ether CH_3CH_2–O–CH_2CH_3.

Ether molecules have no hydrogen atom on the oxygen atom (that is, no OH group). Therefore there is no intermolecular hydrogen bonding between ether molecules, and ethers therefore have quite low boiling points for a given molar mass. Indeed, ethers have boiling points about the same as those of alkanes of comparable molar mass and much lower than those of the corresponding alcohols (Table **3.1.1**).

Table **3.1.1**: Comparison of Boiling Points of Alkanes, Alcohols, and Ethers

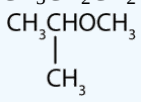
Condensed Structural Formula	Name	Molar Mass	Boiling Point (°C)	Intermolecular Hydrogen Bonding in Pure Liquid?
$CH_3CH_2CH_3$	propane	44	–42	no
CH_3OCH_3	dimethyl ether	46	–25	no
CH_3CH_2OH	ethyl alcohol	46	78	yes
$CH_3CH_2CH_2CH_2CH_3$	pentane	72	36	no
$CH_3CH_2OCH_2CH_3$	diethyl ether	74	35	no
$CH_3CH_2CH_2CH_2OH$	butyl alcohol	74	117	yes

Ether molecules do have an oxygen atom, however, and engage in hydrogen bonding with water molecules. Consequently, an ether has about the same solubility in water as the alcohol that is isomeric with it. For example, dimethyl ether and ethanol (both having the molecular formula C_2H_6O) are completely soluble in water, whereas diethyl ether and 1-butanol (both $C_4H_{10}O$) are barely soluble in water (8 g/100 mL of water).

Example 3.1.1

What is the common name for each ether?

a. $CH_3CH_2CH_2OCH_2CH_2CH_3$

b. 

Solution

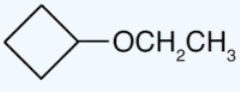
a. The carbon groups on either side of the oxygen atom are propyl ($CH_3CH_2CH_2$) groups, so the compound is dipropyl ether.

b. The three-carbon group is attached by the middle carbon atom, so it is an isopropyl group. The one-carbon group is a methyl group. The compound is isopropyl methyl ether.

? Exercise 3.1.1

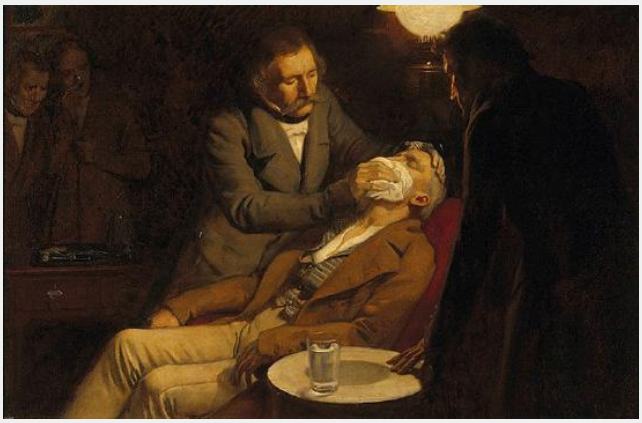
What is the common name for each ether?

a. $CH_3CH_2CH_2CH_2OCH_2CH_2CH_2CH_3$

b. 

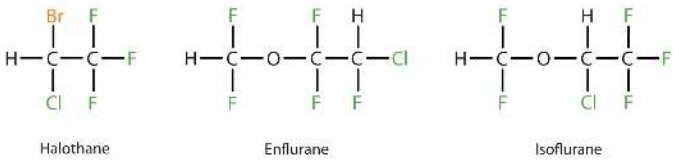
To Your Health: Ethers as General Anesthetics

A *general anesthetic* acts on the brain to produce unconsciousness and a general insensitivity to feeling or pain. Diethyl ether ($CH_3CH_2OCH_2CH_3$) was the first general anesthetic to be used.



William Morton, a Boston dentist, introduced diethyl ether into surgical practice in 1846. This painting shows an operation in Boston in 1846 in which diethyl ether was used as an anesthetic. Inhalation of ether vapor produces unconsciousness by depressing the activity of the central nervous system. Source: Painting of William Morton by Ernest Board.

Diethyl ether is relatively safe because there is a fairly wide gap between the dose that produces an effective level of anesthesia and the lethal dose. However, because it is highly flammable and has the added disadvantage of causing nausea, it has been replaced by newer inhalant anesthetics, including the fluorine-containing compounds halothane, enflurane, and isoflurane. Unfortunately, the safety of these compounds for operating room personnel has been questioned. For example, female operating room workers exposed to halothane suffer a higher rate of miscarriages than women in the general population.



These three modern, inhalant, halogen-containing, anesthetic compounds are less flammable than diethyl ether.

Summary

To give ethers common names, simply name the groups attached to the oxygen atom, followed by the generic name *ether*. If both groups are the same, the group name should be preceded by the prefix *di-*. Ether molecules have no OH group and thus no intermolecular hydrogen bonding. Ethers therefore have quite low boiling points for a given molar mass. Ether molecules have an oxygen atom and can engage in hydrogen bonding with water molecules. An ether molecule has about the same solubility in water as the alcohol that is isomeric with it.

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3.2: Organic Sulfur Compounds

Learning Objectives

- Identify thiols (mercaptans) by the presence of an SH group.
- The mild oxidation of thiols gives disulfides.

Because sulfur is in the same group (6A) of the periodic table as oxygen, the two elements have some similar properties. We might expect sulfur to form organic compounds related to those of oxygen, and indeed it does. Thiols (also called mercaptans), which are sulfur analogs of alcohols, have the general formula RSH. Methanethiol (also called methyl mercaptan), has the formula CH_3SH. Ethanethiol (ethyl mercaptan) is the most common odorant for liquid propane (LP) gas.

The mild oxidation of thiols gives compounds called **disulfides**.

$$2RSH \xrightarrow{[O]} RSSR$$

The amino acids cysteine [$HSCH_2CH(NH_2)COOH$] and methionine [$CH_3SCH_2CH_2CH(NH_2)COOH$] contain sulfur atoms, as do all proteins that contain these amino acids. Disulfide linkages (–S–S–) between protein chains are extremely important in protein structure.

Thioethers, which are sulfur analogs of ethers, have the form general formula RSR′. An example is dimethylsulfide (CH_3SCH_3), which is responsible for the sometimes unpleasant odor of cooking cabbage and related vegetables. Note that methionine has a thioether functional group.

Career Focus: Paramedic

Paramedics are highly trained experts at providing emergency medical treatment. Their critical duties often include rescue work and emergency medical procedures in a wide variety of settings, sometimes under extremely harsh and difficult conditions. Like other science-based professions, their work requires knowledge, ingenuity, and complex thinking, as well as a great deal of technical skill. The recommended courses for preparation in this field include anatomy, physiology, medical terminology, and—not surprisingly—chemistry. An understanding of basic principles of organic chemistry, for example, is useful when paramedics have to deal with such traumas as burns from fuel (hydrocarbons) or solvent (alcohols, ethers, esters, and so on) fires and alcohol and drug overdoses.

To become a paramedic requires 2–4 y of training and usually includes a stint as an emergency medical technician (EMT). An EMT provides basic care, can administer certain medications and treatments, such as oxygen for respiratory problems and epinephrine (adrenalin) for allergic reactions, and has some knowledge of common medical conditions. A paramedic, in contrast, must have extensive knowledge of common medical problems and be trained to administer a wide variety of emergency drugs.

Paramedics usually work under the direction of a medical doctor with a title such as “medical director.” Some paramedics are employed by fire departments and may work from a fire engine that carries medical equipment as well as fire-fighting gear. Some work from hospital-sponsored ambulances and continue to care for their patients after reaching the hospital emergency room. Still other paramedics work for a government department responsible for emergency health care in a specific geographical area. Finally, some work for private companies that contract to provide service for a government body.

An experienced paramedic has a broad range of employment options, including training for mountain or ocean rescue, working with police department special weapons and tactics (SWAT) teams, or working in isolated settings such as on oil rigs. With their expertise at treating and stabilizing patients before quickly moving them to a hospital, paramedics often provide the first critical steps in saving an endangered life. The following quotation, inscribed on the Arlington National Cemetery headstone of Army Lieutenant R. Adams Cowley, who is often called the “father” of shock trauma medicine, serves as the motto for many paramedic units: “Next to creating a life the finest thing a man can do is save one.” —Abraham Lincoln

Summary

Thiols, thioethers, and disulfides are common in biological compounds.

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3.3: Prelude to Conformations and Stereochemistry

Molecular models are your friend!

*Because this chapter deals extensively with concepts that are inherently three-dimensional in nature, it will be very important for you to use a molecular modeling kit that is specifically intended for organic chemistry. Many of the ideas we will be exploring can be extremely confusing if you are limited to the two dimensions of this page. Be prepared to follow along with these discussions in **three** dimensions, with a molecular model in your hands!*

3.3.1 Introduction: Louis Pasteur and the discovery of molecular chirality

In 1848, a 25 year old chemist named Louis Pasteur made a startling - and some thought brash - claim to the scientific community. Pasteur was inexperienced, to say the least: he had only earned his doctorate the previous year, and had just started his first job as an assistant to a professor at the *Ecole normale superieure*, a university in Paris. Jean-Baptiste Biot, a highly respected physicist who had already made major contributions to scientific fields as diverse as meteorites, magnetism, and optics, was intrigued but unconvinced by Pasteur's claim. He invited the young man to come to his laboratory and reproduce his experiments.



(Photo credit: https://www.flickr.com/photos/nate/)

Decades earlier, Biot had discovered that aqueous solutions of some biologically-derived substances, such as tartaric acid, quinine, morphine, and various sugars, were optically active: that is, the plane of polarized light would rotate in either a positive (clockwise, or right-handed) or negative (counter-clockwise, or left-handed) direction when passed through the solutions. Nobody understood the source of this optical property. One of the biological substances known to be optically active was a salt of tartaric acid, a compound found in abundance in grapes and a major by-product of the wine-making industry.

The compound was dextrorotatory in solution – in other words, it rotated plane-polarized light in the positive (right-handed, or clockwise) direction. Curiously, though, chemists had also found that another form of processed tartaric acid was optically *in*active, despite that fact that it appeared to be identical to the optically active acid in every other respect. The optically inactive compound was called '*acide racemique*', from the Latin *racemus*, meaning 'bunch of grapes'.

Louis Pasteur's claims had to do with experiments he said he had done with the 'racemic' acid. Jean-Babtise Biot summoned Pasteur to his laboratory, and presented him with a sample of racemic acid which he himself had already confirmed was optically inactive. With Biot watching over his shoulder, and using Biot's reagents, Pasteur prepared the salt form of the acid, dissolved it in water, and left the aqueous solution in an uncovered flask to allow crystals to slowly form as the water evaporated.

Biot again summoned Pasteur to the lab a few days later when the crystallization was complete. Pasteur placed the crystals under a microscope, and began to painstakingly examine their shape, just as he had done in his original experiments. He had recognized that the crystals, which had a regular shape, were asymmetric: in other words, they could not be superimposed on their mirror

image. Scientists referred to asymmetric crystals and other asymmetric objects as being 'chiral', from the Greek word for 'hand'. Your hands are chiral objects, because although your right hand and your left hand are mirror images of one another, they cannot be superimposed. That is why you cannot fit your right hand in a left-handed glove.

More importantly, Pasteur had claimed that the chiral crystals he was seeing under the lens of his microscope were of two different types, and the two types were mirror images of each other: about half were what he termed 'right handed' and half were 'left-handed'. He carefully separated the right and left-handed crystals from each other, and presented the two samples to Biot. The eminent scientist then took what Pasteur told him were the left-handed crystals, dissolved them in water, and put the aqueous solution in a polarimeter, an instrument that measures optical rotation. Biot knew that the processed tartaric acid he had provided Pasteur had been optically inactive. He also knew that unprocessed tartaric acid from grapes had right-handed optical activity, whereas left-handed tartaric acid was unheard of. Before his eyes, however, he now saw that the solution was rotating light to the left. He turned to his young colleague and exclaimed, " *Mon cher enfant, j'ai tant aime´ les sciences dans ma vie que cela me fait battre le coeur*!' (My dear child, I have loved science so much during my life that this makes my heart pound!)

Biot had good reason to be so profoundly excited. Pasteur had just conclusively demonstrated, for the first time, the concept of **molecular chirality**: molecules themselves - not just macroscopic objects like crystals - could exhibit chirality, and could be separated into distinct right-handed and left-handed 'stereoisomers'. Tying together ideas from physics, chemistry, and biology, he had shown that nature could be chiral *at the molecular level*, and in doing do he had introduced to the world a new subfield which came to be known as 'stereochemistry'.

About ten years after his demonstration of molecular chirality, Pasteur went on to make another observation with profound implications for biological chemistry. It was already well known that 'natural' tartaric acid (the right-handed kind from grapes) could be fermented by bacteria. Pasteur discovered that the bacteria were selective with regard to the chirality of tartaric acid: no fermentation occurred when the bacteria were provided with pure left-handed acid, and when provided with racemic acid they specifically fermented the right-handed component, leaving the left-handed acid behind.

Pasteur was not aware, at the time of the discoveries described here, the details of the structural features of tartaric acid at the molecular level that made the acid chiral, although he made some predictions concerning the bonding patterns of carbon which turned out to be remarkably accurate. In the more than 150 years since Pasteur's initial tartaric acid work, we have greatly expanded our understanding of molecular chirality, and it is this knowledge that makes up the core of this chapter. Put simply, stereochemistry is the study of how bonds are oriented in three-dimensional space. It is difficult to overstate the importance of stereochemistry in nature, and in the fields of biology and medicine in particular. As Pasteur so convincingly demonstrated, life itself is chiral: living things recognize different stereoisomers of organic compounds and process them accordingly.

Organic Chemistry With a Biological Emphasis by Tim Soderberg (University of Minnesota, Morris)

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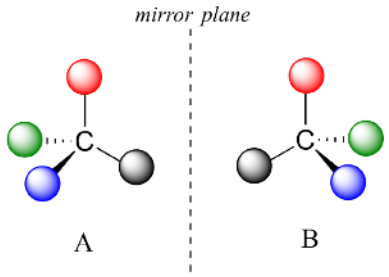
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3.4: Chirality and stereoisomers

We turn now to concept of chirality that formed the basis of the story about Louis Pasteur in the beginning of this chapter. Recall that the term **chiral**, from the Greek work for 'hand', refers to anything which cannot be superimposed on its own mirror image. Your hands, of course, are chiral - you cannot superimpose your left hand on your right, and you cannot fit your left hand into a right-handed glove (which is also a chiral object). Another way of saying this is that your hands do not have a **mirror plane of symmetry**: you cannot find any plane which bisects your hand in such a way that one side of the plane is a mirror image of the other side. *Chiral objects do not have a plane of symmetry*.

Your face, on the other hand is **achiral** - lacking chirality - because, some small deviations notwithstanding, you could superimpose your face onto its mirror image. If someone were to show you a mirror image photograph of your face, you could line the image up, point-for-point, with your actual face. Your face has a plane of symmetry, because the left side is the mirror image of the right side.

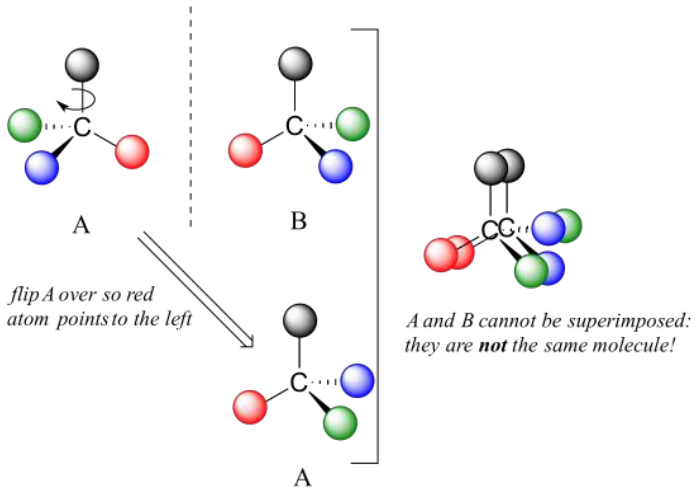
What Pasteur, Biot, and their contemporaries did not yet fully understand when Pasteur made his discovery of molecular chirality was the *source* of chirality at the molecular level. It stood to reason that a chiral molecule is one that does not contain a plane of symmetry, and thus cannot be superimposed on its mirror image. We now know that chiral molecules contain one or more **chiral centers**, which are almost always tetrahedral carbons with four different substituents. Consider the cartoon molecule A below: a tetrahedral carbon, with four different substituents denoted by balls of four different colors (for the time being, don't worry about exactly what these substituents could be - we will see real examples very soon).



Another image

The mirror image of A, which we will call B, is drawn on the right side of the figure, and an imaginary mirror is in the middle. Notice that every point on A lines up through the mirror with the same point on B: in other words, if A looked in the mirror, it would see B looking back.

Now, if we flip compound A over and try to superimpose it point for point on compound B, we find that we cannot do it: if we superimpose any two colored balls, then the other two are misaligned.

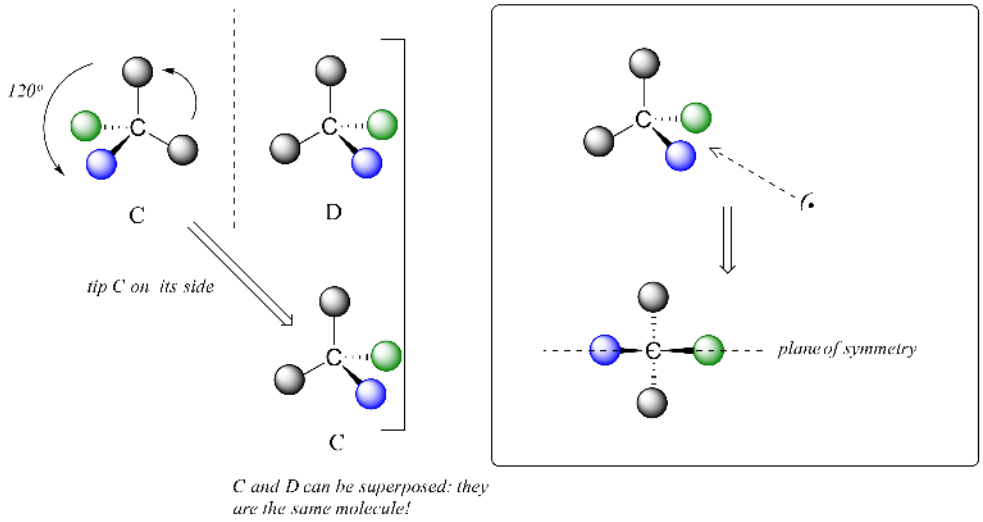


A is not superimposable on its mirror image (B), thus by definition A is a chiral molecule. It follows that B also is not superimposable on *its* mirror image (A), and thus it is also a chiral molecule. Also notice in the figure below (and convince yourself with models) that neither A nor B has an internal plane of symmetry.

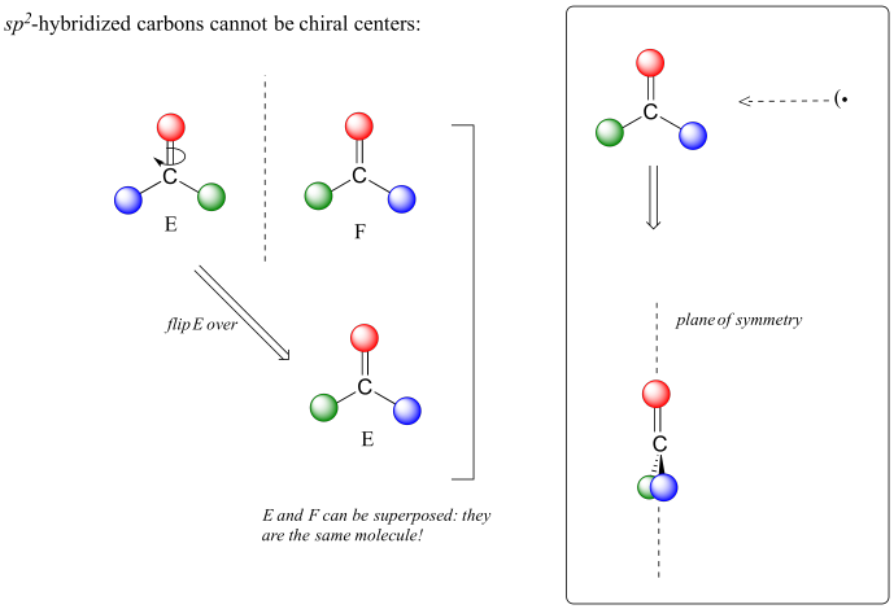
A and B are **stereoisomers**: molecules with the same molecular formula and the same bonding arrangement, but *a different arrangement of atoms in space*. There are two types of stereoisomers: enantiomers and diastereomers. **Enantiomers** are pairs of stereoisomers which are mirror images of each other: thus, A and B are enantiomers. It should be self-evident that a chiral molecule will always have one (and *only* one) enantiomer: enantiomers come in pairs. Enantiomers have identical physical properties (melting point, boiling point, density, and so on). However, enantiomers do differ in how they interact with polarized light and they may also interact in very different ways with other chiral molecules - proteins, for example. We will see many examples throughout the remainder of our study of biological organic chemistry.

Diastereomers are stereoisomers which are *not* mirror images of each other. For now, we will concentrate on understanding enantiomers, and come back to diastereomers later.

We defined a chiral center as a tetrahedral carbon with four different substituents. If, instead, a tetrahedral carbon has two identical substituents (two black atoms in the cartoon figure below), then of course it still has a mirror image (*everything* has a mirror image, unless we are talking about a vampire!) However, it is superimposable on its mirror image, and has a plane of symmetry.



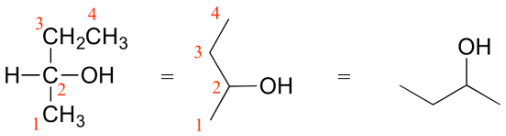
This molecule is **achiral** (lacking chirality). Using the same reasoning, we can see that a trigonal planar (sp^2-hybridized) carbon is also not a chiral center.



Notice that structure E can be superimposed on F, its mirror image - all you have to do is pick E up, flip it over, and it is the same as F. This molecule has a plane of symmetry, and is achiral.

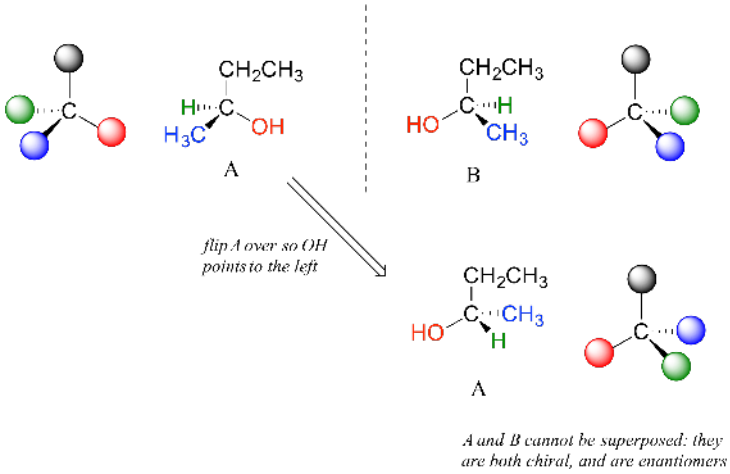
Let's apply our general discussion to real molecules. For now, we will limit our discussion to molecules with a single chiral center. It turns out that tartaric acid, the subject of our chapter introduction, has *two* chiral centers, so we will come back to it later.

Consider 2-butanol, drawn in two dimensions below.



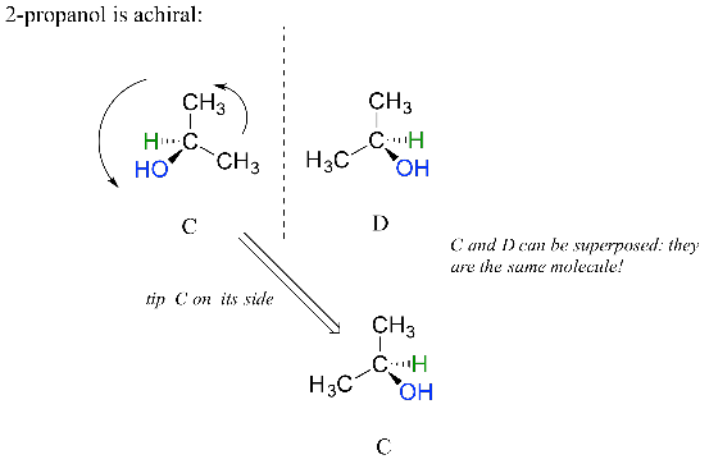
2-butanol
(drawn in 2D)

Carbon #2 is a chiral center: it is tetrahedral (even though it is not drawn that way above), and the four things attached to is are different: a hydrogen, a methyl (-CH_3) group, an ethyl (-CH_2CH_3) group, and a hydroxyl (OH) group. Let's draw the bonding at C_2 in three dimensions, and call this structure A. We will also draw the mirror image of A, and call this structure B.



When we try to superimpose A onto B, we find that we cannot do it. A and B are both chiral molecules, and they are enantiomers of each other.

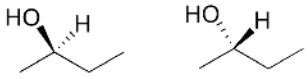
2-propanol, unlike 2-butanol, is *not* a chiral molecule. Carbon #2 is bonded to two identical substituents (methyl groups), and so it is not a chiral center.



Notice that 2-propanol is superimposable on its own mirror image.

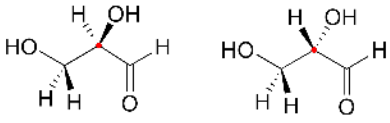
When we look at very simple molecules like 2-butanol, it is not difficult to draw out the mirror image and recognize that it is not superimposable. However, with larger, more complex molecules, this can be a daunting challenge in terms of drawing and three-dimensional visualization. The easy way to determine if a molecule is chiral is simply to look for the presence of one or more chiral centers: molecules with chiral centers will (almost always) be chiral. We insert the 'almost always' caveat here because it is possible to come up with the exception to this rule but don't worry about it for now.

Here's another trick to make your stereochemical life easier: if you want to draw the enantiomer of a chiral molecule, it is not necessary to go to the trouble of drawing the point-for-point mirror image, as we have done up to now for purposes of illustration. Instead, keep the carbon skeleton the same, and simply reverse the solid and dashed wedge bonds on the chiral carbon: that accomplishes the same thing. You should use models to convince yourself that this is true, and also to convince yourself that swapping *any two substituents* about the chiral carbon will result in the formation of the enantiomer.

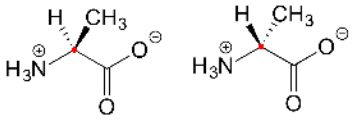


two enantiomers of 2-butanol

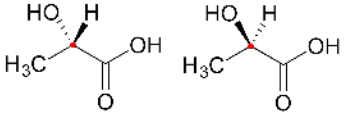
Here are four more examples of chiral biomolecules, each one shown as a pair of enantiomers, with chiral centers marked by red dots.



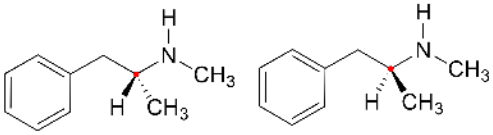
glyceraldehyde



alanine

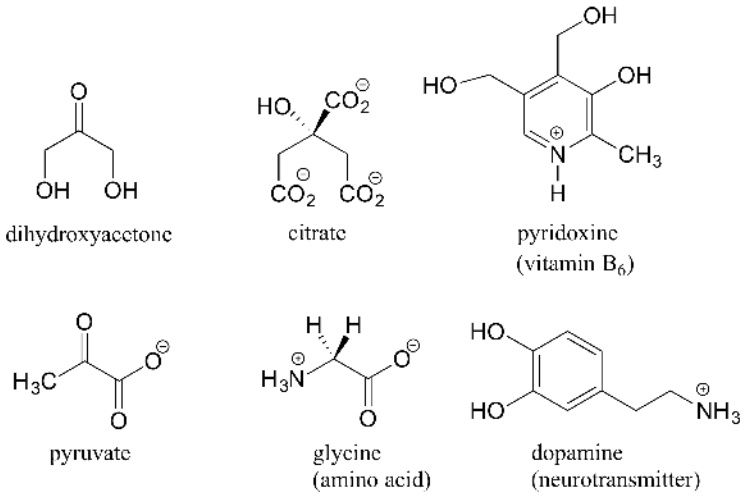


lactic acid



methamphetamine

Here are some examples of ***achiral*** biomolecules - convince yourself that none of them contain a chiral center:

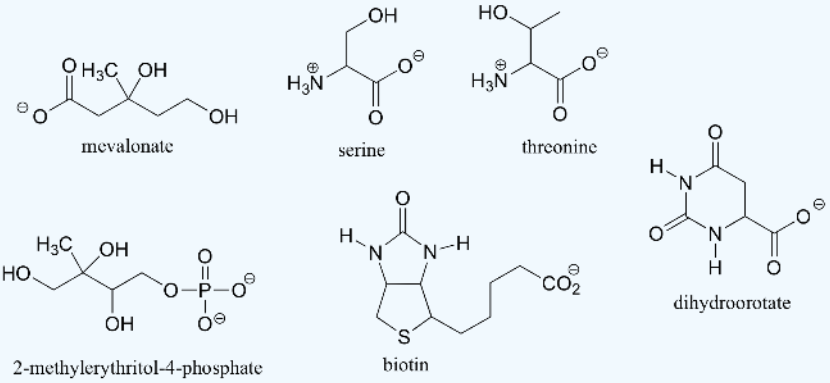


When looking for chiral centers, it is important to recognize that the question of whether or not the dashed/solid wedge drawing convention is used is irrelevant. Chiral molecules are sometimes drawn without using wedges (although obviously this means that stereochemical information is being omitted). Conversely, wedges may be used on carbons that are *not* chiral centers – look, for example, at the drawings of glycine and citrate in the figure above.

Can a chiral center be something other than a tetrahedral carbon with four different substituents? The answer to this question is 'yes' - however, these alternative chiral centers are very rare in the context of biological organic chemistry, and outside the scope of our discussion here.

? Exercise 3.8

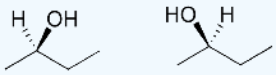
Locate all of the chiral centers (there may be more than one in a molecule). Remember, hydrogen atoms bonded to carbon usually are not drawn in the line structure convention - but they are still there!



Exercise 3.9

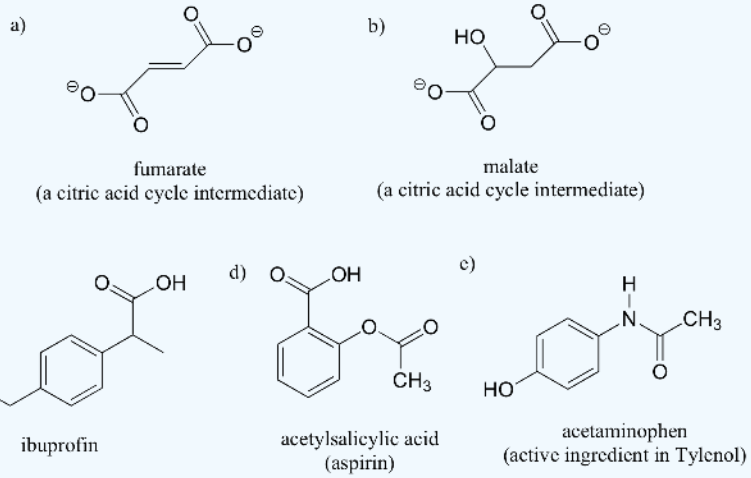
a) Draw two enantiomers of i) mevalonate and ii) serine.

b) Are the two 2-butanol structures below enantiomers?



Exercise 3.10

Label the molecules below as chiral or achiral, and locate all chiral centers.



Solutions to exercises

Khan Academy video tutorials

Chirality

Enantiomers

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3.5: Naming chiral centers- the R and S system

Chemists need a convenient way to distinguish one stereoisomer from another. The **Cahn-Ingold-Prelog system** is a set of rules that allows us to unambiguously define the stereochemical configuration of any stereocenter, using the designations '***R*** ' (from the Latin *rectus*, meaning right-handed) or ' ***S*** ' (from the Latin *sinister*, meaning left-handed).

The rules for this system of stereochemical nomenclature are, on the surface, fairly simple.

Rules for assigning an R/S designation to a chiral center

1: Assign priorities to the four substituents, with #1 being the highest priority and #4 the lowest. Priorities are based on the atomic number.

2: Trace a circle from #1 to #2 to #3.

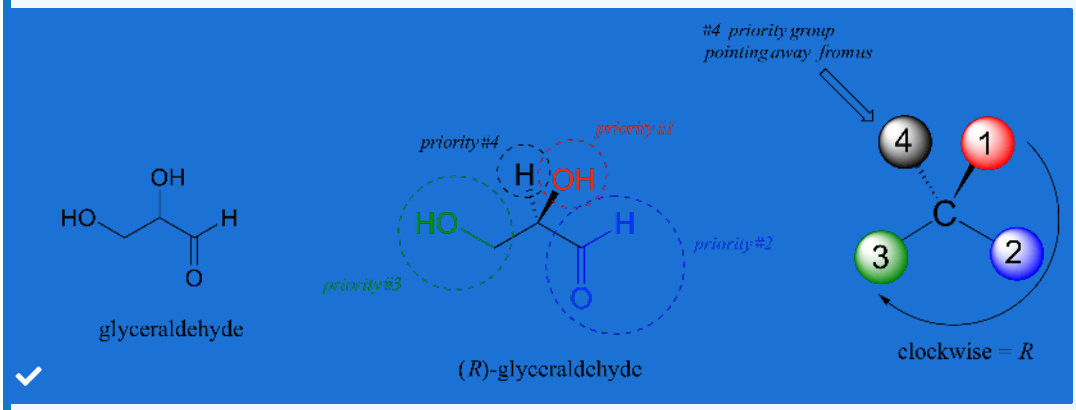
3: Determine the orientation of the #4 priority group. If it is oriented into the plane of the page (away from you), go to step 4a. If it is oriented out of the plane of the page (toward you) go to step 4b.

4a: *(#4 group pointing away from you*): a clockwise circle in part 2 corresponds to the *R* configuration, while a counterclockwise circle corresponds to the *S* configuration.

4b: *(#4 group pointing toward you*): a clockwise circle in part 2 corresponds to the *S* configuration, while a counterclockwise circle corresponds to the *R* configuration.

We'll use the 3-carbon sugar glyceraldehyde as our first example. The first thing that we must do is to assign a **priority** to each of the four substituents bound to the chiral center. We first look at the atoms that are directly bonded to the chiral center: these are H, O (in the hydroxyl), C (in the aldehyde), and C (in the CH_2OH group).

Assigning R/S configuration to glyceraldehyde

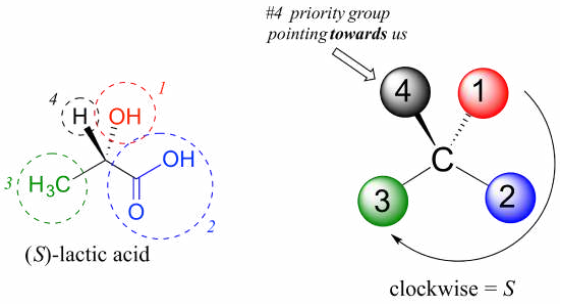


Two priorities are easy: hydrogen, with an atomic number of 1, is the lowest (#4) priority, and the hydroxyl oxygen, with atomic number 8, is priority #1. Carbon has an atomic number of 6. Which of the two 'C' groups is priority #2, the aldehyde or the CH_2OH? To determine this, we move one more bond away from the chiral center: for the aldehyde we have a *double* bond to an oxygen, while on the CH_2OH group we have a *single* bond to an oxygen. If the atom is the same, double bonds have a higher priority than single bonds. Therefore, the aldehyde group is assigned #2 priority and the CH_2OH group the #3 priority.

With our priorities assigned, we look next at the #4 priority group (the hydrogen) and see that it is pointed back away from us, into the plane of the page - thus step 4a from the procedure above applies. Then, we trace a circle defined by the #1, #2, and #3 priority groups, in increasing order. The circle is clockwise, which by step 4a tells us that this carbon has the '*R*' configuration, and that this molecule is (*R*)-glyceraldehyde. Its enantiomer, by definition, must be (*S*)-glyceraldehyde.

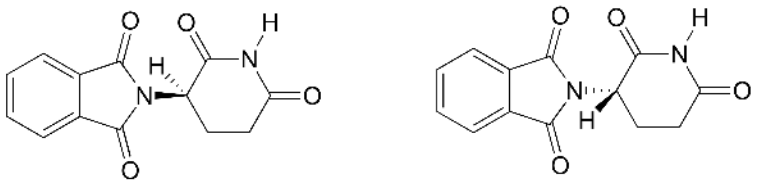
Next, let's look at one of the enantiomers of lactic acid and determine the configuration of the chiral center. Clearly, H is the #4 substituent and OH is #1. Owing to its three bonds to oxygen, the carbon on the acid group takes priority #2, and the methyl group

takes #3. The #4 group, hydrogen, happens to be drawn pointing *toward* us (out of the plane of the page) in this figure, so we use step 4b: The circle traced from #1 to #2 to #3 is clockwise, which means that the chiral center has the *S* configuration.



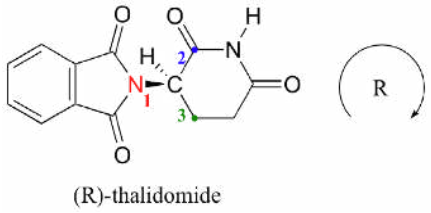
Interactive model of (S)-alanine

The drug thalidomide is an interesting - but tragic - case study in the importance of stereochemistry in drug design. First manufactured by a German drug company and prescribed widely in Europe and Australia in the late 1950's as a sedative and remedy for morning sickness in pregnant women, thalidomide was soon implicated as the cause of devastating birth defects in babies born to women who had taken it. Thalidomide contains a chiral center, and thus exists in two enantiomeric forms. It was marketed as a **racemic mixture**: in other words, a 50:50 mixture of both enantiomers.



two enantiomers of thalidomide

Let's try to determine the stereochemical configuration of the enantiomer on the left. Of the four bonds to the chiral center, the #4 priority is hydrogen. The nitrogen group is #1, the carbonyl side of the ring is #2, and the $-CH_2$ side of the ring is #3.



The hydrogen is shown pointing away from us, and the prioritized substituents trace a clockwise circle: this is the *R* enantiomer of thalidomide. The other enantiomer, of course, must have the S configuration.

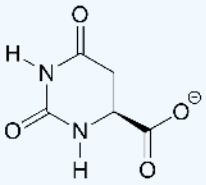
Although scientists are still unsure today how thalidomide works, experimental evidence suggests that it was actually the *R* enantiomer that had the desired medical effects, while the *S* enantiomer caused the birth defects. Even with this knowledge, however, pure (*R*)-thalidomide is not safe, because enzymes in the body rapidly convert between the two enantiomers.

As a historical note, thalidomide was never approved for use in the United States. This was thanks in large part to the efforts of Dr. Frances Kelsey, a Food and Drug officer who, at peril to her career, blocked its approval due to her concerns about the lack of adequate safety studies, particularly with regard to the drug's ability to enter the bloodstream of a developing fetus. Unfortunately, though, at that time clinical trials for new drugs involved widespread and unregulated distribution to doctors and their patients across the country, so families in the U.S. were not spared from the damage caused.

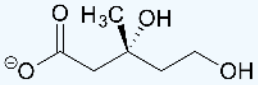
Very recently a close derivative of thalidomide has become legal to prescribe again in the United States, with strict safety measures enforced, for the treatment of a form of blood cancer called multiple myeloma. In Brazil, thalidomide is used in the treatment of leprosy - but despite safety measures, children are still being born with thalidomide-related defects.

Exercise 3.11

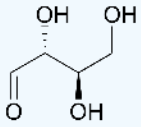
Determine the stereochemical configurations of the chiral centers in the biomolecules shown below.



dihydroorotate



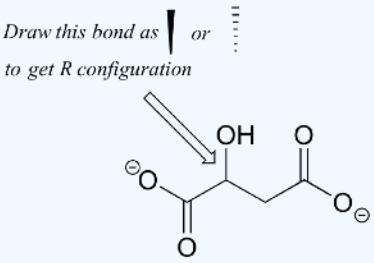
mevalonate



(D)-erythrose

Exercise 3.12

Should the (*R*) enantiomer of malate have a solid or dashed wedge for the C-O bond in the figure below?



(*R*)-malate

Exercise 3.13

Using solid or dashed wedges to show stereochemistry, draw the (*R*) enantiomer of ibuprofen and the (*S*) enantiomer of 2-methylerythritol-4-phosphate (structures are shown earlier in this chapter without stereochemistry).

Solutions to exercises

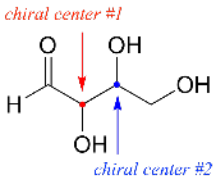
Khan Academy video tutorial on the R-S naming system

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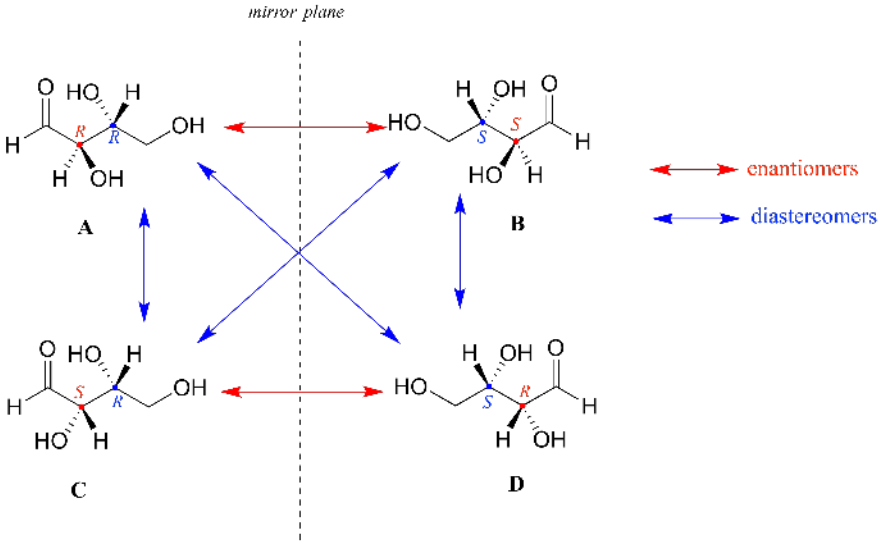
3.6: Compounds with multiple chiral centers

So far, we have been analyzing compounds with a single chiral center. Next, we turn our attention to those which have multiple chiral centers. We'll start with some stereoisomeric four-carbon sugars with two chiral centers.



To avoid confusion, we will simply refer to the different stereoisomers by capital letters.

Look first at compound A below. Both chiral centers in have the *R* configuration (you should confirm this for yourself!). The mirror image of Compound A is compound B, which has the *S* configuration at both chiral centers. If we were to pick up compound A, flip it over and put it next to compound B, we would see that they are *not* superimposable (again, confirm this for yourself with your models!). A and B are nonsuperimposable mirror images: in other words, enantiomers.



Now, look at compound C, in which the configuration is *S* at chiral center 1 and *R* at chiral center 2. Compounds A and C are stereoisomers: they have the same molecular formula and the same bond connectivity, but a different arrangement of atoms in space (recall that this is the definition of the term 'stereoisomer). However, they are *not* mirror images of each other (confirm this with your models!), and so they are *not* enantiomers. By definition, they are **diastereomers** of each other.

Notice that compounds C and B also have a diastereomeric relationship, by the same definition.

So, compounds A and B are a pair of enantiomers, and compound C is a diastereomer of both of them. Does compound C have its own enantiomer? Compound D is the mirror image of compound C, and the two are not superimposable. Therefore, C and D are a pair of enantiomers. Compound D is also a diastereomer of compounds A and B.

This can also seem very confusing at first, but there some simple shortcuts to analyzing stereoisomers:

Stereoisomer shortcuts

If ***all*** of the chiral centers are of opposite R/S configuration between two stereoisomers, they are enantiomers.

If ***at least one, but not all*** of the chiral centers are opposite between two stereoisomers, they are diastereomers.

(*Note: these shortcuts to not take into account the possibility of additional stereoisomers due to alkene groups: we will come to that later)*

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CHAPTER OVERVIEW

4: Aldehydes and Ketones

4.1: The Carbonyl Group

4.2: Aldehydes and Ketones- Structure and Names

4.3: Properties of Aldehydes and Ketones

4.4: Some Common Aldehydes and Ketones

4.5: Oxidation of Aldehydes

4.6: Reduction of Aldehydes and Ketones

4.7: Addition of Alcohols to form Hemiacetals and Acetals

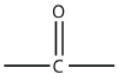
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4.1: The Carbonyl Group

Learning Objective

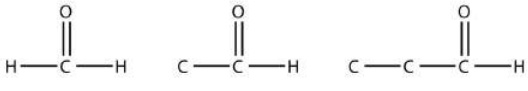
- Identify the aldehyde and ketone functional groups.

There are other functional groups that contain O atoms. Before we introduce them, we define the **carbonyl group**, which is formed when an O atom and a C atom are joined by a double bond:



The other two bonds on the C atom are attached to other atoms. It is the identities of these other atoms that define what specific type of compound an organic molecule is.

If one bond of the carbonyl group is made to an H atom, then the molecule is classified as an **aldehyde** (If there are two H atoms, there is only 1 C atom). When naming aldehydes, the main chain of C atoms must include the carbon in the carbonyl group, which is numbered as position 1 in the carbon chain. The parent name of the hydrocarbon is used, but the suffix *-al* is appended. (Do not confuse *-al* with *-ol*, which is the suffix used for alcohols.) So we have



Methanal has a common name with which you may be familiar: formaldehyde. The main thing to note about aldehydes is that the carbonyl group is at the *end* of a carbon chain.

A carbonyl group in the middle of a carbon chain implies that both remaining bonds of the carbonyl group are made to C atoms. This type of molecule is called a **ketone.** Despite the fact that aldehydes and ketones have the same carbonyl group, they have different chemical and physical properties and are properly grouped as two different types of compounds. The smallest ketone has three C atoms in it. When naming a ketone, we take the name of the parent hydrocarbon and change the suffix to *-one*:



The common name for propanone is acetone. With larger ketones, we must use a number to indicate the position of the carbonyl group, much like a number is used with alkenes and alkynes:



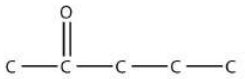
There is another way to name ketones: name the alkyl groups that are attached to the carbonyl group and add the word *ketone* to the name. So propanone can also be called dimethyl ketone, while 2-butanone is called methyl ethyl ketone.

Example 4.1.1

Draw the structure of 2-pentanone.

Solution

This molecule has five C atoms in a chain, with the carbonyl group on the second C atom. Its structure is as follows:



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4.2: Aldehydes and Ketones- Structure and Names

Learning Objectives

- Identify the general structure for an aldehyde and a ketone.
- Use common names to name aldehydes and ketones.
- Use the IUPAC system to name aldehydes and ketones.

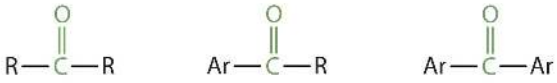
The next functional group we consider, the carbonyl group, has a carbon-to-oxygen double bond.



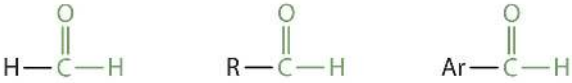
Carbonyl groups define two related families of organic compounds: the aldehydes and the ketones.

> *The carbonyl group is ubiquitous in biological compounds. It is found in carbohydrates, fats, proteins, nucleic acids, hormones, and vitamins—organic compounds critical to living systems.*

In a ketone, two carbon groups are attached to the carbonyl carbon atom. The following general formulas, in which R represents an alkyl group and Ar stands for an aryl group, represent ketones.



In an aldehyde, at least one of the attached groups must be a hydrogen atom. The following compounds are aldehydes:



In condensed formulas, we use CHO to identify an aldehyde rather than COH, which might be confused with an alcohol. This follows the general rule that in condensed structural formulas H comes after the atom it is attached to (usually C, N, or O).



An aldehyde

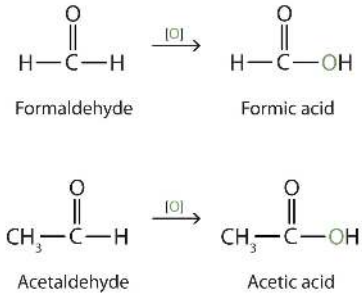


A ketone

The carbon-to-oxygen double bond is not shown but understood to be present. Because they contain the same functional group, aldehydes and ketones share many common properties, but they still differ enough to warrant their classification into two families.

4.2.1 Naming Aldehydes and Ketones

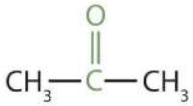
Both common and International Union of Pure and Applied Chemistry (IUPAC) names are frequently used for aldehydes and ketones, with common names predominating for the lower homologs. The common names of aldehydes are taken from the names of the acids into which the aldehydes can be converted by *oxidation*.



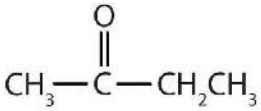
The stems for the common names of the first four aldehydes are as follows:

- 1 carbon atom: *form-*
- 2 carbon atoms: *acet-*
- 3 carbon atoms: *propion-*
- 4 carbon atoms: *butyr-*

Because the carbonyl group in a ketone must be attached to two carbon groups, the simplest ketone has three carbon atoms. It is widely known as *acetone*, a unique name unrelated to other common names for ketones.

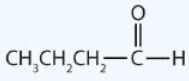


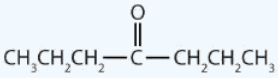
Generally, the common names of ketones consist of the names of the groups attached to the carbonyl group, followed by the word *ketone*. (Note the similarity to the naming of ethers.) Another name for acetone, then, is *dimethyl ketone*. The ketone with four carbon atoms is ethyl methyl ketone.

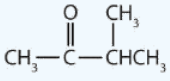


Example 4.2.1

Classify each compound as an aldehyde or a ketone. Give the common name for each ketone.

a. 

b. 

c. 

Solution

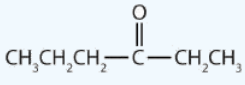
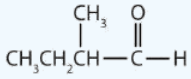
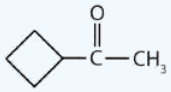
a. This compound has the carbonyl group on an end carbon atom, so it is an aldehyde.

b. This compound has the carbonyl group on an interior carbon atom, so it is a ketone. Both alkyl groups are propyl groups. The name is therefore dipropyl ketone.

c. This compound has the carbonyl group between two alkyl groups, so it is a ketone. One alkyl group has three carbon atoms and is attached by the middle carbon atom; it is an isopropyl group. A group with one carbon atom is a methyl group. The name is therefore isopropyl methyl ketone.

? Exercise 4.2.1

Classify each compound as an aldehyde or a ketone. Give the common name for each ketone.

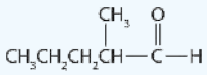
1. 
2. 
3. 

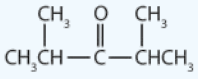
Here are some simple IUPAC rules for naming aldehydes and ketones:

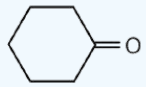
- The stem names of aldehydes and ketones are derived from those of the parent alkanes, defined by the longest continuous chain (LCC) of carbon atoms that contains the functional group.
- For an aldehyde, drop the *-e* from the alkane name and add the ending *-al*. Methanal is the IUPAC name for formaldehyde, and ethanal is the name for acetaldehyde.
- For a ketone, drop the *-e* from the alkane name and add the ending *-one*. Propanone is the IUPAC name for acetone, and butanone is the name for ethyl methyl ketone.
- To indicate the position of a substituent on an aldehyde, the carbonyl carbon atom is always considered to be C1; it is unnecessary to designate this group by number.
- To indicate the position of a substituent on a ketone, number the chain in the manner that gives the carbonyl carbon atom the lowest possible number. In cyclic ketones, it is understood that the carbonyl carbon atom is C1.

✓ Example 4.2.2

Give the IUPAC name for each compound.

a. 

b. 

c. 

Solution

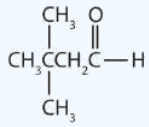
a. There are five carbon atoms in the LCC. The methyl group (CH_3) is a substituent on the second carbon atom of the chain; the aldehyde carbon atom is always C1. The name is derived from pentane. Dropping the *-e* and adding the ending *-al* gives pentanal. The methyl group on the second carbon atom makes the name 2-methylpentanal.

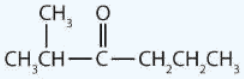
b. There are five carbon atoms in the LCC. The carbonyl carbon atom is C3, and there are methyl groups on C2 and C4. The IUPAC name is 2,4-dimethyl-3-pentanone.

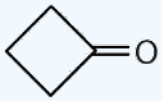
c. There are six carbon atoms in the ring. The compound is cyclohexanone. No number is needed to indicate the position of the carbonyl group because all six carbon atoms are equivalent.

Exercise

Give the IUPAC name for each compound.

a. 

b. 

c. 

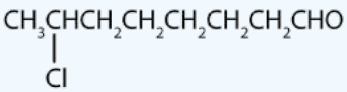
Example 4.2.3

Draw the structure for each compound.

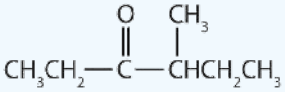
a. 7-chlorooctanal
b. 4-methyl–3-hexanone

Solution

a. The *octan-* part of the name tells us that the LCC has eight carbon atoms. There is a chlorine (Cl) atom on the seventh carbon atom; numbering from the carbonyl group and counting the carbonyl carbon atom as C1, we place the Cl atom on the seventh carbon atom.



b. The *hexan-* part of the name tells us that the LCC has six carbon atoms. The 3 means that the carbonyl carbon atom is C3 in this chain, and the 4 tells us that there is a methyl (CH_3) group at C4:



Exercise 4.2.3

Draw the structure for each compound.

a. 5-bromo-3-iodoheptanal
b. 5-bromo-4-ethyl-2-heptanone

Summary

The common names of aldehydes are taken from the names of the corresponding carboxylic acids: formaldehyde, acetaldehyde, and so on. The common names of ketones, like those of ethers, consist of the names of the groups attached to the carbonyl group, followed by the word *ketone*. Stem names of aldehydes and ketones are derived from those of the parent alkanes, using an *-al* ending for an aldehydes and an *-one* ending for a ketone.

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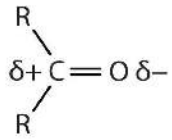
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4.3: Properties of Aldehydes and Ketones

Learning Objectives

- Explain why the boiling points of aldehydes and ketones are higher than those of ethers and alkanes of similar molar masses but lower than those of comparable alcohols.
- Compare the solubilities in water of aldehydes and ketones of four or fewer carbon atoms with the solubilities of comparable alkanes and alcohols.

The carbon-to-oxygen double bond is quite polar, more polar than a carbon-to-oxygen single bond. The electronegative oxygen atom has a much greater attraction for the bonding electron pairs than does the carbon atom. The carbon atom has a partial positive charge, and the oxygen atom has a partial negative charge:



In aldehydes and ketones, this charge separation leads to dipole-dipole interactions that are great enough to significantly affect the boiling points. Table **4.3.1** shows that the polar single bonds in ethers have little such effect, whereas hydrogen bonding between alcohol molecules is even stronger.

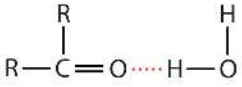
Table **4.3.1**: Boiling Points of Compounds Having Similar Molar Masses but Different Types of Intermolecular Forces

Compound	Family	Molar Mass	Type of Intermolecular Forces	Boiling Point (°C)
$CH_3CH_2CH_2CH_3$	alkane	58	dispersion only	–1
$CH_3OCH_2CH_3$	ether	60	weak dipole	6
CH_3CH_2CHO	aldehyde	58	strong dipole	49
$CH_3CH_2CH_2OH$	alcohol	60	hydrogen bonding	97

Formaldehyde is a gas at room temperature. Acetaldehyde boils at 20°C; in an open vessel, it boils away in a warm room. Most other common aldehydes are liquids at room temperature.

Although the lower members of the homologous series have pungent odors, many higher aldehydes have pleasant odors and are used in perfumes and artificial flavorings. As for the ketones, acetone has a pleasant odor, but most of the higher homologs have rather bland odors.

The oxygen atom of the carbonyl group engages in hydrogen bonding with a water molecule.



The solubility of aldehydes is therefore about the same as that of alcohols and ethers. Formaldehyde, acetaldehyde, and acetone are soluble in water. As the carbon chain increases in length, solubility in water decreases. The borderline of solubility occurs at about four carbon atoms per oxygen atom. All aldehydes and ketones are soluble in organic solvents and, in general, are less dense than water.

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4.4: Some Common Aldehydes and Ketones

Learning Objectives

- Recognize the physical properties of aldehydes and ketones in many familiar substances.

Formaldehyde has an irritating odor. Because of its reactivity, it is difficult to handle in the gaseous state. For many uses, it is therefore dissolved in water and sold as a 37% to 40% aqueous solution called *formalin*. Formaldehyde denatures proteins, rendering them insoluble in water and resistant to bacterial decay. For this reason, formalin is used in embalming solutions and in preserving biological specimens.

Aldehydes are the active components in many other familiar substances. Large quantities of formaldehyde are used to make phenol-formaldehyde resins for gluing the wood sheets in plywood and as adhesives in other building materials. Sometimes the formaldehyde escapes from the materials and causes health problems in some people. While some people seem unaffected, others experience coughing, wheezing, eye irritation, and other symptoms.

> *The odor of green leaves is due in part to a carbonyl compound, cis-3-hexenal, which with related compounds is used to impart a "green" herbal odor to shampoos and other products.*

Acetaldehyde is an extremely volatile, colorless liquid. It is a starting material for the preparation of many other organic compounds. Acetaldehyde is formed as a metabolite in the fermentation of sugars and in the detoxification of alcohol in the liver. Aldehydes are the active components of many other familiar materials (Figure **4.4.2**).

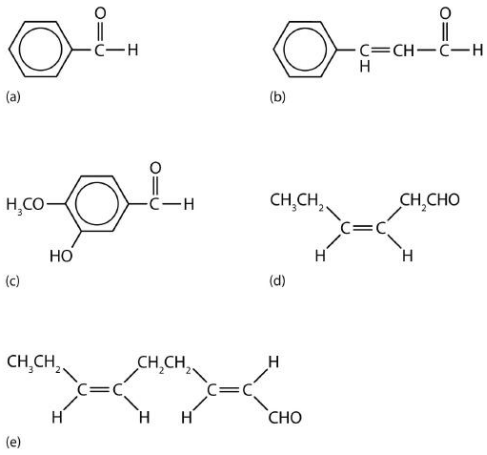


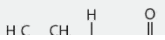
Figure **4.4.2** Some Interesting Aldehydes. (a) Benzaldehyde is an oil found in almonds; (b) cinnamaldehyde is oil of cinnamon; (c) vanillin gives vanilla its flavor; (d) *cis*-3-hexenal provides an herbal odor; and (e) *trans*-2-*cis*-6-nonadienal gives a cucumber odor.

Acetone is the simplest and most important ketone. Because it is miscible with water as well as with most organic solvents, its chief use is as an industrial solvent (for example, for paints and lacquers). It is also the chief ingredient in some brands of nail polish remover.

To Your Health: Acetone in Blood, Urine, and Breath

Acetone is formed in the human body as a by-product of lipid metabolism. Normally, acetone does not accumulate to an appreciable extent because it is oxidized to carbon dioxide and water. The normal concentration of acetone in the human body is less than 1 mg/100 mL of blood. In certain disease states, such as uncontrolled diabetes mellitus, the acetone concentration rises to higher levels. It is then excreted in the urine, where it is easily detected. In severe cases, its odor can be noted on the breath.

Ketones are also the active components of other familiar substances, some of which are noted in the accompanying figure.



Some ketones have interesting properties: (a) Butter flavoring comes from 2,3-butanedione; (b) β-ionone is responsible for the odor of violets; (c) muscone is musk oil, an ingredient in perfumes; and (d) camphor is used in some insect repellents.

Certain steroid hormones have the ketone functional group as a part of their structure. Two examples are progesterone, a hormone secreted by the ovaries that stimulates the growth of cells in the uterine wall and prepares it for attachment of a fertilized egg, and testosterone, the main male sex hormone. These and other sex hormones affect our development and our lives in fundamental ways.

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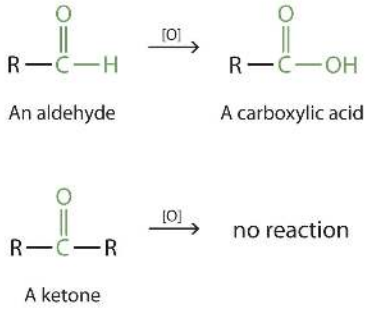
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4.5: Oxidation of Aldehydes

Learning Objectives

- predict the products of oxidation reactions involving aldehydes and ketones.

Aldehydes and ketones are much alike in many of their reactions, owing to the presence of the carbonyl functional group in both. They differ greatly, however, in one most important type of reaction: oxidation. Aldehydes are readily oxidized to carboxylic acids, whereas ketones resist oxidation.



The aldehydes are, in fact, among the most easily oxidized of organic compounds. They are oxidized by oxygen (O_2) in air to carboxylic acids.

$$2RCHO + O_2 \rightarrow 2RCOOH \tag{4.5.1}$$

The ease of oxidation helps chemists identify aldehydes. A sufficiently mild oxidizing agent can distinguish aldehydes not only from ketones but also from alcohols. Tollens' reagent, for example, is an alkaline solution of silver (Ag^+) ion complexed with ammonia (NH_3), which keeps the Ag^+ ion in solution.

$$H_3N—Ag^+—NH_3 \tag{4.5.2}$$

When Tollens' reagent oxidizes an aldehyde, the Ag^+ ion is reduced to free silver (Ag).

$$\underbrace{\mathrm{RCHO\,(aq)}}_{\text{an aldehyde}} + 2\,\mathrm{Ag(NH_3)_2^+\,(aq)} \longrightarrow \mathrm{RCOO^-} + \underbrace{2\,\mathrm{Ag\,(s)}}_{\text{free silver}} + 4\,\mathrm{NH_3\,(aq)} + 2\,\mathrm{H_2O}$$

Deposited on a clean glass surface, the silver produces a mirror (Figure **4.5.1**). Ordinary ketones do not react with Tollens' reagent.



Figure **4.5.1**: Aldehyde Reactions. A reaction related to the Tollens' reaction is often used to silver mirrors. These ornaments were silvered by such a reaction. Glucose, a simple sugar with an aldehyde functional group, is used as the reducing agent. Source: Photo courtesy of Krebs Glas Lauscha, commons.wikimedia.org/wiki/File:Silvering.jpg.

Although ketones resist oxidation by ordinary laboratory oxidizing agents, they undergo combustion, as do aldehydes.

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4.6: Reduction of Aldehydes and Ketones

Despite the fearsome names, the structures of the two reducing agents are very simple. In each case, there are four hydrogens ("tetrahydido") around either aluminium or boron in a negative ion (shown by the "ate" ending). The "(III)" shows the oxidation state of the aluminium or boron, and is often left out because these elements only ever show the +3 oxidation state in their compounds.

The formulae of the two compounds are $LiAlH_4$ and $NaBH_4$. Their structures are:

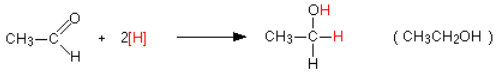


lithium tetrahydridoaluminate sodium tetrahydridoborate

In each of the negative ions, one of the bonds is a co-ordinate covalent (dative covalent) bond using the lone pair on a hydride ion (H-) to form a bond with an empty orbital on the aluminium or boron.

4.6.1 The reduction of an aldehyde

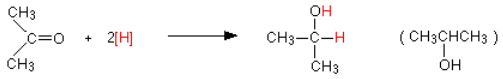
You get exactly the same organic product whether you use lithium tetrahydridoaluminate or sodium tetrahydridoborate. For example, with ethanal you get ethanol:



Notice that this is a simplified equation where [H] means "hydrogen from a reducing agent". In general terms, reduction of an aldehyde leads to a primary alcohol.

4.6.2 The reduction of a Ketone

Again the product is the same whichever of the two reducing agents you use. For example, with propanone you get propan-2-ol:

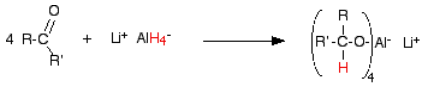


Reduction of a ketone leads to a secondary alcohol.

4.6.3 Using lithium tetrahydridoaluminate (lithium aluminium hydride)

Lithium tetrahydridoaluminate is much more reactive than sodium tetrahydridoborate. It reacts violently with water and alcohols, and so any reaction must exclude these common solvents. The reactions are usually carried out in solution in a carefully dried ether such as ethoxyethane (diethyl ether). The reaction happens at room temperature, and takes place in two separate stages.

In the first stage, a salt is formed containing a complex aluminium ion. The following equations show what happens if you start with a general aldehyde or ketone. R and R' can be any combination of hydrogen or alkyl groups.



The product is then treated with a dilute acid (such as dilute sulfuric acid or dilute hydrochloric acid) to release the alcohol from the complex ion.

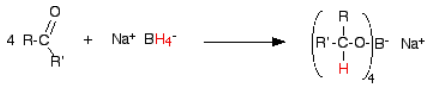


The alcohol formed can be recovered from the mixture by fractional distillation.

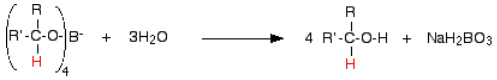
4.6.4 Using sodium tetrahydridoborate (sodium borohydride)

Sodium tetrahydridoborate is a more gentle (and therefore safer) reagent than lithium tetrahydridoaluminate. It can be used in solution in alcohols or even solution in water - provided the solution is alkaline. Solid sodium tetrahydridoborate is added to a solution of the aldehyde or ketone in an alcohol such as methanol, ethanol or propan-2-ol. Depending on which recipe you read, it is either heated under reflux or left for some time around room temperature. This almost certainly varies depending on the nature of the aldehyde or ketone.

At the end of this time, a complex similar to the previous one is formed.



In the second stage of the reaction, water is added and the mixture is boiled to release the alcohol from the complex.



Again, the alcohol formed can be recovered from the mixture by fractional distillation.

4.6.5 Contributors

- Jim Clark (Chemguide.co.uk)

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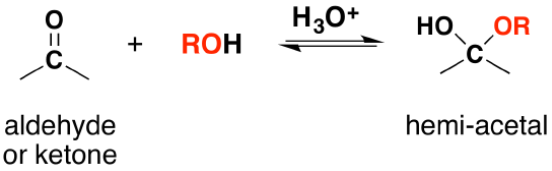
4.7: Addition of Alcohols to form Hemiacetals and Acetals

In this topic, we shall see how alcohols (R-OH) add to Aldehydes and Ketones.

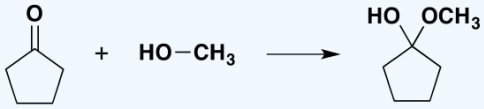
4.7.1 Introduction

It has been demonstrated that water adds rapidly to the carbonyl function of aldehydes and ketones. In a similar reaction alcohols add to aldehydes and ketones to form hemiacetals (h*emi*, Greek, half). This reaction can continue by adding another alcohol to form an acetal. Hemiacetals and acetals are important functional groups because they appear in sugars.

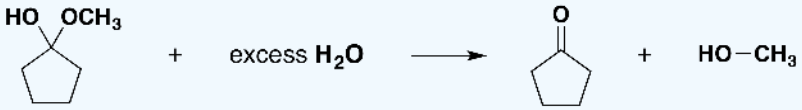
4.7.2 Formation of Hemiacetals



Example 1: Formation of Hemiacetals



Example 2: Hemiacetal Reversibility

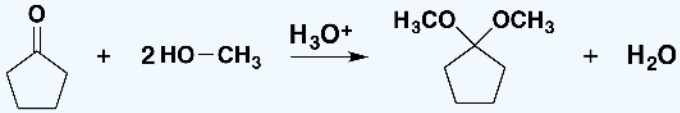


4.7.3 Formation of Acetals

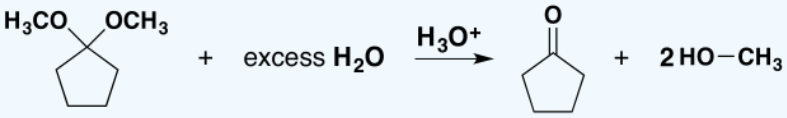
Acetals are derivatives of aldehydes or ketones, formed by reaction with two equivalents (or an excess amount) of an alcohol and elimination of water. Ketone derivatives of this kind were once called ketals, but modern usage has dropped that term. It is important to note that a hemiacetal is formed as an intermediate during the formation of an acetal.



Example 3: Formation of Acetals

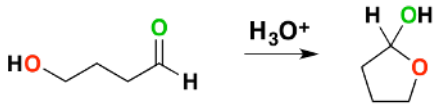


Example 4: Acetal Reversibility

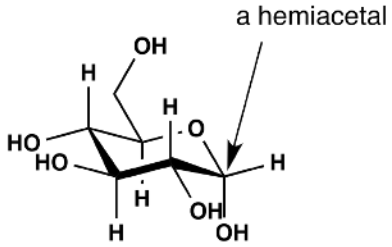


4.7.4 Formation of Cyclic Hemiacetal and Acetals

Molecules which have an alcohol and a carbonyl can undergo an intramolecular reaction to form a cyclic hemiacetal.



Intramolecular Hemiacetal formation is common in sugar chemistry. For example, the common sugar glucose exists in the cylcic manner more than 99% of the time in a mixture of aqueous solution.



D-glucose

4.7.5 References

1. Vollhardt, K. Peter C., and Neil E. Schore. Organic Chemistry: Structure and Function. New York: W.H. Freeman and Company, 2007
2. Carey, Francis. Advanced Organic Chemistry. 5th ed. Springer, 2007.

4.7.6 Outside Links

- http://en.Wikipedia.org/wiki/Acetal
- http://en.Wikipedia.org/wiki/Hemiacetal

4.7.7 Contributors

- Prof. Steven Farmer (Sonoma State University)
- William Reusch, Professor Emeritus (Michigan State U.), Virtual Textbook of Organic Chemistry
- Ekram Alexander and Ahmed Rahim (UCD)

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CHAPTER OVERVIEW

5: Amines

5.1: Amines - Structures and Names

5.2: Physical Properties of Amines

5.3: Amines as Bases

5.4: Amines in Plants- Alkaloids

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5.1: Amines - Structures and Names

Learning Objectives

- Identify the general structure for an amine.
- Identify the functional group for amines.
- Determine the structural feature that classifies amines as primary, secondary, or tertiary.
- Use nomenclature systems to name amines.

Amines are classified according to the number of carbon atoms bonded directly to the nitrogen atom. A primary (1°) amine has one alkyl (or aryl) group on the nitrogen atom, a secondary (2°) amine has two, and a tertiary (3°) amine has three (Figure **5.1.1**).

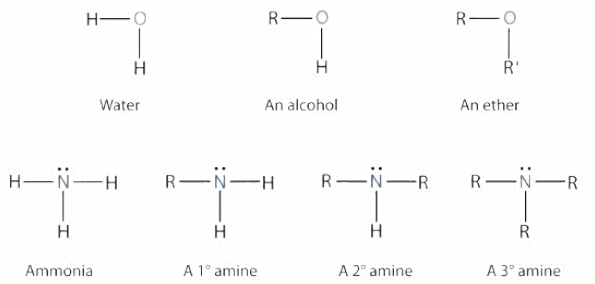


Figure **5.1.1**: The Structure of Amines Compared to Water, an Alcohol, and an Ether

To classify alcohols, we look at the number of carbon atoms bonded to the *carbon atom* bearing the OH group, not the oxygen atom itself. Thus, although isopropylamine looks similar to isopropyl alcohol, the former is a *primary* amine, while the latter is a *secondary* alcohol.



The common names for simple aliphatic amines consist of an alphabetic list of alkyl groups attached to the nitrogen atom, followed by the suffix *-amine*. (Systematic names are often used by some chemists.) The amino group (NH_2) is named as a substituent in more complicated amines, such as those that incorporate other functional groups or in which the alkyl groups cannot be simply named.

Example 5.1.1

Name and classify each compound.

a. $CH_3CH_2CH_2NH_2$

b. 

c. $CH_3CH_2NHCH_2CH_3$

d. $CH_3CH_2CH_2NHCH_3$

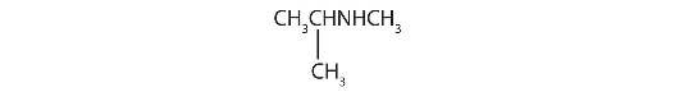
Solution

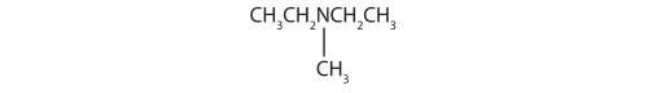
a. There is only one alkyl group attached to the nitrogen atom, so the amine is primary. A group of three carbon atoms (a propyl group) is attached to the NH_2 group through an end carbon atom, so the name is propylamine.

b. There are two methyl groups and one ethyl group on the nitrogen atom. The compound is ethyldimethylamine, a tertiary amine.
c. There are two ethyl groups attached to the nitrogen atom; the amine is secondary, so the compound is diethylamine.
d. The nitrogen atom has a methyl group and a propyl group, so the compound is methylpropylamine, a secondary amine.

Exercise 5.1.1

Name and classify each compound.

a. 

b. 

c. $CH_3CH_2CH_2CH_2NH_2$
d. $CH_3CH_2CH_2NHCH_2CH_2\ CH_3$

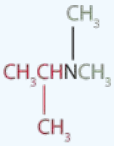
Example 5.1.2

Draw the structure for each compound and classify.

a. isopropyldimethylamine
b. dipropylamine

Solution

a. The name indicates that there are an isopropyl group (in red) and two methyl groups (in green) attached to the nitrogen atom; the amine is tertiary.



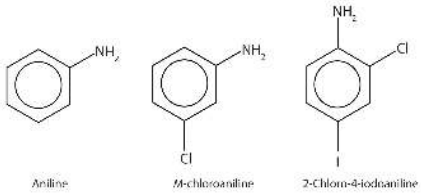
b. The name indicates that there are two propyl groups attached to the nitrogen atom; the amine is secondary. (The third bond on the nitrogen atom goes to a hydrogen atom.) $CH_3CH_2CH_2NHCH_2CH_2CH_3$

Exercise 5.1.2

Draw the structure for each compound and classify.

a. ethylisopropylamine
b. diethylpropylamine

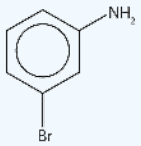
The primary amine in which the nitrogen atom is attached directly to a benzene ring has a special name—**aniline**. Aryl amines are named as derivatives of aniline.



Aniline | *M*-chloroaniline | 2-Chloro-4-iodoaniline

Example 5.1.3

Name this compound.

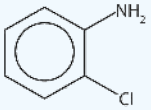


Solution

The benzene ring with an amino (NH_2) group is aniline. The compound is named as a derivative of aniline: 3-bromoaniline or *m*-bromoaniline.

Exercise 5.1.3

Name this compound.

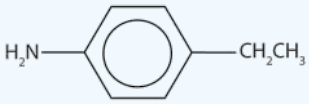


Example 5.1.4

Draw the structure for *p*-ethylaniline and classify.

Solution

The compound is a derivative of aniline. It is a primary amine having an ethyl group located *para* to the amino (NH_2) group.



Exercise 5.1.4

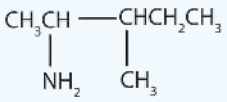
Draw the structure for *p*-isopropylaniline and classify.

Example 5.1.5

Draw the structure for 2-amino-3-methylpentane.

Solution

Always start with the parent compound: draw the pentane chain. Then attach a methyl group at the third carbon atom and an amino group at the second carbon atom.



Exercise 5.1.5

Draw the structure for 2-amino-3-ethyl-1-chloroheptane.

Ammonium (NH_4^+) ions, in which one or more hydrogen atoms are replaced with alkyl groups, are named in a manner analogous to that used for simple amines. The alkyl groups are named as substituents, and the parent species is regarded as the NH_4^+ ion. For example, $CH_3NH_3^+$ is the methylammonium ion. The ion formed from aniline ($C_6H_5NH_3^+$) is called the anilinium ion.

Example 5.1.6

Name each ion.

a. $CH_3NH_3^+$
b. $(CH_3)_2NH_2^+$
c. $(CH_3)_3NH^+$
d. $(CH_3)_4N^+$

Solution

The ions have one, two, three, and four methyl (CH_3) groups attached to a nitrogen atom. Their names are as follows:

a. methylammonium ion
b. dimethylammonium ion
c. trimethylammonium ion
d. tetramethylammonium ion

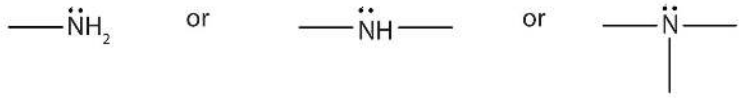
Exercise 5.1.6

Name each ion.

a. $CH_3CH_2NH_3^+$
b. $(CH_3CH_2)_3NH^+$
c. $(CH_3CH_2CH_2)_2NH_2^+$
d. $(CH_3CH_2CH_2CH_2)_4N^+$

Summary

An amine is a derivative of ammonia in which one, two, or all three hydrogen atoms are replaced by hydrocarbon groups. The amine functional group is as follows:



Amines are classified as primary, secondary, or tertiary by the number of hydrocarbon groups attached to the nitrogen atom. Amines are named by naming the alkyl groups attached to the nitrogen atom, followed by the suffix *-amine*.

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5.2: Physical Properties of Amines

Learning Objectives

- Explain why the boiling points of primary and secondary amines are higher than those of alkanes or ethers of similar molar mass but are lower than those of alcohols.
- Compare the boiling points of tertiary amines with alcohols, alkanes, and ethers of similar molar mass.
- Compare the solubilities in water of amines of five or fewer carbon atoms with the solubilities of comparable alkanes and alcohols in water.

Primary and secondary amines have hydrogen atoms bonded to an nitrogen atom and are therefore capable of hydrogen bonding (part (a) of Figure **5.2.1**), although not as strongly as alcohol molecules (which have hydrogen atoms bonded to an oxygen atom, which is more electronegative than nitrogen). These amines boil at higher temperatures than alkanes but at lower temperatures than alcohols of comparable molar mass. For example, compare the boiling point of methylamine (CH_3NH_2; −6°C) with those of ethane (CH_3CH_3; −89°C) and methanol (CH_3OH; 65°C). Tertiary amines have no hydrogen atom bonded to the nitrogen atom and so cannot participate in intermolecular hydrogen bonding. They have boiling points comparable to those of ethers (Table **5.2.1**).

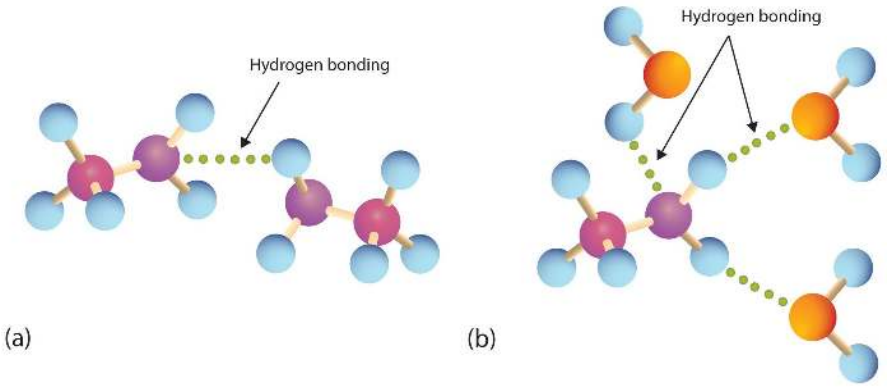


Figure **5.2.1**: Hydrogen Bonding. (a) Amine molecules are associated through hydrogen bonding. (b) An amine molecule can form a hydrogen bond with water molecules.

Table **5.2.1**: Physical Properties of Some Amines and Comparable Oxygen-Containing Compounds

Name	Condensed Structural Formula	Class	Molar Mass	Boiling Point (°C)	Solubility at 25°C (g/100 g Water)
butylamine	$CH_3CH_2CH_2CH_2NH_2$	1°	73	78	miscible
diethylamine	$(CH_3CH_2)_2NH$	2°	73	55	miscible
butyl alcohol	$CH_3CH_2CH_2CH_2OH$	—	74	118	8
dipropylamine	$(CH_3CH_2CH_2)_2NH$	2°	101	111	4
triethylamine	$(CH_3CH_2)_3N$	3°	101	90	14
dipropyl ether	$(CH_3CH_2CH_2)_2O$	—	102	91	0.25

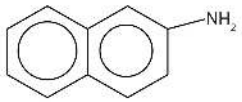
All three classes of amines can engage in hydrogen bonding with water (Figure **5.2.1***b*). Amines of low molar mass are quite soluble in water; the borderline of solubility in water is at five or six carbon atoms.

To Your Health: Amines in Death and Life

Amines have “interesting” odors. The simple ones smell very much like ammonia. Higher aliphatic amines smell like decaying fish. Or perhaps we should put it the other way around: Decaying fish give off odorous amines. The stench of rotting fish is due in part to two diamines: putrescine and cadaverine. They arise from the decarboxylation of ornithine and lysine, respectively, amino acids that are found in animal cells.

$$HOCH_2CH_2OH$$

Aromatic amines generally are quite toxic. They are readily absorbed through the skin, and workers must exercise caution when handling these compounds. Several aromatic amines, including β-naphthylamine, are potent carcinogens.



5.2.1 Key Takeaways

- Primary and secondary amines have higher boiling points than those of alkanes or ethers of similar molar mass because they can engage in intermolecular hydrogen bonding. Their boiling points are lower than those of alcohols because alcohol molecules have hydrogen atoms bonded to an oxygen atom, which is more electronegative.
- The boiling points of tertiary amines, which cannot engage in hydrogen bonding because they have no hydrogen atom on the nitrogen atom, are comparable to those of alkanes and ethers of similar molar mass.
- Because all three classes of amines can engage in hydrogen bonding with water, amines of low molar mass are quite soluble in water.

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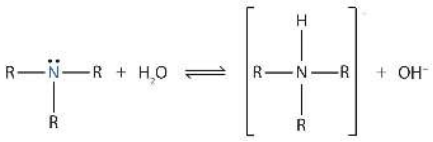
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5.3: Amines as Bases

Learning Objectives

- Name the typical reactions that take place with amines.
- Describe heterocyclic amines.

Recall that ammonia (NH_3) acts as a base because the nitrogen atom has a lone pair of electrons that can accept a proton. Amines also have a lone electron pair on their nitrogen atoms and can accept a proton from water to form substituted ammonium (NH_4^+) ions and hydroxide (OH^-) ions:



As a specific example, methylamine reacts with water to form the methylammonium ion and the OH^- ion.

$$\underset{\text{Methylamine}}{CH_3NH_2(aq)} + H_2O \rightleftharpoons \underset{\text{Methylammonium ion}}{CH_3NH_3^+(aq)} + OH^-(aq)$$

Nearly all amines, including those that are not very soluble in water, will react with strong acids to form salts soluble in water.

$$\underset{\text{Octylamine (insoluble)}}{CH_3(CH_2)_6NH_2(l)} + HNO_3(aq) \longrightarrow \underset{\text{Octylammonium nitrate (soluble)}}{CH_3(CH_2)_6NH_3{}^+NO_3^-(aq)}$$

Amine salts are named like other salts: the name of the cation is followed by the name of the anion.

Example 5.3.1

What are the formulas of the acid and base that react to form $[CH_3NH_2CH_2CH_3]^+CH_3COO^-$?

Solution

The cation has two groups—methyl and ethyl—attached to the nitrogen atom. It comes from ethylmethylamine ($CH_3NHCH_2CH_3$). The anion is the acetate ion. It comes from acetic acid (CH_3COOH).

Exercise 5.3.1

What are the formulas of the acid and base that react to form $(CH_3CH_2CH_2)_3NH^+I^-$?

To Your Health: Amine Salts as Drugs

Salts of aniline are properly named as *anilinium* compounds, but an older system, still in use for naming drugs, identifies the salt of aniline and hydrochloric acid as “aniline hydrochloride.” These compounds are ionic—they are salts—and the properties of the compounds (solubility, for example) are those characteristic of salts. Many drugs that are amines are converted to hydrochloride salts to increase their solubility in aqueous solution.

5.3.1 Heterocyclic Amines

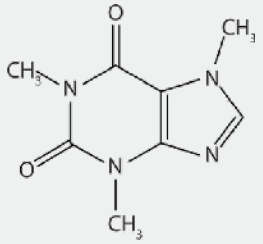
Looking back at the various cyclic hydrocarbons discussed previously, we see that all the atoms in the rings of these compounds are carbon atoms. In other cyclic compounds, called **heterocyclic compounds** (Greek *heteros*, meaning “other”), nitrogen, oxygen, sulfur, or some other atom is incorporated in the ring. Many heterocyclic compounds are important in medicine and biochemistry.

Some compose part of the structure of the nucleic acids, which in turn compose the genetic material of cells and direct protein synthesis.

Many heterocyclic amines occur naturally in plants. Like other amines, these compounds are basic. Such a compound is an **alkaloid**, a name that means “like alkalis.” Many alkaloids are physiologically active, including the familiar drugs caffeine, nicotine, and cocaine.

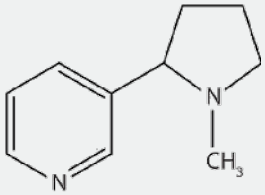
To Your Health: Three Well-Known Alkaloids

Caffeine is a stimulant found in coffee, tea, and some soft drinks. Its mechanism of action is not well understood, but it is thought to block the activity of adenosine, a heterocyclic base that acts as a neurotransmitter, a substance that carries messages across a tiny gap (synapse) from one nerve cell (neuron) to another cell. The effective dose of caffeine is about 200 mg, corresponding to about two cups of strong coffee or tea.



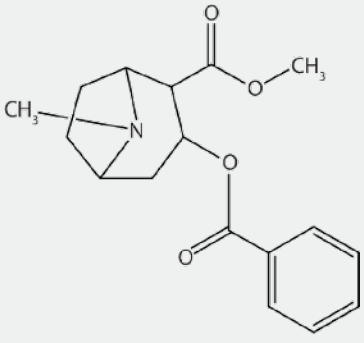
Caffeine

Nicotine acts as a stimulant by a different mechanism; it probably mimics the action of the neurotransmitter acetylcholine. People ingest this drug by smoking or chewing tobacco. Its stimulant effect seems transient, as this initial response is followed by depression. Nicotine is highly toxic to animals. It is especially deadly when injected; the lethal dose for a human is estimated to be about 50 mg. Nicotine has also been used in agriculture as a contact insecticide.



Nicotine

Cocaine acts as a stimulant by preventing nerve cells from taking up dopamine, another neurotransmitter, from the synapse. High levels of dopamine are therefore available to stimulate the pleasure centers of the brain. The enhancement of dopamine action is thought to be responsible for cocaine’s “high” and its addictive properties. After the binge, dopamine is depleted in less than an hour. This leaves the user in a pleasureless state and (often) craving more cocaine.



Cocaine

Cocaine is used as the salt cocaine hydrochloride and in the form of broken lumps of the free (unneutralized) base, which is called *crack cocaine*.

$$\underbrace{C_{17}H_{21}O_4N}_{\text{cocaine (freebase)}} + HCl \longrightarrow \underbrace{C_{17}H_{21}O_4NH^+Cl^-}_{\text{cocaine hydrochloride}}$$

Because it is soluble in water, cocaine hydrochloride is readily absorbed through the watery mucous membranes of the nose when it is snorted. Crack cocaine is more volatile than cocaine hydrochloride. It vaporizes at the temperature of a burning cigarette. When smoked, cocaine reaches the brain in 15 s.

Summary

Amines are bases; they react with acids to form salts. Salts of aniline are properly named as *anilinium* compounds, but an older system is used to name drugs: the salts of amine drugs and hydrochloric acid are called “hydrochlorides.” Heterocyclic amines are cyclic compounds with one or more nitrogen atoms in the ring.

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5.4: Amines in Plants- Alkaloids

Learning Objectives

- identify and discuss examples of amines

5.4.1 Addictive Alkaloids

Since ancient times, plants have been used for medicinal purposes. One class of substances, called *alkaloids*, found in many of these plants has been isolated and found to contain cyclic molecules with an amine functional group. These amines are bases. They can react with H_3O^+ in a dilute acid to form an ammonium salt, and this property is used to extract them from the plant:

$$R_3N + H_3O^+ + Cl^- \xrightarrow{R_3NH^+} Cl^- + H_2O$$

The name alkaloid means “like an alkali.” Thus, an alkaloid reacts with acid. The free compound can be recovered after extraction by reaction with a base:

$$[R_3NH^+]Cl^- + OH^- \longrightarrow R_3N + H_2O + Cl^-$$

The structures of many naturally occurring alkaloids have profound physiological and psychotropic effects in humans. Examples of these drugs include nicotine, morphine, codeine, and heroin. The plant produces these substances, collectively called secondary plant compounds, as chemical defenses against the numerous pests that attempt to feed on the plant:

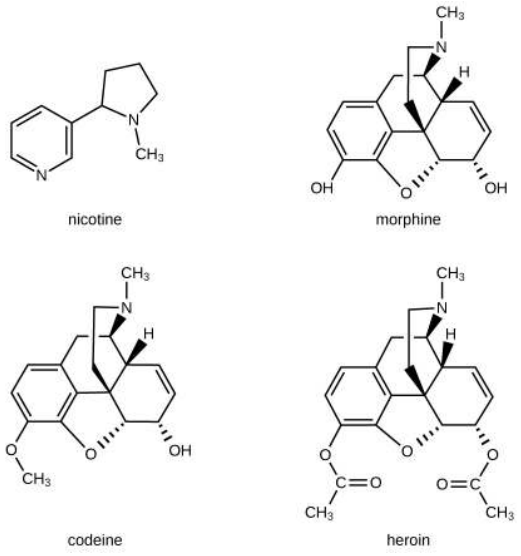


Figure **5.4.3**).



Figure **5.4.3**: Poppies can be used in the production of opium, a plant latex that contains morphine from which other opiates, such as heroin, can be synthesized. (credit: Karen Roe)

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CHAPTER OVERVIEW

6: Carboxylic Acids and their Derivatives

6.1: Carboxylic Acids - Structures and Names
6.2: The Formation of Carboxylic Acids
6.3: Physical Properties of Carboxylic Acids
6.4: Chemical Properties of Carboxylic Acids- Ionization and Neutralization
6.5: Esters - Structures and Names
6.6: Physical Properties of Esters
6.7: Preparation of Esters
6.8: Hydrolysis of Esters
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6.11: Formation of Amides
6.12: Chemical Properties of Amides- Hydrolysis

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6.1: Carboxylic Acids - Structures and Names

Learning Objectives

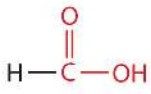
- Name carboxylic acids with common names.
- Name carboxylic acids according to IUPAC nomenclature.

Carboxylic acids occur widely in nature, often combined with alcohols or other functional groups, as in fats, oils, and waxes. They are components of many foods, medicines, and household products (Figure **6.1.1**). Not surprisingly, many of them are best known by common names based on Latin and Greek words that describe their source.



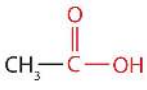
Figure **6.1.1**: Carboxylic Acids in the Home. Carboxylic acids occur in many common household items. (a) Vinegar contains acetic acid, (b) aspirin is acetylsalicylic acid, (c) vitamin C is ascorbic acid, (d) lemons contain citric acid, and (e) spinach contains oxalic acid. © Thinkstock

The simplest carboxylic acid, formic acid (HCOOH), was first obtained by the distillation of ants (Latin *formica*, meaning “ant”). The bites of some ants inject formic acid, and the stings of wasps and bees contain formic acid (as well as other poisonous materials).



Formic acid

The next higher homolog is acetic acid, which is made by fermenting cider and honey in the presence of oxygen. This fermentation produces vinegar, a solution containing 4%–10% acetic acid, plus a number of other compounds that add to its flavor. Acetic acid is probably the most familiar weak acid used in educational and industrial chemistry laboratories.



Acetic acid

Pure acetic acid solidifies at 16.6°C, only slightly below normal room temperature. In the poorly heated laboratories of the late 19th and early 20th centuries in northern North America and Europe, acetic acid often "froze" on the storage shelf. For that reason, pure acetic acid (sometimes called concentrated acetic acid) came to be known as *glacial acetic acid*, a name that survives to this day.

The third homolog, propionic acid (CH_3CH_2COOH), is seldom encountered in everyday life. The fourth homolog, butyric acid ($CH_3CH_2CH_2COOH$), is one of the most foul-smelling substances imaginable. It is found in rancid butter and is one of the ingredients of body odor. By recognizing extremely small amounts of this and other chemicals, bloodhounds are able to track fugitives. Models of the first four carboxylic acids are shown in Figure **6.1.2**.

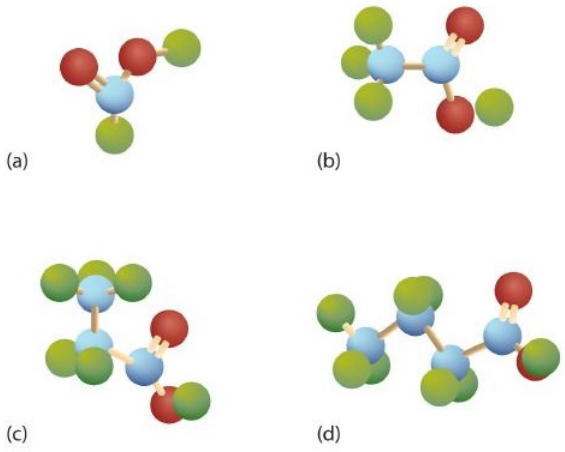
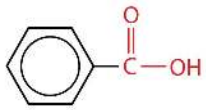


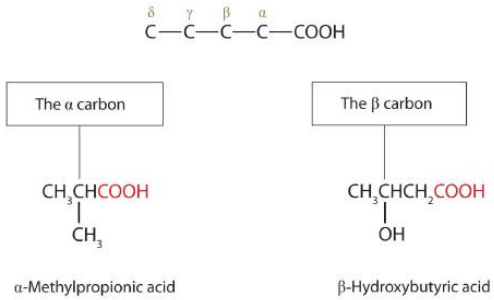
Figure **6.1.2**: Ball-and-Stick Models of Carboxylic Acids. Carboxylic acids feature a carbon atom doubly bonded to an oxygen atom and also joined to an OH group. The four acids illustrated here are formic acid (a), acetic acid (b), propionic acid (c), and butyric acid (d).

The acid with the carboxyl group attached directly to a benzene ring is called benzoic acid (C_6H_5COOH).



Benzoic acid

The common names of carboxylic acids use Greek letters (α, β, γ, δ, and so forth), not numbers, to designate the position of substituent groups in acids. These letters refer to the position of the carbon atom in relation to the carboxyl carbon atom.



α-Methylpropionic acid

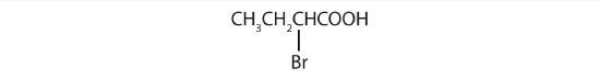
β-Hydroxybutyric acid

In the nomenclature system of the International Union of Pure and Applied Chemistry (IUPAC), the parent hydrocarbon is the one that corresponds to the longest continuous chain (LCC) containing the carboxyl group. The *-e* ending of the parent alkane is replaced by the suffix *-oic* and the word *acid*. For example, the carboxylic acid derived from pentane is pentanoic acid ($CH_3CH_2CH_2CH_2COOH$). As with aldehydes, the carboxyl carbon atom is counted first; numbers are used to indicate any substituted carbon atoms in the parent chain.

Greek letters are used with common names; numbers are used with IUPAC names.

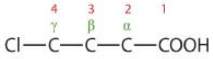
Example 6.1.1

Give the common and IUPAC names for each compound.

1. $ClCH_2CH_2CH_2COOH$
2. 

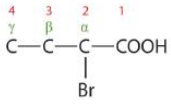
6.1.0.0.1 Solution

1. The LCC contains four carbon atoms; the compound is therefore named as a substituted butyric (or butanoic) acid.



The chlorine atom is attached to the γ-carbon in the common system or C4 in the IUPAC system. The compound is γ-chlorobutyric acid or 4-chlorobutanoic acid.

2. The LCC contains four carbon atoms; the compound is therefore named as a substituted butyric (or butanoic) acid.



The bromine (Br) atom is at the α-carbon in the common system or C2 in the IUPAC system. The compound is α-bromobutyric acid or 2-bromobutanoic acid.

Exercise 6.1.1

Give the IUPAC name for each compound.

a. $ClCH_2CH_2CH_2CH_2COOH$
b. $(CH_3)_2CHCH_2CHBrCOOH$

Example 6.1.2

Write the condensed structural formula for β-chloropropionic acid.

Solution

Propionic acid has three carbon atoms: C–C–COOH. Attach a chlorine (Cl) atom to the parent chain at the beta carbon atom, the second one from the carboxyl group: Cl–C–C–COOH. Then add enough hydrogen atoms to give each carbon atom four bonds: $ClCH_2CH_2COOH$.

Exercise 6.1.2

Write the condensed structural formula for 4-bromo-5-methylhexanoic acid.

6.1.1 Key Takeaways

- Simple carboxylic acids are best known by common names based on Latin and Greek words that describe their source (e.g., formic acid, Latin *formica*, meaning “ant”).
- Greek letters, not numbers, designate the position of substituted acids in the common naming convention.
- IUPAC names are derived from the LCC of the parent hydrocarbon with the *-e* ending of the parent alkane replaced by the suffix *-oic* and the word *acid*.

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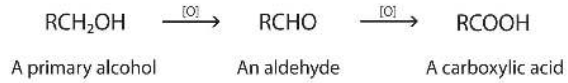
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6.2: The Formation of Carboxylic Acids

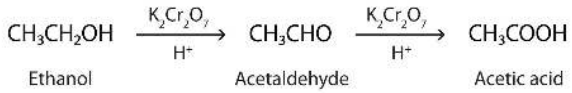
Learning Objectives

- To describe the preparation of carboxylic acids.

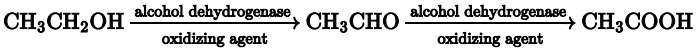
As we noted previously, the oxidation of aldehydes or primary alcohols forms carboxylic acids:



In the presence of an oxidizing agent, ethanol is oxidized to acetaldehyde, which is then oxidized to acetic acid.



This process also occurs in the liver, where enzymes catalyze the oxidation of ethanol to acetic acid.



Acetic acid can be further oxidized to carbon dioxide and water.

Summary

Whether in the laboratory or in the body, the oxidation of aldehydes or primary alcohols forms carboxylic acids.

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6.3: Physical Properties of Carboxylic Acids

Learning Objectives

- Compare the boiling points of carboxylic acids with alcohols of similar molar mass.
- Compare the solubilities of carboxylic acids in water with the solubilities of comparable alkanes and alcohols in water.

Many carboxylic acids are colorless liquids with disagreeable odors. The carboxylic acids with 5 to 10 carbon atoms all have "goaty" odors (explaining the odor of Limburger cheese). These acids are also produced by the action of skin bacteria on human sebum (skin oils), which accounts for the odor of poorly ventilated locker rooms. The acids with more than 10 carbon atoms are waxlike solids, and their odor diminishes with increasing molar mass and resultant decreasing volatility.

Carboxylic acids exhibit strong hydrogen bonding between molecules. They therefore have high boiling points compared to other substances of comparable molar mass.

The carboxyl group readily engages in hydrogen bonding with water molecules (Figure **6.3.1**). The acids with one to four carbon atoms are completely miscible with water. Solubility decreases as the carbon chain length increases because dipole forces become less important and dispersion forces become more predominant. Hexanoic acid [$CH_3(CH_2)_4COOH$] is barely soluble in water (about 1.0 g/100 g of water). Palmitic acid [$CH_3(CH_2)_{14}COOH$], with its large nonpolar hydrocarbon component, is essentially insoluble in water. The carboxylic acids generally are soluble in such organic solvents as ethanol, toluene, and diethyl ether.

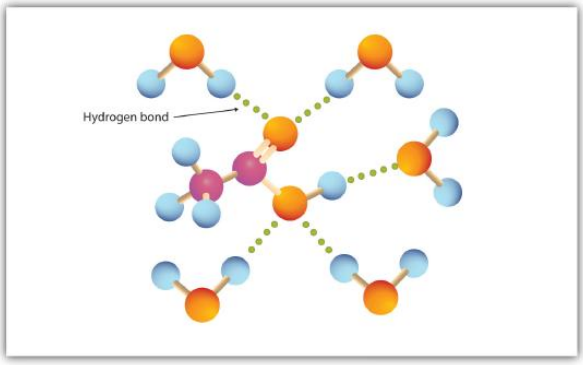


Figure **6.3.1**: Hydrogen Bonding between an Acetic Acid Molecule and Water Molecules. Carboxylic acids of low molar mass are quite soluble in water.

Table 15.4.1 lists some physical properties for selected carboxylic acids. The first six are homologs. Notice that the boiling points increase with increasing molar mass, but the melting points show no regular pattern.

Table **6.3.1**: Physical Constants of Carboxylic Acids

Condensed Structural Formula	Name of Acid	Melting Point (°C)	Boiling Point (°C)	Solubility (g/100 g of Water)
HCOOH	formic acid	8	100	miscible
CH_3COOH	acetic acid	17	118	miscible
CH_3CH_2COOH	propionic acid	–22	141	miscible
$CH_3(CH_2)_2COOH$	butyric acid	–5	163	miscible
$CH_3(CH_2)_3COOH$	valeric acid	–35	187	5
$CH_3(CH_2)_4COOH$	caproic acid	–3	205	1.1
C_6H_5COOH	benzoic acid	122	249	0.29

6.3.1 Key Takeaways

- Carboxylic acids have high boiling points compared to other substances of comparable molar mass. Boiling points increase with molar mass.
- Carboxylic acids having one to four carbon atoms are completely miscible with water. Solubility decreases with molar mass.

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6.4: Chemical Properties of Carboxylic Acids- Ionization and Neutralization

Learning Objectives

- Name the typical reactions that take place with carboxylic acids.
- Describe how carboxylic acids react with basic compounds.

Water-soluble carboxylic acids ionize slightly in water to form moderately acidic solutions.

$$\mathbf{RCOOH + H_2O \rightleftharpoons RCOO^- + H_3O^+}$$

Their aqueous solutions exhibit the typical properties of acids, such as changing litmus from blue to red.

The anion formed when a carboxylic acid dissociates is called the *carboxylate* anion ($RCOO^-$).

Whether soluble in water or not, carboxylic acids react with aqueous solutions of sodium hydroxide (NaOH), sodium carbonate (Na_2CO_3), and sodium bicarbonate ($NaHCO_3$) to form salts:

$$RCOOH + NaOH(aq) \rightarrow RCOO^-Na^+(aq) + H_2O$$

$$2RCOOH + Na_2CO_3(aq) \rightarrow 2RCOO^-Na^+(aq) + H_2O + CO_2(g)$$

$$RCOOH + NaHCO_3(aq) \rightarrow RCOO^-Na^+(aq) + H_2O + CO_2(g)$$

In these reactions, the carboxylic acids act like inorganic acids: they neutralize basic compounds. With solutions of carbonate (CO_3^{2-}) and bicarbonate (HCO_3^-) ions, they also form carbon dioxide gas.

Carboxylic acid salts are named in the same manner as inorganic salts: the name of the cation is followed by the name of the organic anion. The name of the anion is obtained by dropping the *-ic* ending of the acid name and replacing it with the suffix *-ate*. This rule applies whether we are using common names or International Union of Pure and Applied Chemistry (IUPAC) names:

$CH_3COO^-Li^+$	$CH_3CH_2CH_2COO^-K^+$	$C_6H_5COO^-Na^+$
Lithium acetate (lithium ethanoate)	Potassium butyrate (potassium butanoate)	Sodium benzoate

The salts of long-chain carboxylic acids are called soaps. We discuss the chemistry of soaps elsewhere.

$CH_3(CH_2)_{13}CH_2COO^-Na^+$

Sodium palmitate (a soap)

Example 6.4.1

Write an equation for each reaction.

1. the ionization of propionic acid in water (H_2O)
2. the neutralization of propionic acid with aqueous sodium hydroxide (NaOH)

Solution

Propionic acid has three carbon atoms, so its formula is CH_2CH_2COOH.

1. Propionic acid ionizes in water to form a propionate ion and a hydronium (H_3O^+) ion. $CH_3CH_2COOH(aq) + H_2O(\ell) \rightarrow CH_3CH_2COO^-(aq) + H_3O^+(aq)$
2. Propionic acid reacts with NaOH(aq) to form sodium propionate and water. $CH_3CH_2COOH(aq) + NaOH(aq) \rightarrow CH_3CH_2COO^-Na^+(aq) + H_2O(\ell)$

? Exercise 6.4.1

Write an equation for each reaction.

a. the ionization of formic acid in water
b. the ionization of *p*-chlorobenzoic acid in water

✔ Example 6.4.2

Write an equation for the reaction of decanoic acid with each compound.

a. aqueous sodium hydroxide (NaOH)
b. aqueous sodium bicarbonate ($NaHCO_3$)

Solution

a. Decanoic acid has 10 carbon atoms. It reacts with NaOH to form a salt and water (H_2O). $CH_3(CH_2)_8COOH + NaOH(aq) \rightarrow CH_3(CH_2)_8COO^-Na^+(aq) + H_2O(\ell)$
b. With $NaHCO_3$, the products are a salt, H_2O, and carbon dioxide (CO_2). $CH_3(CH_2)_8COOH + NaHCO_3(aq) \rightarrow CH_3(CH_2)_8COO^-Na^+(aq) + H_2O(\ell) + CO_2(g)$

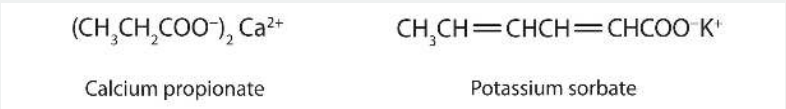
? Exercise 6.4.2

Write an equation for the reaction of benzoic acid with each compound.

a. aqueous sodium hydroxide (NaOH)
b. aqueous sodium bicarbonate ($NaHCO_3$)

To Your Health: Organic Salts as Preservatives

Some organic salts are used as preservatives in food products. They prevent spoilage by inhibiting the growth of bacteria and fungi. Calcium and sodium propionate, for example, are added to processed cheese and bakery goods; sodium benzoate is added to cider, jellies, pickles, and syrups; and sodium sorbate and potassium sorbate are added to fruit juices, sauerkraut, soft drinks, and wine. Look for them on ingredient labels the next time you shop for groceries.



Calcium propionate — Potassium sorbate

6.4.1 Key Takeaways

- Soluble carboxylic acids are weak acids in aqueous solutions.
- Carboxylic acids neutralize bases to form salts.

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6.5: Esters - Structures and Names

Learning Objectives

- Identify the general structure for an ester.
- Use common names to name esters.
- Name esters according to the IUPAC system.

Esters have the general formula RCOOR′, where R may be a hydrogen atom, an alkyl group, or an aryl group, and R′ may be an alkyl group or an aryl group but *not* a hydrogen atom. (If it were hydrogen atom, the compound would be a carboxylic acid.) Figure **6.5.1** shows models for two common esters.

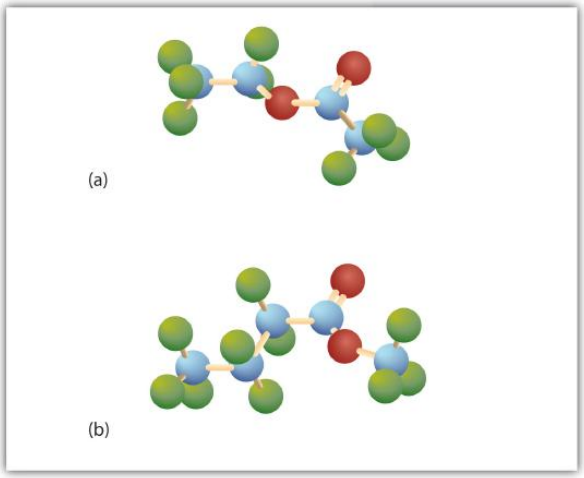


Figure **6.5.1**: The Structure of Esters. Esters feature a carbon-to-oxygen double bond that is also singly bonded to a second oxygen atom, which is then joined to an alkyl or an aryl group. The esters shown here are ethyl acetate (a) and methyl butyrate (b).

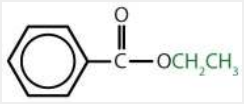
Esters occur widely in nature. Unlike carboxylic acids, esters generally have pleasant odors and are often responsible for the characteristic fragrances of fruits and flowers. Once a flower or fruit has been chemically analyzed, flavor chemists can attempt to duplicate the natural odor or taste. Both natural and synthetic esters are used in perfumes and as flavoring agents.

> Fats and vegetable oils are esters of long-chain fatty acids and glycerol. Esters of phosphoric acid are of the utmost importance to life.

6.5.1 Names of Esters

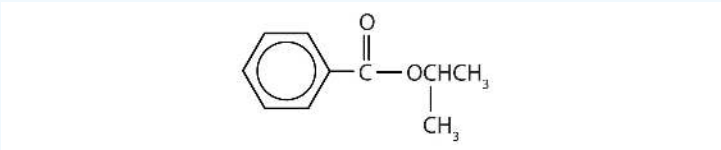
Although esters are covalent compounds and salts are ionic, esters are named in a manner similar to that used for naming salts. The group name of the alkyl or aryl portion is given first and is followed by the name of the acid portion. In both common and International Union of Pure and Applied Chemistry (IUPAC) nomenclature, the *-ic* ending of the parent acid is replaced by the suffix *-ate* (Table **6.5.1**).

Table **6.5.1**: Nomenclature of Esters

Condensed Structural Formula	Common Name	IUPAC Name
$HCOOCH_3$	methyl formate	methyl methanoate
CH_3COOCH_3	methyl acetate	methyl ethanoate
$CH_3COOCH_2CH_3$	ethyl acetate	ethyl ethanoate
$CH_3CH_2COOCH_2CH_3$	ethyl propionate	ethyl propanoate
$CH_3CH_2CH_2COOCH(CH_3)_2$	isopropyl butyrate	isopropyl butanoate
	ethyl benzoate	ethyl benzoate

Example 6.5.1

Give the common and IUPAC names for each compound.

1. 
2. 

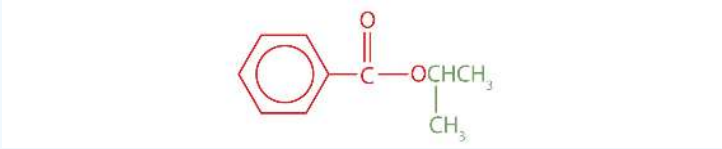
Solution

The alkyl group attached directly to the oxygen atom is a butyl group (in green).



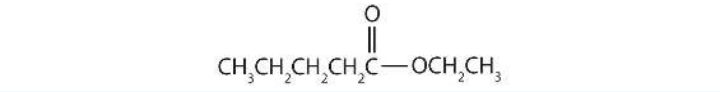
The part of the molecule derived from the carboxylic acid (in red) has three carbon atoms. It is called propionate (common) or propanoate (IUPAC). The ester is therefore butyl propionate or butyl propanoate.

1. An alkyl group (in green) is attached directly to the oxygen atom by its middle carbon atom; it is an isopropyl group. The part derived from the acid (that is, the benzene ring and the carbonyl group, in red) is benzoate. The ester is therefore isopropyl benzoate (both the common name and the IUPAC name).



Exercise 6.5.1

Give the common and IUPAC names for each compound.

1. 

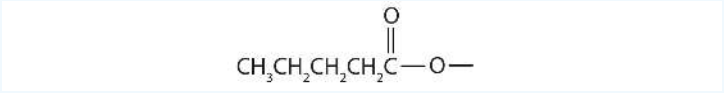


Example 6.5.2

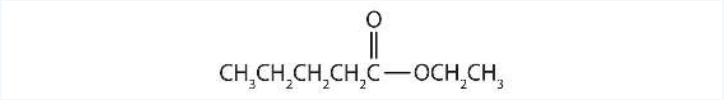
Draw the structure for ethyl pentanoate.

Solution

Start with the portion from the acid. Draw the pentanoate (five carbon atoms) group first; keeping in mind that the last carbon atom is a part of the carboxyl group.



Then attach the ethyl group to the bond that ordinarily holds the hydrogen atom in the carboxyl group.



Exercise 6.5.2

Draw the structure for phenyl pentanoate.

6.5.2 Key Takeaway

- An ester has an OR group attached to the carbon atom of a carbonyl group.

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6.6: Physical Properties of Esters

Learning Objectives

- Compare the boiling points of esters with alcohols of similar molar mass.
- Compare the solubilities of esters in water with the solubilities of comparable alkanes and alcohols in water.

Ester molecules are polar but have no hydrogen atom attached directly to an oxygen atom. They are therefore incapable of engaging in intermolecular hydrogen bonding with one another and thus have considerably lower boiling points than their isomeric carboxylic acids counterparts. Because ester molecules can engage in hydrogen bonding with water molecules, however, esters of low molar mass are somewhat soluble in water. Borderline solubility occurs in those molecules that have three to five carbon atoms. Table **6.6.1** lists the physical properties of some common esters.

Esters are common solvents. Ethyl acetate is used to extract organic solutes from aqueous solutions—for example, to remove caffeine from coffee. It also is used to remove nail polish and paint. Cellulose nitrate is dissolved in ethyl acetate and butyl acetate to form lacquers. The solvent evaporates as the lacquer "dries," leaving a thin film on the surface. High boiling esters are used as softeners (plasticizers) for brittle plastics.

Table **6.6.1**: *Physical Properties of Some Esters*

Condensed Structural Formula	Name	Molar Mass	Melting Point (°C)	Boiling Point (°C)	Aroma
$HCOOCH_3$	methyl formate	60	−99	32	
$HCOOCH_2CH_3$	ethyl formate	74	−80	54	rum
CH_3COOCH_3	methyl acetate	74	−98	57	
$CH_3COOCH_2CH_3$	ethyl acetate	88	−84	77	
$CH_3CH_2CH_2COOCH_3$	methyl butyrate	102	−85	102	apple
$CH_3CH_2CH_2COOCH_2CH_3$	ethyl butyrate	116	−101	121	pineapple
$CH_3COO(CH_2)_4CH_3$	pentyl acetate	130	−71	148	pear
$CH_3COOCH_2CH_2CH(CH_3)_2$	isopentyl acetate	130	−79	142	banana
$CH_3COOCH_2C_6H_5$	benzyl acetate	150	−51	215	jasmine
$CH_3CH_2CH_2COO(CH_2)_4CH_3$	pentyl butyrate	158	−73	185	apricot
$CH_3COO(CH_2)_7CH_3$	octyl acetate	172	−39	210	orange

Summary

Esters have polar bonds but do not engage in hydrogen bonding and are therefore intermediate in boiling points between the nonpolar alkanes and the alcohols, which engage in hydrogen bonding. Ester molecules can engage in hydrogen bonding with water, so esters of low molar mass are therefore somewhat soluble in water.

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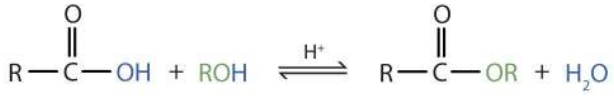
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6.7: Preparation of Esters

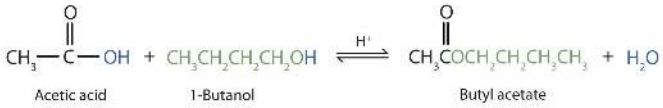
Learning Objectives

- To identify and describe the substances from which most esters are prepared.

Some esters can be prepared by esterification, a reaction in which a carboxylic acid and an alcohol, heated in the presence of a mineral acid catalyst, form an ester and water:



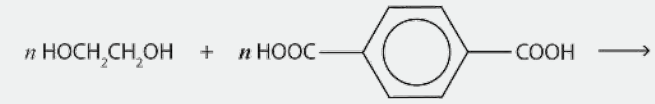
The reaction is reversible. As a specific example of an esterification reaction, butyl acetate can be made from acetic acid and 1-butanol.

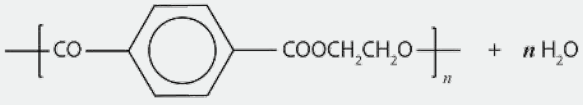


A Closer Look: Condensation Polymers

A commercially important esterification reaction is condensation polymerization, in which a reaction occurs between a dicarboxylic acid and a dihydric alcohol (diol), with the elimination of water. Such a reaction yields an ester that contains a free (unreacted) carboxyl group at one end and a free alcohol group at the other end. Further condensation reactions then occur, producing polyester polymers.

The most important polyester, polyethylene terephthalate (PET), is made from terephthalic acid and ethylene glycol monomers:





Polyester molecules make excellent fibers and are used in many fabrics. A knitted polyester tube, which is biologically inert, can be used in surgery to repair or replace diseased sections of blood vessels. PET is used to make bottles for soda pop and other beverages. It is also formed into films called Mylar. When magnetically coated, Mylar tape is used in audio- and videocassettes. Synthetic arteries can be made from PET, polytetrafluoroethylene, and other polymers.

Summary

Esters are made by the reaction of a carboxylic acid with an alcohol, a process that is called esterification.

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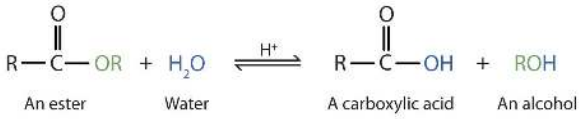
6.8: Hydrolysis of Esters

Learning Objectives

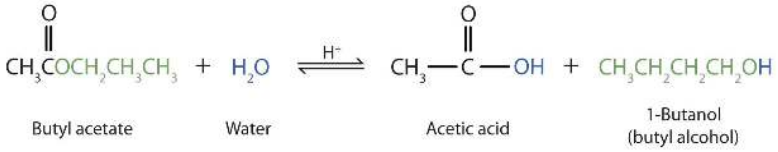
- Describe the typical reaction that takes place with esters.
- Identify the products of an acidic hydrolysis of an ester.
- Identify the products of a basic hydrolysis of an ester.

Esters are neutral compounds, unlike the acids from which they are formed. In typical reactions, the alkoxy (OR′) group of an ester is replaced by another group. One such reaction is hydrolysis, literally “splitting with water.” The hydrolysis of esters is catalyzed by either an acid or a base.

Acidic hydrolysis is simply the reverse of esterification. The ester is heated with a large excess of water containing a strong-acid catalyst. Like esterification, the reaction is reversible and does not go to completion.



As a specific example, butyl acetate and water react to form acetic acid and 1-butanol. The reaction is reversible and does not go to completion.

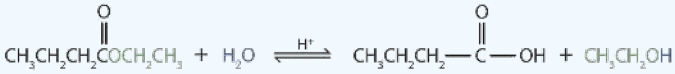


Example 6.8.1

Write an equation for the acidic hydrolysis of ethyl butyrate ($CH_3CH_2CH_2COOCH_2CH_3$) and name the products.

Solution

Remember that in acidic hydrolysis, water (HOH) splits the ester bond. The H of HOH joins to the oxygen atom in the OR part of the original ester, and the OH of HOH joins to the carbonyl carbon atom:

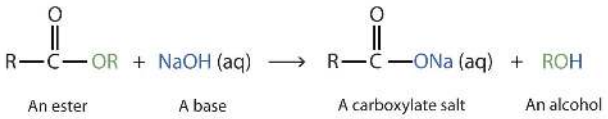


The products are butyric acid (butanoic acid) and ethanol.

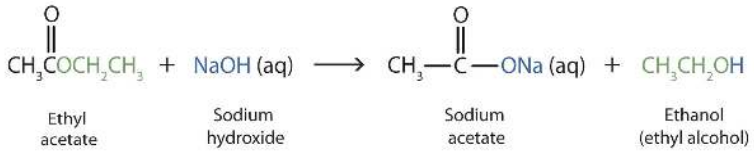
Exercise 6.8.1

Write an equation for the acidic hydrolysis of methyl butanoate and name the products.

When a base (such as sodium hydroxide [NaOH] or potassium hydroxide [KOH]) is used to hydrolyze an ester, the products are a carboxylate salt and an alcohol. Because soaps are prepared by the alkaline hydrolysis of fats and oils, alkaline hydrolysis of esters is called saponification (Latin *sapon*, meaning “soap,” and *facere*, meaning “to make”). In a saponification reaction, the base is a reactant, not simply a catalyst. The reaction goes to completion:



As a specific example, ethyl acetate and NaOH react to form sodium acetate and ethanol:

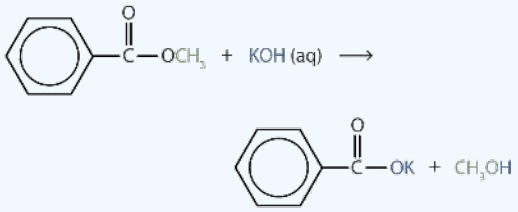


Example 6.8.2

Write an equation for the hydrolysis of methyl benzoate in a potassium hydroxide solution.

Solution

In basic hydrolysis, the molecule of the base splits the ester linkage. The acid portion of the ester ends up as the *salt* of the acid (in this case, the potassium salt). The alcohol portion of the ester ends up as the free alcohol.



Exercise 6.8.2

Write the equation for the hydrolysis of ethyl propanoate in a sodium hydroxide solution.

Summary

Hydrolysis is a most important reaction of esters. Acidic hydrolysis of an ester gives a carboxylic acid and an alcohol. Basic hydrolysis of an ester gives a carboxylate salt and an alcohol.

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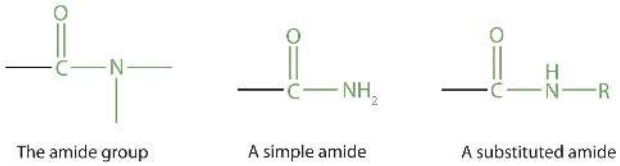
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6.9: Amides- Structures and Names

Learning Objectives

- Identify the general structure for an amide.
- Identify the functional group for an amide.
- Names amides with common names.
- Name amides according to the IUPAC system.

The amide functional group has an nitrogen atom attached to a carbonyl carbon atom. If the two remaining bonds on the nitrogen atom are attached to hydrogen atoms, the compound is a *simple amide*. If one or both of the two remaining bonds on the atom are attached to alkyl or aryl groups, the compound is a *substituted amide*.



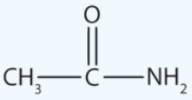
The carbonyl carbon-to-nitrogen bond is called an *amide linkage*. This bond is quite stable and is found in the repeating units of protein molecules, where it is called a *peptide linkage*.

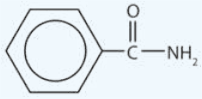
Simple amides are named as derivatives of carboxylic acids. The *-ic* ending of the common name or the *-oic* ending of the International Union of Pure and Applied Chemistry (IUPAC) name of the carboxylic acid is replaced with the suffix *-amide*.



Example 6.9.1

Name each compound with the common name, the IUPAC name, or both.

a. 

b. 

Solution

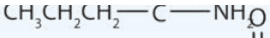
a. This amide has two carbon atoms and is thus derived from acetic acid. The OH of acetic acid is replaced by an NH_2 group. The *-ic* from *acetic* (or *-oic* from ethanoic) is dropped, and *-amide* is added to give *acetamide* (or ethanamide in the IUPAC system).

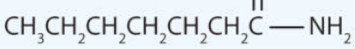
b. This amide is derived from benzoic acid. The *-oic* is dropped, and *-amide* is added to give benzamide.

Exercise 6.9.1

Name each compound with the common name, the IUPAC name, or both.

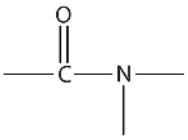


a. 

b. 

6.9.1 Key Takeaways

- Amides have a general structure in which a nitrogen atom is bonded to a carbonyl carbon atom.
- The functional group for an amide is as follows:



- In names for amides, the *-ic acid* of the common name or the *-oic* ending of the IUPAC for the corresponding carboxylic acid is replaced by *-amide*.

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6.10: Physical Properties of Amides

Learning Objectives

- Compare the boiling points of amides with alcohols of similar molar mass.
- Compare the solubilities in water of amides of five or fewer carbon atoms with the solubilities of comparable alkanes and alcohols in water.

With the exception of formamide ($HCONH_2$), which is a liquid, all simple amides are solids (Table **6.10.1**). The lower members of the series are soluble in water, with borderline solubility occurring in those that have five or six carbon atoms. Like the esters, solutions of amides in water usually are neutral—neither acidic nor basic.

Table **6.10.1**: *Physical Constants of Some Unsubstituted Amides*

Condensed Structural Formula	Name	Melting Point (°C)	Boiling Point (°C)	Solubility in Water
$HCONH_2$	formamide	2	193	soluble
CH_3CONH_2	acetamide	82	222	soluble
$CH_3CH_2CONH_2$	propionamide	81	213	soluble
$CH_3CH_2CH_2CONH_2$	butyramide	115	216	soluble
$C_6H_5CONH_2$	benzamide	132	290	slightly soluble

The amides generally have high boiling points and melting points. These characteristics and their solubility in water result from the polar nature of the amide group and hydrogen bonding (Figure **6.10.1**). (Similar hydrogen bonding plays a critical role in determining the structure and properties of proteins, deoxyribonucleic acid [DNA], ribonucleic acid [RNA], and other giant molecules so important to life processes.

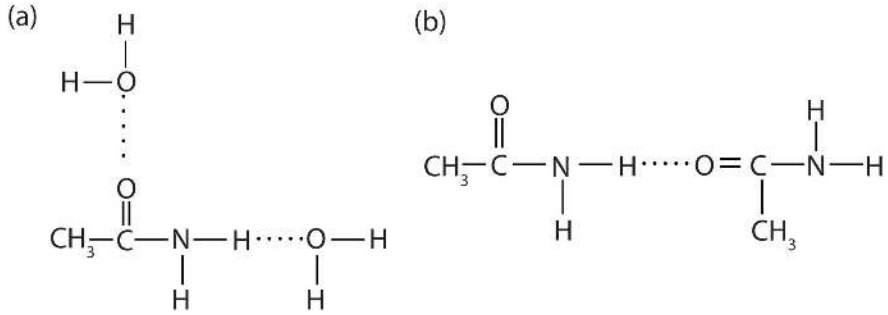


Figure **6.10.1**: Hydrogen Bonding in Amides. Amide molecules can engage in hydrogen bonding with water molecules (a). Those amides with a hydrogen atom on the nitrogen atom can also engage in hydrogen bonding (b). Both hydrogen bonding networks extend in all directions.

6.10.1 Key Takeaways

- Most amides are solids at room temperature; the boiling points of amides are much higher than those of alcohols of similar molar mass.
- Amides of five or fewer carbon atoms are soluble in water?.

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6.11: Formation of Amides

Learning Objectives

- To describe the preparation procedure for amides.

The addition of ammonia (NH_3) to a carboxylic acid forms an amide, but the reaction is very slow in the laboratory at room temperature. Water molecules are split out, and a bond is formed between the nitrogen atom and the carbonyl carbon atom.

$$\underset{\text{Acetic acid}}{CH_3COOH} + NH_3 \longrightarrow \underset{\text{Acetamide}}{CH_3CONH_2} + H_2O$$

In living cells, amide formation is catalyzed by enzymes. Proteins are polyamides; they are formed by joining amino acids into long chains. In proteins, the amide functional group is called a *peptide bond*.

6.11.1 Polyamides

Just as the reaction of a diol and a diacid forms a polyester, the reaction of a diacid and a diamine yields a polyamide. The two difunctional monomers often employed are adipic acid and 1,6-hexanediamine. The monomers condense by splitting out water to form a new product, which is still difunctional and thus can react further to yield a polyamide polymer.

$$\underset{\text{1,6-Hexanediamine}}{n\,H_2NCH_2CH_2CH_2CH_2CH_2CH_2NH_2} + \underset{\text{Adipic acid}}{n\,HOOCCH_2CH_2CH_2CH_2COOH} \longrightarrow$$

$$\underset{\text{Nylon 66 (a polyamide)}}{-\!\!-HN[COCH_2CH_2CH_2CH_2CONHCH_2CH_2CH_2CH_2CH_2CH_2NH]_nCO-\!\!-} + 2n\,H_2O$$

Some polyamides are known as *nylons*. Nylons are among the most widely used synthetic fibers—for example, they are used in ropes, sails, carpets, clothing, tires, brushes, and parachutes. They also can be molded into blocks for use in electrical equipment, gears, bearings, and valves.

6.11.2 Key Takeaway

- Amides are prepared by the reaction of a carboxylic acid with ammonia or an amine.

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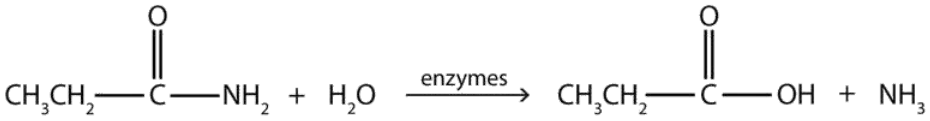
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6.12: Chemical Properties of Amides- Hydrolysis

Learning Objectives

- To identify the typical reaction that amides undergo.

Generally, amides resist hydrolysis in plain water, even after prolonged heating. In the presence of added acid or base, however, hydrolysis proceeds at a moderate rate. In living cells, amide hydrolysis is catalyzed by enzymes. Amide hydrolysis is illustrated in the following example:



> *Hydrolysis of an amide in acid solution actually gives a carboxylic acid and the salt of ammonia or an amine (the ammonia or amine initially formed is neutralized by the acid). Basic hydrolysis gives a salt of the carboxylic acid and ammonia or an amine.*

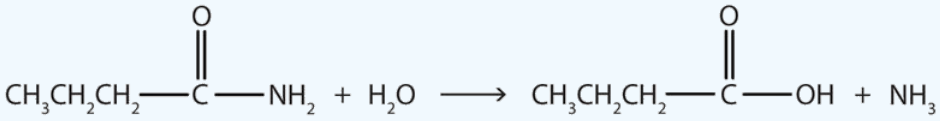
Example 6.12.1

Write the equation for the hydrolysis of each compound.

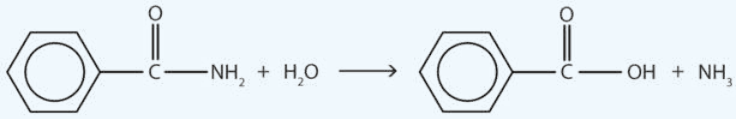
a. butyramide
b. benzamide

Solution

a. The hydrolysis of a simple amide produces an organic acid and ammonia. Butyramide thus yields butyric acid and ammonia.



- The hydrolysis of an amide produces an organic acid and ammonia. Benzamide thus yields benzoic acid and ammonia.



Exercise 6.12.1

Write the equation for the hydrolysis of each compound.

a. propionamide (propanamide)
b. hexanamide

Career Focus: Athletic Trainer

Athletic training is an allied health-care profession recognized by the American Medical Association. The athletic trainer's role is to recognize, evaluate, and provide immediate care for athletic injuries; prevent athletic injuries by taping, bandaging, and bracing vulnerable body parts; make referrals to medical doctors when necessary; and rehabilitate injured athletes. Athletic trainers work in high schools, colleges, and other organizations where athletics programs are found. Athletic trainers usually have a degree from an accredited athletic training program whose curriculum includes such basic science courses as biology,

chemistry, and physics. These studies provide the necessary background for more applied courses, such as anatomy and physiology, exercise physiology, kinesiology, and nutrition. Knowledge of chemistry is necessary for understanding pharmacological and medical terminology. For example, athletic trainers must understand the action of numerous drugs, many of which are esters, amines, or amides like those mentioned in this chapter.

Athletic trainers may have administrative duties, such as the responsibility for ordering supplies. They also need to be able to evaluate nutritional supplements because providing the wrong one can get an athlete banned from competition and may bring sanctions against a school. In short, the athletic trainer is responsible for the overall health and well-being of the athletes in his or her charge.

6.12.1 Key Takeaway

- The hydrolysis of an amide produces a carboxylic acid and ammonia or an amine.

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CHAPTER OVERVIEW

7: Carbohydrates

7.1: Prelude to Carbohydrates
7.2: Fischer and Haworth projections
7.3: Carbohydrates
7.4: Classes of Monosaccharides
7.5: Important Hexoses
7.6: Cyclic Structures of Monosaccharides
7.7: Properties of Monosaccharides
7.8: Disaccharides
7.9: Polysaccharides

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7.1: Prelude to Carbohydrates

In the United States, 17.9 million people have been diagnosed with diabetes, and experts estimate that at least another 5.7 million people have the disease but have not been diagnosed. In 2006, diabetes was the seventh leading cause of death, listed on 72,507 death certificates. Moreover, it was a contributing factor in over 200,000 deaths in which the cause was listed as something else, such as heart or kidney disease.

People with diabetes are impaired in their ability to metabolize glucose, a sugar needed by the body for energy; as a result, excessive quantities of glucose accumulate in the blood and the urine. The characteristic symptoms of diabetes are weight loss, constant hunger, extreme thirst, and frequent urination (the kidneys excrete large amounts of water in an attempt to remove the excess sugar from the blood).

An important diagnostic test for diabetes is the oral glucose tolerance test, which measures the level of glucose in blood plasma. A first measurement is made after a fast of at least 8 h, followed by another measurement 2 h after the person drinks a flavored solution of 75 g of glucose dissolved in water. At the second measurement, the glucose plasma level should be no higher than 139 mg/dL. Individuals with a value between 140 and 199 mg/dL are diagnosed with prediabetes, while those with a value of 200 mg/dL or above are diagnosed with diabetes. Following a diagnosis of diabetes a person will need to monitor his or her blood glucose levels daily (or more often) using a glucose meter.



Figure **7.1.1**: Using a Glucose Meter to Test Blood Glucose Level. (Public Domain; Centers for Disease Control and Prevention).

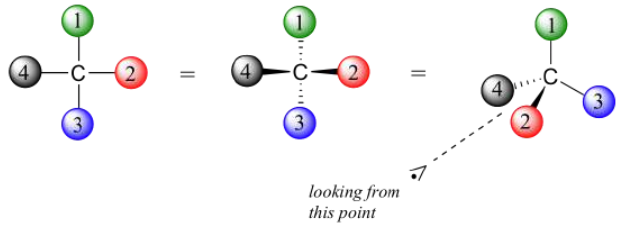
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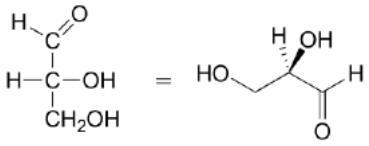
7.2: Fischer and Haworth projections

When reading the chemical and biochemical literature, you are likely to encounter several different conventions for drawing molecules in three dimensions, depending on the context of the discussion. While organic chemists prefer to use the dashed/solid wedge convention to show stereochemistry, biochemists often use drawings called **Fischer projections** and **Haworth projections** to discuss and compare the structure of sugar molecules.

Fisher projections show sugars in their open chain form. In a Fischer projection, the carbon atoms of a sugar molecule are connected vertically by solid lines, while carbon-oxygen and carbon-hydrogen bonds are shown horizontally. Stereochemical information is conveyed by a simple rule: vertical bonds point into the plane of the page, while horizontal bonds point out of the page.

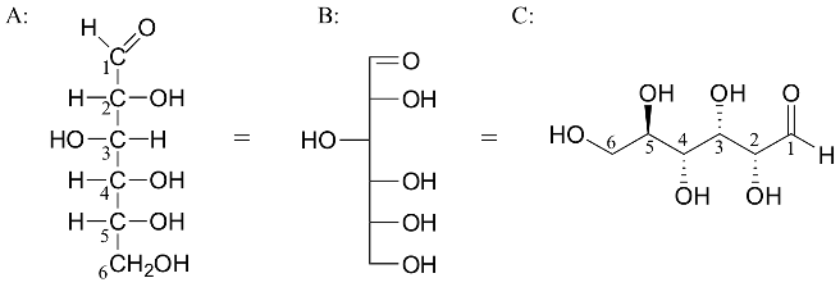


Below are two different representations of (R)-glyceraldehyde, the smallest sugar molecule (also called D-glyceraldehyde in the stereochemical nomenclature used for sugars):

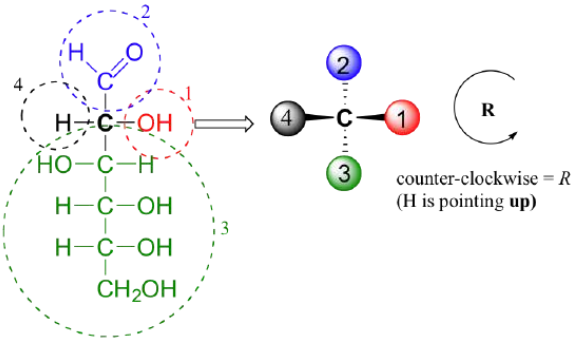


(R)-glyceraldehyde
(D-glyceraldehyde)

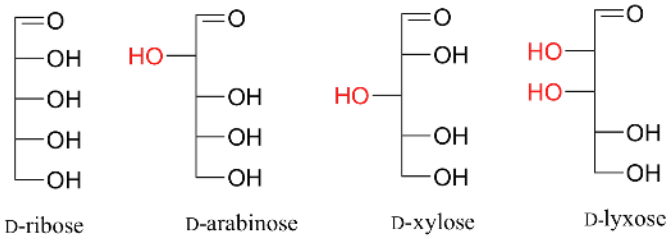
Below are three representations of the open chain form of D-glucose: in the conventional Fischer projection (A), in the “line structure” variation of the Fischer projection in which carbons and hydrogens are not shown (B), and finally in the 'zigzag' style (C) that is preferred by organic chemists.



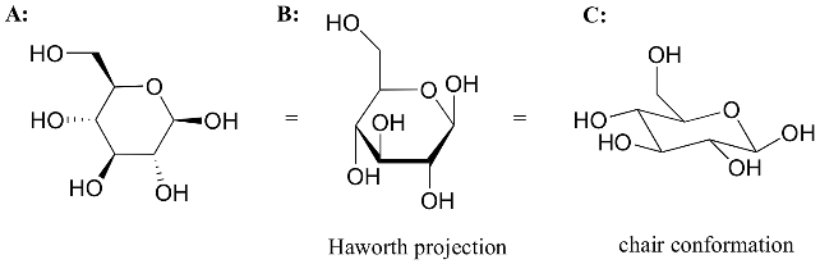
Care must be taken when ‘translating’ Fischer projection structures into' zigzag' format – it is easy to get the stereochemistry wrong. Probably the best way to make a translation is to simply assign *R*/*S* configurations to each stereocenter, and proceed from there. When deciding whether a stereocenter in a Fischer projection is *R* or *S*, realize that the hydrogen, in a horizontal bond, is pointing *towards* you – therefore, a counterclockwise circle means *R*, and a clockwise circle means *S* (the opposite of when the hydrogen is pointing away from you).



Fischer projections are useful when looking at many different diastereomeric sugar structures, because the eye can quickly pick out stereochemical differences according to whether a hydroxyl group is on the left or right side of the structure.



While Fischer projections are used for sugars in their open-chain form, Haworth projections are often used to depict sugars in their cyclic forms. The beta diastereomer of the cyclic form of glucose is shown below in three different depictions, with the Haworth projection in the middle.



Notice that although a Haworth projection is a convenient way to show stereochemistry, it does not provide a realistic depiction of conformation. To show *both* conformation and stereochemistry, you must draw the ring in the chair form, as in structure C above.

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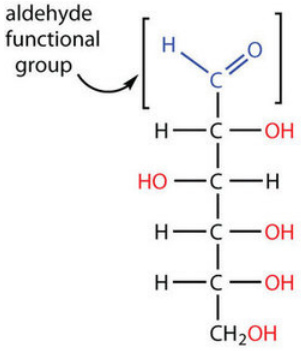
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7.3: Carbohydrates

Learning Objectives

- To recognize carbohydrates and classify them as mono-, di-, or polysaccharides.

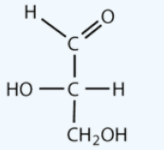
All carbohydrates consist of carbon, hydrogen, and oxygen atoms and are polyhydroxy aldehydes or ketones or are compounds that can be broken down to form such compounds. Examples of carbohydrates include starch, fiber, the sweet-tasting compounds called sugars, and structural materials such as cellulose. The term *carbohydrate* had its origin in a misinterpretation of the molecular formulas of many of these substances. For example, because its formula is $C_6H_{12}O_6$, glucose was once thought to be a "carbon hydrate" with the structure $C_6{\cdot}6H_2O$.

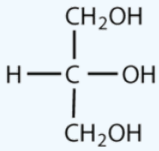


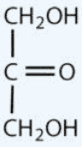
Because glucose has an aldehyde functional group and several –OH (hydroxyl) groups, it is described as a polyhydroxy aldehyde.

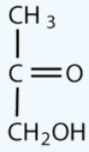
Example 7.3.1

Which compounds would be classified as carbohydrates?

a. 

b. 

c. 

d. 

Solution

a. This is a carbohydrate because the molecule contains an aldehyde functional group with OH groups on the other two carbon atoms.

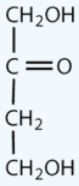
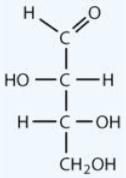
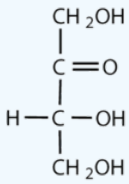
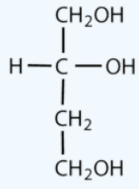
b. This is not a carbohydrate because the molecule does not contain an aldehyde or a ketone functional group.

c. This is a carbohydrate because the molecule contains a ketone functional group with OH groups on the other two carbon atoms.

d. This is not a carbohydrate; although it has a ketone functional group, one of the other carbons atoms does not have an OH group attached.

? Exercise 7.3.1

Which compounds would be classified as carbohydrates?

1. 
2. 
3. 
4. 

Green plants are capable of synthesizing glucose ($C_6H_{12}O_6$) from carbon dioxide (CO_2) and water (H_2O) by using solar energy in the process known as photosynthesis:

$$6\,CO_2 + 6\,H_2O + 686\text{ kcal} \rightarrow C_6H_{12}O_6 + 6\,O_2 \tag{7.3.1}$$

(The 686 kcal come from solar energy.) Plants can use the glucose for energy or convert it to larger carbohydrates, such as starch or cellulose. Starch provides energy for later use, perhaps as nourishment for a plant's seeds, while cellulose is the structural material of plants. We can gather and eat the parts of a plant that store energy—seeds, roots, tubers, and fruits—and use some of that energy ourselves. Carbohydrates are also needed for the synthesis of nucleic acids and many proteins and lipids.

Animals, including humans, cannot synthesize carbohydrates from carbon dioxide and water and are therefore dependent on the plant kingdom to provide these vital compounds. We use carbohydrates not only for food (about 60%–65% by mass of the average diet) but also for clothing (cotton, linen, rayon), shelter (wood), fuel (wood), and paper (wood).

The simplest carbohydrates—those that cannot be hydrolyzed to produce even smaller carbohydrates—are called monosaccharides. Two or more monosaccharides can link together to form chains that contain from two to several hundred or thousand monosaccharide units. Prefixes are used to indicate the number of such units in the chains. Disaccharide molecules have two monosaccharide units, *trisaccharide* molecules have three units, and so on. Chains with many monosaccharide units joined together are called polysaccharides. All these so-called higher saccharides can be hydrolyzed back to their constituent monosaccharides.

> *Compounds that cannot be hydrolyzed will not react with water to form two or more smaller compounds.*

Summary

Carbohydrates are an important group of biological molecules that includes sugars and starches. Photosynthesis is the process by which plants use energy from sunlight to synthesize carbohydrates. A monosaccharide is the simplest carbohydrate and cannot be hydrolyzed to produce a smaller carbohydrate molecule. Disaccharides contain two monosaccharide units, and polysaccharides contain many monosaccharide units.

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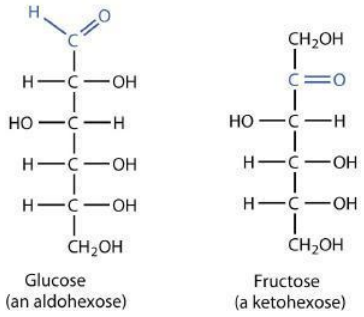
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7.4: Classes of Monosaccharides

Learning Objectives

- Classify monosaccharides as aldoses or ketoses and as trioses, tetroses, pentoses, or hexoses.
- Distinguish between a D sugar and an L sugar.

The naturally occurring monosaccharides contain three to seven carbon atoms per molecule. Monosaccharides of specific sizes may be indicated by names composed of a stem denoting the number of carbon atoms and the suffix *-ose*. For example, the terms *triose*, *tetrose*, *pentose*, and *hexose* signify monosaccharides with, respectively, three, four, five, and six carbon atoms. Monosaccharides are also classified as aldoses or ketoses. Those monosaccharides that contain an aldehyde functional group are called aldoses; those containing a ketone functional group on the second carbon atom are ketoses. Combining these classification systems gives general names that indicate both the type of carbonyl group *and* the number of carbon atoms in a molecule. Thus, monosaccharides are described as aldotetroses, aldopentoses, ketopentoses, ketoheptoses, and so forth. Glucose and fructose are specific examples of an aldohexose and a ketohexose, respectively.



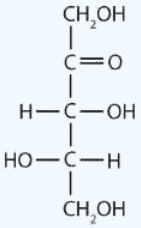
Example 7.4.1

Draw an example of each type of compound.

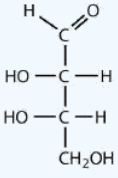
a. a ketopentose
b. an aldotetrose

Solution

a. The structure must have five carbon atoms with the second carbon atom being a carbonyl group and the other four carbon atoms each having an OH group attached. Several structures are possible, but one example is shown.



- The structure must have four carbon atoms with the first carbon atom part of the aldehyde functional group. The other three carbon atoms each have an OH group attached. Several structures are possible, but one example is shown.



? Exercise 7.4.1

Draw an example of each type of compound.

a. an aldohexose
b. a ketotetrose

The simplest sugars are the trioses. The possible trioses are shown in part (a) of Figure **7.4.1**; glyceraldehyde is an aldotriose, while dihydroxyacetone is a ketotriose. Notice that two structures are shown for glyceraldehyde. These structures are stereoisomers, and hence are isomers having the same structural formula but differing in the arrangement of atoms or groups of atoms in three-dimensional space. If you make models of the two stereoisomers of glyceraldehyde, you will find that you cannot place one model on top of the other and have each functional group point in the same direction. However, if you place one of the models in front of a mirror, the image in the mirror will be identical to the second stereoisomer in part (b) of Figure **7.4.1**. Molecules that are nonsuperimposable (nonidentical) mirror images of each other are a type of stereoisomer called enantiomers (Greek *enantios*, meaning "opposite").

Note

These are another type of stereoisomers than the *cis-trans* (geometric) isomers previously discussed.

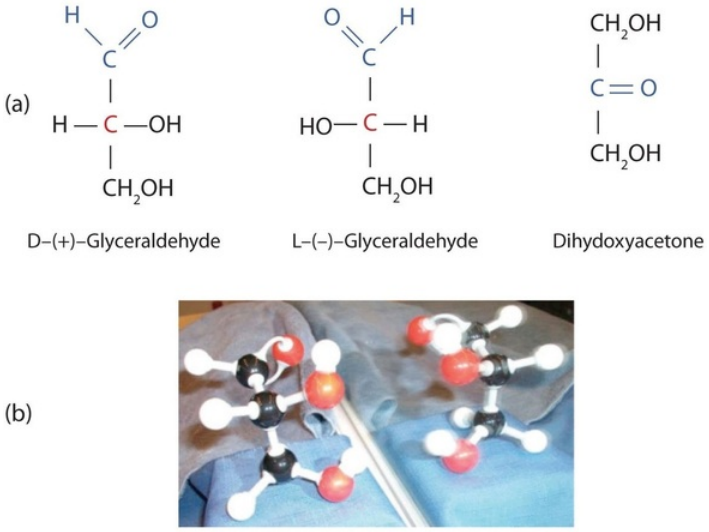


Figure **7.4.1**: Structures of the Trioses. (a) D- and L-glyceraldehyde are mirror images of each other and represent a pair of enantiomers. (b) A ball-and-stick model of D-glyceraldehyde is reflected in a mirror. Note that the reflection has the same structure as L-glyceraldehyde.

A key characteristic of enantiomers is that they have a carbon atom to which four different groups are attached. Note, for example, the four different groups attached to the central carbon atom of glyceraldehyde (part (a) of Figure **7.4.1**). A carbon atom that has four different groups attached is a chiral carbon. If a molecule contains one or more chiral carbons, it is likely to exist as two or more stereoisomers. Dihydroxyacetone does not contain a chiral carbon and thus does not exist as a pair of stereoisomers. Glyceraldehyde, however, has a chiral carbon and exists as a pair of enantiomers. Except for the direction in which each enantiomer rotates plane-polarized light, these two molecules have identical physical properties. One enantiomer has a specific rotation of +8.7°, while the other has a specific rotation of −8.7°.

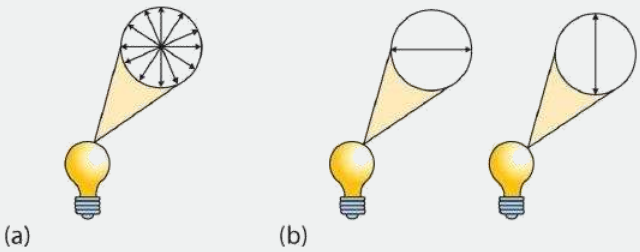
H. Emil Fischer, a German chemist, developed the convention commonly used for writing two-dimensional representations of the monosaccharides, such as those in part (a) of Figure **7.4.1**. In these structural formulas, the aldehyde group is written at the top, and the hydrogen atoms and OH groups that are attached to each chiral carbon are written to the right or left. (If the monosaccharide is a ketose, the ketone functional group is the second carbon atom.) Vertical lines represent bonds pointing away from you, while horizontal lines represent bonds coming toward you. The formulas of chiral molecules represented in this manner are referred to as **Fischer projections**.

The two enantiomers of glyceraldehyde are especially important because monosaccharides with more than three carbon atoms can be considered as being derived from them. Thus, D- and L-glyceraldehyde provide reference points for designating and drawing all other monosaccharides. Sugars whose Fischer projections terminate in the same configuration as D-glyceraldehyde are designated as D sugars; those derived from L-glyceraldehyde are designated as L sugars.

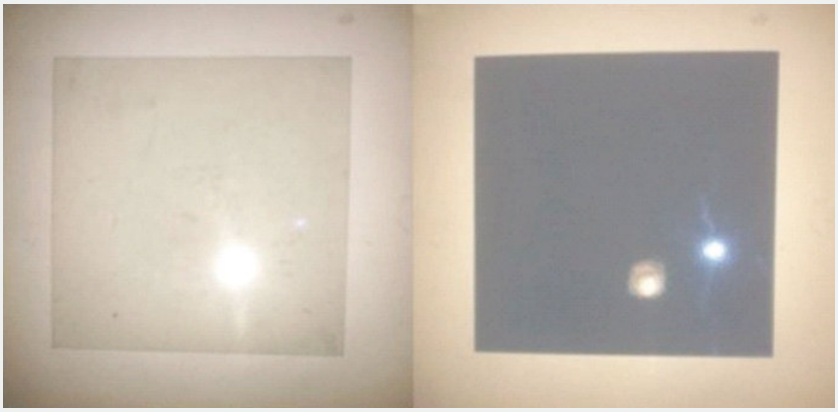
> *By convention, the penultimate (next-to-last) carbon atom has been chosen as the carbon atom that determines if a sugar is D or L. It is the chiral carbon farthest from the aldehyde or ketone functional group.*

Looking Closer: Polarized Light

A beam of ordinary light can be pictured as a bundle of waves; some move up and down, some sideways, and others at all other conceivable angles. When a beam of light has been polarized, however, the waves in the bundle all vibrate in a single plane. Light altered in this way is called *plane-polarized light*. Much of what chemists know about stereoisomers comes from studying the effects they have on plane-polarized light. In this illustration, the light on the left is not polarized, while that on the right is polarized.



Sunlight, in general, is not polarized; light from an ordinary light bulb or an ordinary flashlight is not polarized. One way to polarize ordinary light is to pass it through Polaroid sheets, special plastic sheets containing carefully oriented organic compounds that permit only light vibrating in a single plane to pass through. To the eye, polarized light doesn't "look" any different from nonpolarized light. We can detect polarized light, however, by using a second sheet of polarizing material, as shown here.



In the photo on the left, two Polaroid sheets are aligned in the same direction; plane-polarized light from the first Polaroid sheet can pass through the second sheet. In the photo on the right, the top Polaroid sheet has been rotated 90° and now blocks the plane-polarized light that comes through the first Polaroid sheet.

Certain substances act on polarized light by rotating the plane of vibration. Such substances are said to be optically active. The extent of optical activity is measured by a polarimeter, an instrument that contains two polarizing lenses separated by a sample tube, as shown in the accompanying figure. With the sample tube empty, maximum light reaches the observer's eye when the two lenses are aligned so that both pass light vibrating in the same plane. When an optically active substance is placed in the sample tube, that substance rotates the plane of polarization of the light passing through it, so that the polarized light emerging from the

sample tube is vibrating in a different direction than when it entered the tube. To see the maximum amount of light when the sample is in place, the observer must rotate one lens to accommodate the change in the plane of polarization.

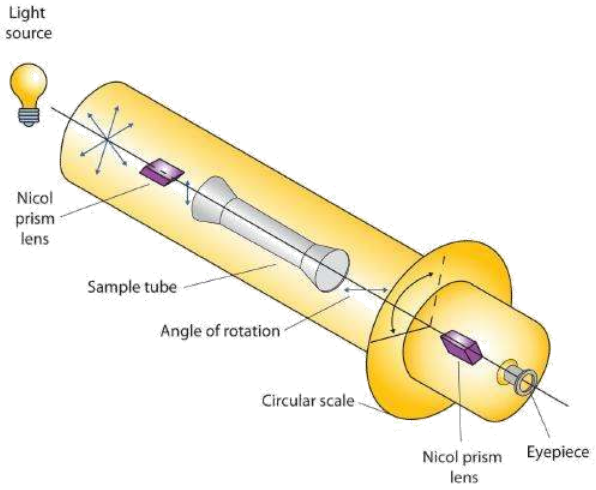


Figure **7.4.2**: Diagram of a Polarimeter

Some optically active substances rotate the plane of polarized light to the right (clockwise) from the observer's point of view. These compounds are said to be dextrorotatory; substances that rotate light to the left (counterclockwise) are levorotatory. To denote the direction of rotation, a positive sign (+) is given to dextrorotatory substances, and a negative sign (−) is given to levorotatory substances.

Summary

Monosaccharides can be classified by the number of carbon atoms in the structure and/or the type of carbonyl group they contain (aldose or ketose). Most monosaccharides contain at least one chiral carbon and can form stereoisomers. Enantiomers are a specific type of stereoisomers that are mirror images of each other.

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7.5: Important Hexoses

Learning Objectives

- To identify the structures of D-glucose, D-galactose, and D-fructose and describe how they differ from each other.

Although a variety of monosaccharides are found in living organisms, three hexoses are particularly abundant: D-glucose, D-galactose, and D-fructose (Figure 7.5.1). Glucose and galactose are both aldohexoses, while fructose is a ketohexose.

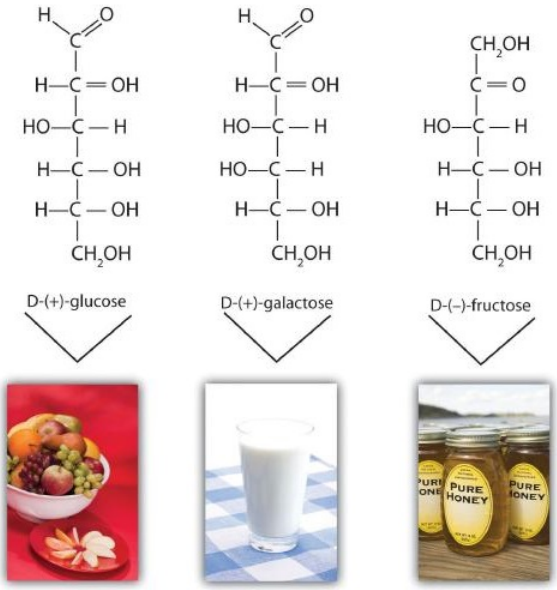


Figure 7.5.1: Structures of Three Important Hexoses. Each hexose is pictured with a food source in which it is commonly found. Source: Photos © Thinkstock.

7.5.1 Glucose

D-Glucose, generally referred to as simply glucose, is the most abundant sugar found in nature; most of the carbohydrates we eat are eventually converted to it in a series of biochemical reactions that produce energy for our cells. It is also known by three other names: *dextrose*, from the fact that it rotates plane-polarized light in a clockwise (dextrorotatory) direction; *corn sugar* because in the United States cornstarch is used in the commercial process that produces glucose from the hydrolysis of starch; and *blood sugar* because it is the carbohydrate found in the circulatory system of animals. Normal blood sugar values range from 70 to 105 mg glucose/dL plasma, and normal urine may contain anywhere from a trace to 20 mg glucose/dL urine.

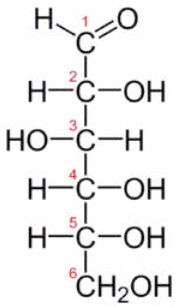


Figure 7.5.2: Fischer projection of D-glucose

The Fischer projection of D-glucose is given in Figure 7.5.2. Glucose is a D sugar because the OH group on the fifth carbon atom (the chiral center farthest from the carbonyl group) is on the right. In fact, all the OH groups except the one on the third carbon atom are to the right.

7.5.2 Galactose

D-Galactose does not occur in nature in the uncombined state. It is released when lactose, a disaccharide found in milk, is hydrolyzed. The galactose needed by the human body for the synthesis of lactose is obtained by the metabolic conversion of D-glucose to D-galactose. Galactose is also an important constituent of the glycolipids that occur in the brain and the myelin sheath of nerve cells. For this reason it is also known as *brain sugar*. The structure of D-galactose is shown in Figure **7.5.1**. Notice that the configuration differs from that of glucose only at the fourth carbon atom.

7.5.3 Fructose

D-Fructose, also shown in Figure **7.5.1**, is the most abundant ketohexose. Note that from the third through the sixth carbon atoms, its structure is the same as that of glucose. It occurs, along with glucose and sucrose, in honey (which is 40% fructose) and sweet fruits. Fructose (from the Latin *fructus*, meaning "fruit") is also referred to as *levulose* because it has a specific rotation that is strongly levorotatory (−92.4°). It is the sweetest sugar, being 1.7 times sweeter than sucrose, although many nonsugars are several hundred or several thousand times as sweet (Table **7.5.1**).

Table **7.5.1**: The Relative Sweetness of Some Compounds (Sucrose = 100)

Compound	Relative Sweetness
lactose	16
maltose	32
glucose	74
sucrose	100
fructose	173
aspartame	18,000
acesulfame K	20,000
saccharin	30,000
sucralose	60,000

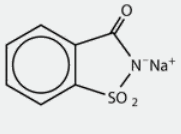
Looking Closer: Artificial Sweeteners

Although sweetness is commonly associated with mono- and disaccharides, it is not a property found only in sugars. Several other kinds of organic compounds have been synthesized that are far superior as sweetening agents. These so-called high-intensity or artificial sweeteners are useful for people with diabetes or other medical conditions that require them to control their carbohydrate intake. The synthetic compounds are noncaloric or used in such small quantities that they do not add significantly to the caloric value of food.

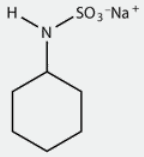
The first artificial sweetener—saccharin—was discovered by accident in 1879. It is 300 times sweeter than sucrose, but it passes through the body unchanged and thus adds no calories to the diet. After its discovery, saccharin was used until it was banned in the early 1900s. However, during the sugar-short years of World War I, the ban was lifted and was not reinstated at the war's end. One drawback to the use of saccharin is its bitter, metallic aftertaste. The initial solution to this problem was to combine saccharin with cyclamate, a second artificial sweetener discovered in 1937.

In the 1960s and 1970s, several clinical tests with laboratory animals implicated both cyclamate and saccharin as carcinogenic (cancer-causing) substances. The results from the cyclamate tests were completed first, and cyclamate was banned in the United States in 1969. Then a major study was released in Canada in 1977 indicating that saccharin increased the incidence of bladder cancer in rats. The US Food and Drug Administration (FDA) proposed a ban on saccharin that raised immediate public opposition because saccharin was the only artificial sweetener still available. In response, Congress passed the Saccharin Study and Labeling Act in 1977, permitting the use of saccharin as long as any product containing it was labeled with a consumer warning regarding the possible elevation of the risk of bladder cancer. Today this warning is no longer required; moreover, the

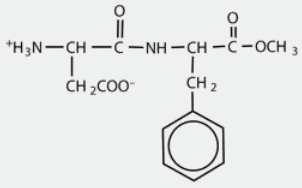
FDA is currently reviewing the ban on cyclamate, as 75 additional studies and years of usage in other countries, such as Canada, have failed to show that it has any carcinogenic effect.



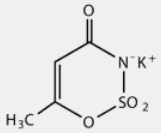
Saccharin



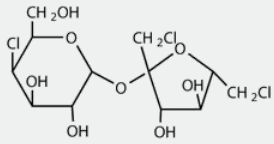
Cyclamate



Aspartame



Acesulfame K



Sucralose

A third artificial sweetener, aspartame, was discovered in 1965. This white crystalline compound is about 180 times sweeter than sucrose and has no aftertaste. It was approved for use in 1981 and is used to sweeten a wide variety of foods because it blends well with other food flavors. Aspartame is not used in baked goods, however, because it is not heat stable.

In the body (or when heated), aspartame is initially hydrolyzed to three molecules: the amino acids aspartic acid and phenylalanine and an alcohol methanol. Repeated controversy regarding the safety of aspartame arises partly from the fact that the body metabolizes the released methanol to formaldehyde. It should be noted, though, that a glass of tomato juice has six times as much methanol as a similar amount of a diet soda containing aspartame. The only documented risk connected to aspartame use is for individuals with the genetic disease *phenylketonuria* (PKU); these individuals lack the enzyme needed to metabolize the phenylalanine released when aspartame is broken down by the body. Because of the danger to people with PKU, all products containing aspartame must carry a warning label.

Acesulfame K, discovered just two years after aspartame (1967), was approved for use in the United States in 1988. It is 200 times sweeter than sugar and, unlike aspartame, is heat stable. It has no lingering aftertaste.

One of the newest artificial sweeteners to gain FDA approval (April 1998) for use in the United States is sucralose, a white crystalline solid approximately 600 times sweeter than sucrose. Sucralose is synthesized from sucrose and has three chlorine atoms substituted for three OH groups. It is noncaloric because it passes through the body unchanged. It can be used in baking because it is heat stable.

All of the extensive clinical studies completed to date have indicated that these artificial sweeteners approved for use in the United States are safe for consumption by healthy individuals in moderate amounts.

Summary

Three abundant hexoses in living organisms are the aldohexoses D-glucose and D-galactose and the ketohexose D-fructose.

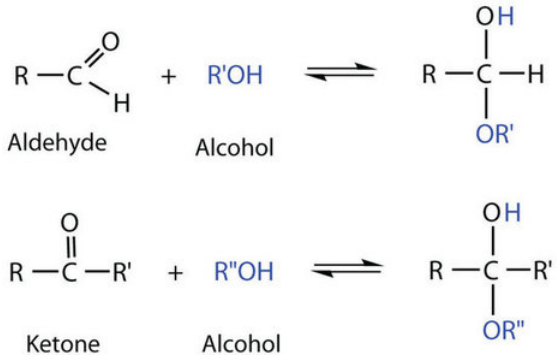
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7.6: Cyclic Structures of Monosaccharides

Learning Objectives

- Define what is meant by anomers and describe how they are formed.
- Explain what is meant by mutarotation.

So far we have represented monosaccharides as linear molecules, but many of them also adopt cyclic structures. This conversion occurs because of the ability of aldehydes and ketones to react with alcohols:



You might wonder why the aldehyde reacts with the OH group on the fifth carbon atom rather than the OH group on the second carbon atom next to it. Recall that cyclic alkanes containing five or six carbon atoms in the ring are the most stable. The same is true for monosaccharides that form cyclic structures: rings consisting of five or six carbon atoms are the most stable.

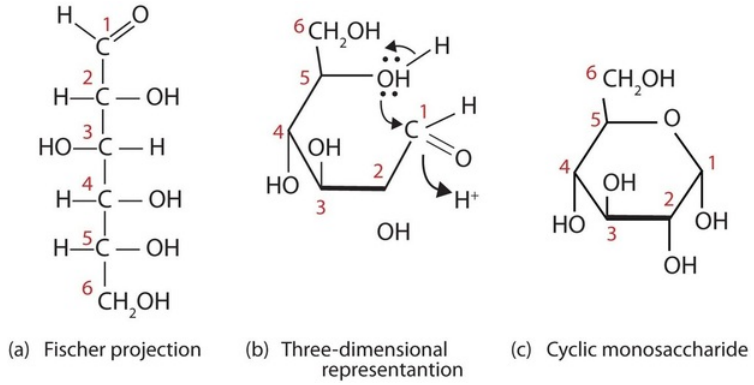


Figure **7.6.1**: Cyclization of D-Glucose. D-Glucose can be represented with a Fischer projection (a) or three dimensionally (b). By reacting the OH group on the fifth carbon atom with the aldehyde group, the cyclic monosaccharide (c) is produced.

When a straight-chain monosaccharide, such as any of the structures shown in Figure **7.6.1**, forms a cyclic structure, the carbonyl oxygen atom may be pushed either up or down, giving rise to two stereoisomers, as shown in Figure **7.6.2**. The structure shown on the left side of Figure **7.6.2**, with the OH group on the first carbon atom projected downward, represent what is called the *alpha (α) form*. The structures on the right side, with the OH group on the first carbon atom pointed upward, is the *beta (β) form*. These two stereoisomers of a cyclic monosaccharide are known as anomers; they differ in structure around the anomeric carbon—that is, the carbon atom that was the carbonyl carbon atom in the straight-chain form.

It is possible to obtain a sample of crystalline glucose in which all the molecules have the α structure or all have the β structure. The α form melts at 146°C and has a specific rotation of +112°, while the β form melts at 150°C and has a specific rotation of +18.7°. When the sample is dissolved in water, however, a mixture is soon produced containing both anomers as well as the straight-chain form, in dynamic equilibrium (part (a) of Figure **7.6.2**). You can start with a pure crystalline sample of glucose consisting entirely of either anomer, but as soon as the molecules dissolve in water, they open to form the carbonyl group and then reclose to form either the α or the β anomer. The opening and closing repeats continuously in an ongoing interconversion between anomeric forms and is referred to as mutarotation (Latin *mutare*, meaning “to change”). At equilibrium, the mixture consists of

about 36% α-D-glucose, 64% β-D-glucose, and less than 0.02% of the open-chain aldehyde form. The observed rotation of this solution is +52.7°.

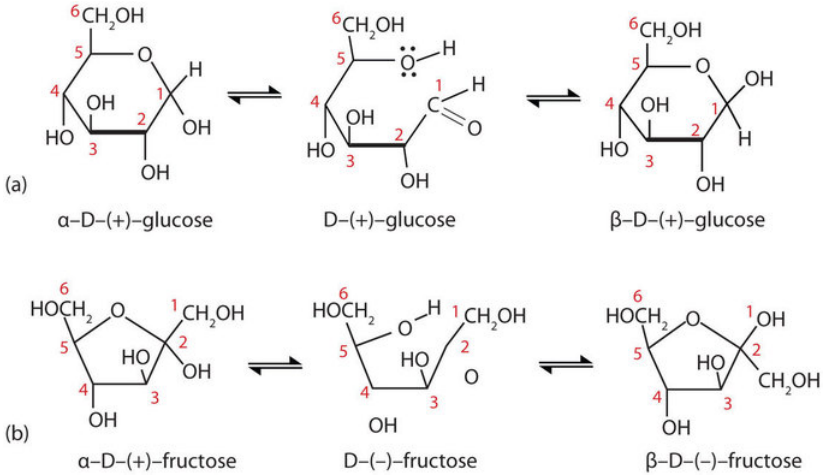


Figure **7.6.2**: Monosaccharides. In an aqueous solution, monosaccharides exist as an equilibrium mixture of three forms. The interconversion between the forms is known as *mutarotation*, which is shown for D-glucose (a) and D-fructose (b).

Even though only a small percentage of the molecules are in the open-chain aldehyde form at any time, the solution will nevertheless exhibit the characteristic reactions of an aldehyde. As the small amount of free aldehyde is used up in a reaction, there is a shift in the equilibrium to yield more aldehyde. Thus, *all* the molecules may eventually react, even though very little free aldehyde is present at a time.

Commonly, (e.g., in Figures **7.6.1** and **7.6.2**) the cyclic forms of sugars are depicted using a convention first suggested by Walter N. Haworth, an English chemist. The molecules are drawn as planar hexagons with a darkened edge representing the side facing toward the viewer. The structure is simplified to show only the functional groups attached to the carbon atoms. Any group written to the right in a Fischer projection appears below the plane of the ring in a Haworth projection, and any group written to the left in a Fischer projection appears above the plane in a Haworth projection.

The difference between the α and the β forms of sugars may seem trivial, but such structural differences are often crucial in biochemical reactions. This explains why we can get energy from the starch in potatoes and other plants but not from cellulose, even though both starch and cellulose are polysaccharides composed of glucose molecules linked together.

Summary

Monosaccharides that contain five or more carbons atoms form cyclic structures in aqueous solution. Two cyclic stereoisomers can form from each straight-chain monosaccharide; these are known as anomers. In an aqueous solution, an equilibrium mixture forms between the two anomers and the straight-chain structure of a monosaccharide in a process known as mutarotation.

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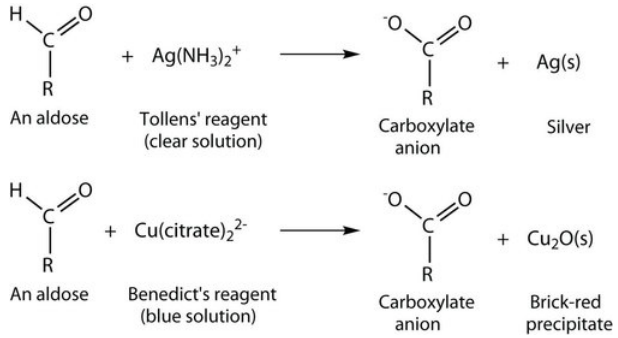
7.7: Properties of Monosaccharides

Learning Objectives

- To identify the physical and chemical properties of monosaccharides.

Monosaccharides such as glucose and fructose are crystalline solids at room temperature, but they are quite soluble in water, each molecule having several OH groups that readily engage in hydrogen bonding. The chemical behavior of these monosaccharides is likewise determined by their functional groups.

An important reaction of monosaccharides is the oxidation of the aldehyde group, one of the most easily oxidized organic functional groups. Aldehyde oxidation can be accomplished with any mild oxidizing agent, such as Tollens' reagent or Benedict's reagent. With the latter, complexed copper(II) ions are reduced to copper(I) ions that form a brick-red precipitate [copper(I) oxide; Figure **7.7.1**].



Any carbohydrate capable of reducing either Tollens' or Benedict's reagents without first undergoing hydrolysis is said to be a reducing sugar. Because both the Tollens' and Benedict's reagents are basic solutions, ketoses (such as fructose) also give positive tests due to an equilibrium that exists between ketoses and aldoses in a reaction known as *tautomerism*.

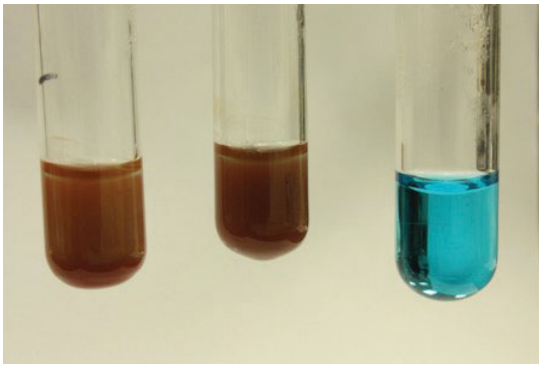


Figure **7.7.1**: Benedict's Test. Benedict's test was performed on three carbohydrates, depicted from left to right: fructose, glucose, and sucrose. The solution containing sucrose remains blue because sucrose is a nonreducing sugar.

These reactions have been used as simple and rapid diagnostic tests for the presence of glucose in blood or urine. For example, Clinitest tablets, which are used to test for sugar in the urine, contain copper(II) ions and are based on Benedict's test. A green color indicates very little sugar, whereas a brick-red color indicates sugar in excess of 2 g/100 mL of urine.

Summary

Monosaccharides are crystalline solids at room temperature and quite soluble in water. Monosaccharides are reducing sugars; they reduce mild oxidizing agents, such as Tollens' or Benedict's reagents.

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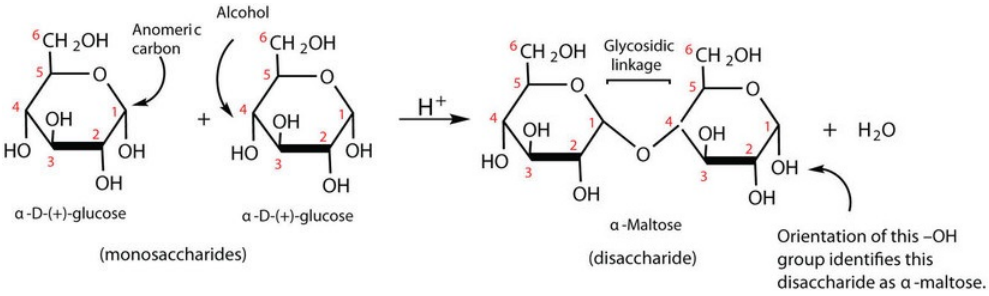
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7.8: Disaccharides

Learning Objectives

- Identify the structures of sucrose, lactose, and maltose.
- Identify the monosaccharides that are needed to form sucrose, lactose, and maltose

Previously, you learned that monosaccharides can form cyclic structures by the reaction of the carbonyl group with an OH group. These cyclic molecules can in turn react with another alcohol. Disaccharides ($C_{12}H_{22}O_{11}$) are sugars composed of two monosaccharide units that are joined by a carbon–oxygen-carbon linkage known as a glycosidic linkage. This linkage is formed from the reaction of the anomeric carbon of one cyclic monosaccharide with the OH group of a second monosaccharide.



The disaccharides differ from one another in their monosaccharide constituents and in the specific type of glycosidic linkage connecting them. There are three common disaccharides: maltose, lactose, and sucrose. All three are white crystalline solids at room temperature and are soluble in water. We'll consider each sugar in more detail.

7.8.1 Maltose

Maltose occurs to a limited extent in sprouting grain. It is formed most often by the partial hydrolysis of starch and glycogen. In the manufacture of beer, maltose is liberated by the action of malt (germinating barley) on starch; for this reason, it is often referred to as *malt sugar*. Maltose is about 30% as sweet as sucrose. The human body is unable to metabolize maltose or any other disaccharide directly from the diet because the molecules are too large to pass through the cell membranes of the intestinal wall. Therefore, an ingested disaccharide must first be broken down by hydrolysis into its two constituent monosaccharide units.

In the body, such hydrolysis reactions are catalyzed by enzymes such as *maltase*. The same reactions can be carried out in the laboratory with dilute acid as a catalyst, although in that case the rate is much slower, and high temperatures are required. Whether it occurs in the body or a glass beaker, the hydrolysis of maltose produces two molecules of D-glucose.

$$\text{maltose} \xrightarrow{\text{H}^+ \text{ or maltase}} 2\ \text{D-glucose}$$

Maltose is a reducing sugar. Thus, its two glucose molecules must be linked in such a way as to leave one anomeric carbon that can open to form an aldehyde group. The glucose units in maltose are joined in a *head-to-tail* fashion through an α-linkage from the first carbon atom of one glucose molecule to the fourth carbon atom of the second glucose molecule (that is, an α-1,4-glycosidic linkage; see Figure **7.8.1**). The bond from the anomeric carbon of the first monosaccharide unit is directed downward, which is why this is known as an α-glycosidic linkage. The OH group on the anomeric carbon of the second glucose can be in either the α or the β position, as shown in Figure **7.8.1**.

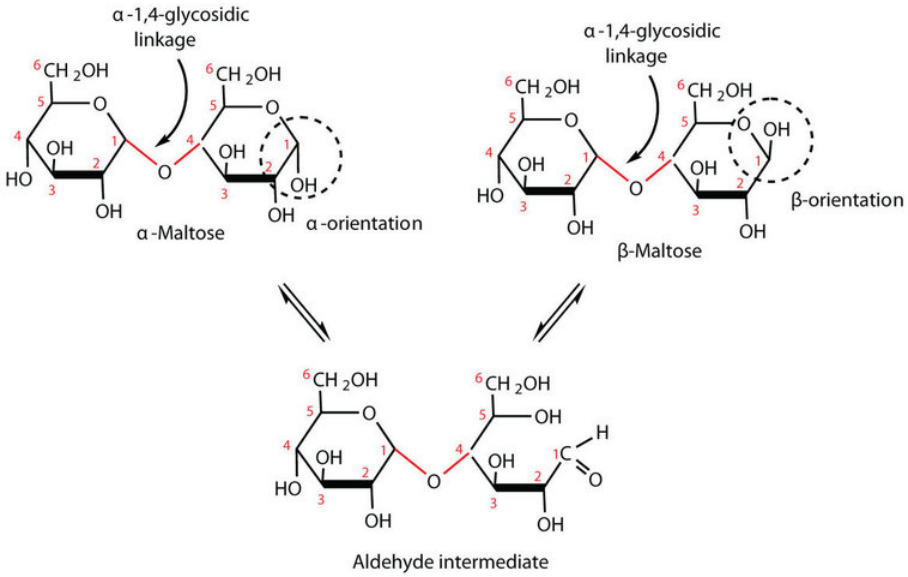
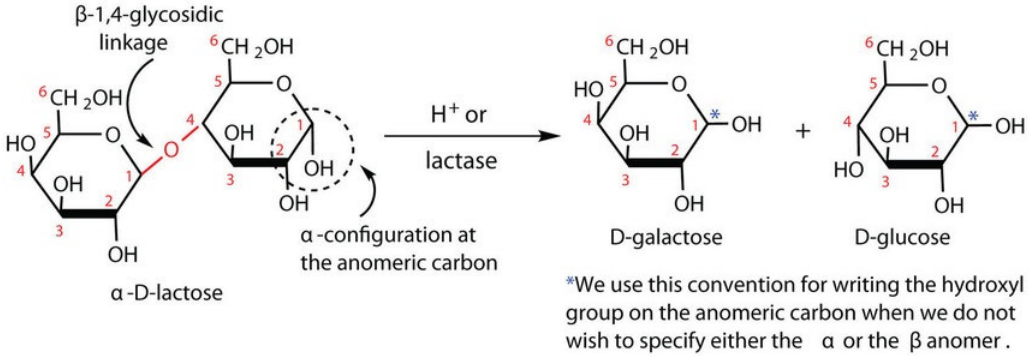


Figure **7.8.1**: An Equilibrium Mixture of Maltose Isomers

7.8.2 Lactose

Lactose is known as *milk sugar* because it occurs in the milk of humans, cows, and other mammals. In fact, the natural synthesis of lactose occurs only in mammary tissue, whereas most other carbohydrates are plant products. Human milk contains about 7.5% lactose, and cow's milk contains about 4.5%. This sugar is one of the lowest ranking in terms of sweetness, being about one-sixth as sweet as sucrose. Lactose is produced commercially from whey, a by-product in the manufacture of cheese. It is important as an infant food and in the production of penicillin.

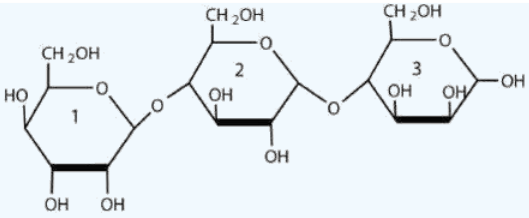
Lactose is a reducing sugar composed of one molecule of D-galactose and one molecule of D-glucose joined by a β-1,4-glycosidic bond (the bond from the anomeric carbon of the first monosaccharide unit being directed upward). The two monosaccharides are obtained from lactose by acid hydrolysis or the catalytic action of the enzyme *lactase*:



Many adults and some children suffer from a deficiency of lactase. These individuals are said to be lactose intolerant because they cannot digest the lactose found in milk. A more serious problem is the genetic disease galactosemia, which results from the absence of an enzyme needed to convert galactose to glucose. Certain bacteria can metabolize lactose, forming lactic acid as one of the products. This reaction is responsible for the "souring" of milk.

Example 7.8.1

For this trisaccharide, indicate whether each glycosidic linkage is α or β.

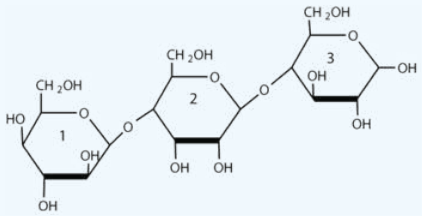


Solution

The glycosidic linkage between sugars 1 and 2 is β because the bond is directed up from the anomeric carbon. The glycosidic linkage between sugars 2 and 3 is α because the bond is directed down from the anomeric carbon.

? Exercise 7.8.1

For this trisaccharide, indicate whether each glycosidic linkage is α or β.



To Your Health: Lactose Intolerance and Galactosemia

Lactose makes up about 40% of an infant's diet during the first year of life. Infants and small children have one form of the enzyme lactase in their small intestines and can digest the sugar easily; however, adults usually have a less active form of the enzyme, and about 70% of the world's adult population has some deficiency in its production. As a result, many adults experience a reduction in the ability to hydrolyze lactose to galactose and glucose in their small intestine. For some people the inability to synthesize sufficient enzyme increases with age. Up to 20% of the US population suffers some degree of lactose intolerance.

In people with lactose intolerance, some of the unhydrolyzed lactose passes into the colon, where it tends to draw water from the interstitial fluid into the intestinal lumen by osmosis. At the same time, intestinal bacteria may act on the lactose to produce organic acids and gases. The buildup of water and bacterial decay products leads to abdominal distention, cramps, and diarrhea, which are symptoms of the condition.

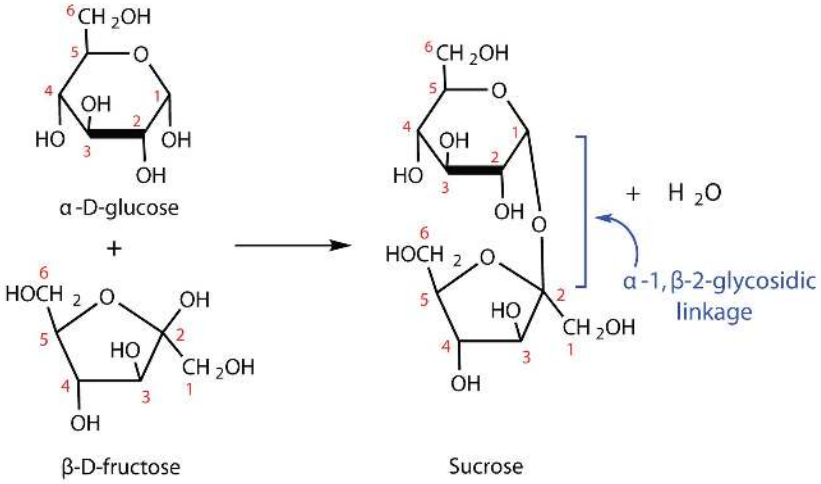
The symptoms disappear if milk or other sources of lactose are excluded from the diet or consumed only sparingly. Alternatively, many food stores now carry special brands of milk that have been pretreated with lactase to hydrolyze the lactose. Cooking or fermenting milk causes at least partial hydrolysis of the lactose, so some people with lactose intolerance are still able to enjoy cheese, yogurt, or cooked foods containing milk. The most common treatment for lactose intolerance, however, is the use of lactase preparations (e.g., Lactaid), which are available in liquid and tablet form at drugstores and grocery stores. These are taken orally with dairy foods—or may be added to them directly—to assist in their digestion.

Galactosemia is a condition in which one of the enzymes needed to convert galactose to glucose is missing. Consequently, the blood galactose level is markedly elevated, and galactose is found in the urine. An infant with galactosemia experiences a lack of appetite, weight loss, diarrhea, and jaundice. The disease may result in impaired liver function, cataracts, mental retardation, and even death. If galactosemia is recognized in early infancy, its effects can be prevented by the exclusion of milk and all other sources of galactose from the diet. As a child with galactosemia grows older, he or she usually develops an alternate pathway for metabolizing galactose, so the need to restrict milk is not permanent. The incidence of galactosemia in the United States is 1 in every 65,000 newborn babies.

7.8.3 Sucrose

Sucrose, probably the largest-selling pure organic compound in the world, is known as *beet sugar*, *cane sugar*, *table sugar*, or simply *sugar*. Most of the sucrose sold commercially is obtained from sugar cane and sugar beets (whose juices are 14%–20% sucrose) by evaporation of the water and recrystallization. The dark brown liquid that remains after the recrystallization of sugar is sold as molasses.

The sucrose molecule is unique among the common disaccharides in having an α-1,β-2-glycosidic (head-to-head) linkage. Because this glycosidic linkage is formed by the OH group on the anomeric carbon of α-D-glucose and the OH group on the anomeric carbon of β-D-fructose, it ties up the anomeric carbons of both glucose and fructose.



This linkage gives sucrose certain properties that are quite different from those of maltose and lactose. As long as the sucrose molecule remains intact, neither monosaccharide "uncyclizes" to form an open-chain structure. Thus, sucrose is incapable of mutarotation and exists in only one form both in the solid state and in solution. In addition, sucrose does not undergo reactions that are typical of aldehydes and ketones. Therefore, sucrose is a nonreducing sugar.

The hydrolysis of sucrose in dilute acid or through the action of the enzyme *sucrase* (also known as invertase) gives an equimolar mixture of glucose and fructose. This 1:1 mixture is referred to as *invert sugar* because it rotates plane-polarized light in the opposite direction than sucrose. The hydrolysis reaction has several practical applications. Sucrose readily recrystallizes from a solution, but invert sugar has a much greater tendency to remain in solution. In the manufacture of jelly and candy and in the canning of fruit, the recrystallization of sugar is undesirable. Therefore, conditions leading to the hydrolysis of sucrose are employed in these processes. Moreover, because fructose is sweeter than sucrose, the hydrolysis adds to the sweetening effect. Bees carry out this reaction when they make honey.

The average American consumes more than 100 lb of sucrose every year. About two-thirds of this amount is ingested in soft drinks, presweetened cereals, and other highly processed foods. The widespread use of sucrose is a contributing factor to obesity and tooth decay. Carbohydrates such as sucrose, are converted to fat when the caloric intake exceeds the body's requirements, and sucrose causes tooth decay by promoting the formation of plaque that sticks to teeth.

Summary

Maltose is composed of two molecules of glucose joined by an α-1,4-glycosidic linkage. It is a reducing sugar that is found in sprouting grain. Lactose is composed of a molecule of galactose joined to a molecule of glucose by a β-1,4-glycosidic linkage. It is a reducing sugar that is found in milk. Sucrose is composed of a molecule of glucose joined to a molecule of fructose by an α-1,β-2-glycosidic linkage. It is a nonreducing sugar that is found in sugar cane and sugar beets

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7.9: Polysaccharides

Learning Objectives

- To compare and contrast the structures and uses of starch, glycogen, and cellulose.

The polysaccharides are the most abundant carbohydrates in nature and serve a variety of functions, such as energy storage or as components of plant cell walls. Polysaccharides are very large polymers composed of tens to thousands of monosaccharides joined together by glycosidic linkages. The three most abundant polysaccharides are starch, glycogen, and cellulose. These three are referred to as *homopolymers* because each yields only one type of monosaccharide (glucose) after complete hydrolysis. *Heteropolymers* may contain sugar acids, amino sugars, or noncarbohydrate substances in addition to monosaccharides. Heteropolymers are common in nature (gums, pectins, and other substances) but will not be discussed further in this textbook. The polysaccharides are nonreducing carbohydrates, are not sweet tasting, and do not undergo mutarotation.

7.9.1 Starch

Starch is the most important source of carbohydrates in the human diet and accounts for more than 50% of our carbohydrate intake. It occurs in plants in the form of granules, and these are particularly abundant in seeds (especially the cereal grains) and tubers, where they serve as a storage form of carbohydrates. The breakdown of starch to glucose nourishes the plant during periods of reduced photosynthetic activity. We often think of potatoes as a "starchy" food, yet other plants contain a much greater percentage of starch (potatoes 15%, wheat 55%, corn 65%, and rice 75%). Commercial starch is a white powder.

Starch is a mixture of two polymers: amylose and amylopectin. Natural starches consist of about 10%–30% amylose and 70%–90% amylopectin. Amylose is a linear polysaccharide composed entirely of D-glucose units joined by the α-1,4-glycosidic linkages we saw in maltose (part (a) of Figure **7.9.1**). Experimental evidence indicates that amylose is not a straight chain of glucose units but instead is coiled like a spring, with six glucose monomers per turn (part (b) of Figure **7.9.1**). When coiled in this fashion, amylose has just enough room in its core to accommodate an iodine molecule. The characteristic blue-violet color that appears when starch is treated with iodine is due to the formation of the amylose-iodine complex. This color test is sensitive enough to detect even minute amounts of starch in solution.

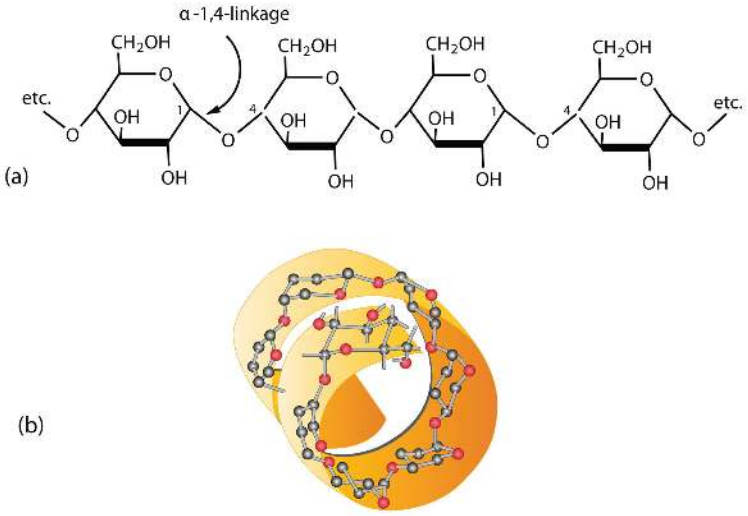


Figure **7.9.1**: Amylose. (a) Amylose is a linear chain of α-D-glucose units joined together by α-1,4-glycosidic bonds. (b) Because of hydrogen bonding, amylose acquires a spiral structure that contains six glucose units per turn.

Amylopectin is a branched-chain polysaccharide composed of glucose units linked primarily by α-1,4-glycosidic bonds but with occasional α-1,6-glycosidic bonds, which are responsible for the branching. A molecule of amylopectin may contain many thousands of glucose units with branch points occurring about every 25–30 units (Figure **7.9.2**). The helical structure of amylopectin is disrupted by the branching of the chain, so instead of the deep blue-violet color amylose gives with iodine, amylopectin produces a less intense reddish brown.

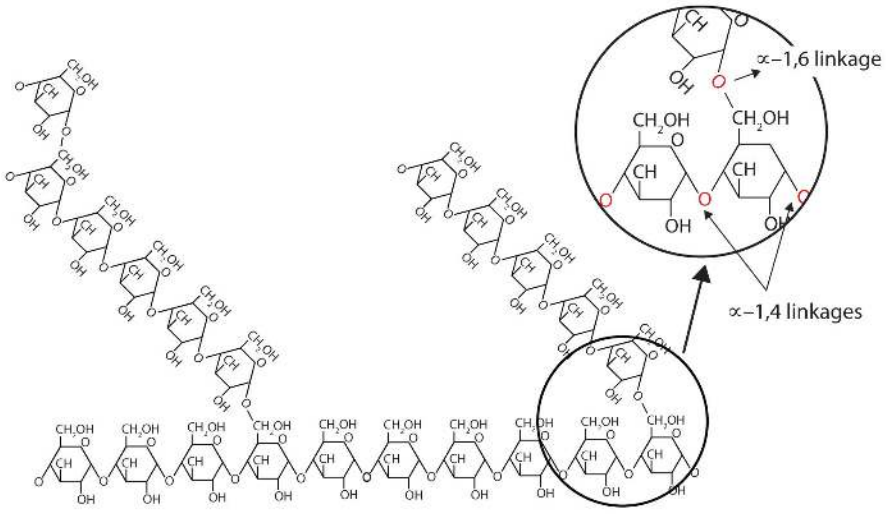


Figure **7.9.2**: Representation of the Branching in Amylopectin and Glycogen. Both amylopectin and glycogen contain branch points that are linked through α-1,6-linkages. These branch points occur more often in glycogen.

Dextrins are glucose polysaccharides of intermediate size. The shine and stiffness imparted to clothing by starch are due to the presence of dextrins formed when clothing is ironed. Because of their characteristic stickiness with wetting, dextrins are used as adhesives on stamps, envelopes, and labels; as binders to hold pills and tablets together; and as pastes. Dextrins are more easily digested than starch and are therefore used extensively in the commercial preparation of infant foods.

The complete hydrolysis of starch yields, in successive stages, glucose:

$$\text{starch} \rightarrow \text{dextrins} \rightarrow \text{maltose} \rightarrow \text{glucose}$$

In the human body, several enzymes known collectively as amylases degrade starch sequentially into usable glucose units.

7.9.2 Glycogen

Glycogen is the energy reserve carbohydrate of animals. Practically all mammalian cells contain some stored carbohydrates in the form of glycogen, but it is especially abundant in the liver (4%–8% by weight of tissue) and in skeletal muscle cells (0.5%–1.0%). Like starch in plants, glycogen is found as granules in liver and muscle cells. When fasting, animals draw on these glycogen reserves during the first day without food to obtain the glucose needed to maintain metabolic balance.

Glycogen is structurally quite similar to amylopectin, although glycogen is more highly branched (8–12 glucose units between branches) and the branches are shorter. When treated with iodine, glycogen gives a reddish brown color. Glycogen can be broken down into its D-glucose subunits by acid hydrolysis or by the same enzymes that catalyze the breakdown of starch. In animals, the enzyme phosphorylase catalyzes the breakdown of glycogen to phosphate esters of glucose.

> *About 70% of the total glycogen in the body is stored in muscle cells. Although the percentage of glycogen (by weight) is higher in the liver, the much greater mass of skeletal muscle stores a greater total amount of glycogen.*

7.9.3 Cellulose

Cellulose, a fibrous carbohydrate found in all plants, is the structural component of plant cell walls. Because the earth is covered with vegetation, cellulose is the most abundant of all carbohydrates, accounting for over 50% of all the carbon found in the vegetable kingdom. Cotton fibrils and filter paper are almost entirely cellulose (about 95%), wood is about 50% cellulose, and the dry weight of leaves is about 10%–20% cellulose. The largest use of cellulose is in the manufacture of paper and paper products. Although the use of noncellulose synthetic fibers is increasing, rayon (made from cellulose) and cotton still account for over 70% of textile production.

Like amylose, cellulose is a linear polymer of glucose. It differs, however, in that the glucose units are joined by β-1,4-glycosidic linkages, producing a more extended structure than amylose (part (a) of Figure **7.9.3**). This extreme linearity allows a great deal of hydrogen bonding between OH groups on adjacent chains, causing them to pack closely into fibers (part (b) of Figure **7.9.3**). As a result, cellulose exhibits little interaction with water or any other solvent. Cotton and wood, for example, are completely insoluble in water and have considerable mechanical strength. Because cellulose does not have a helical structure, it does not bind to iodine to form a colored product.

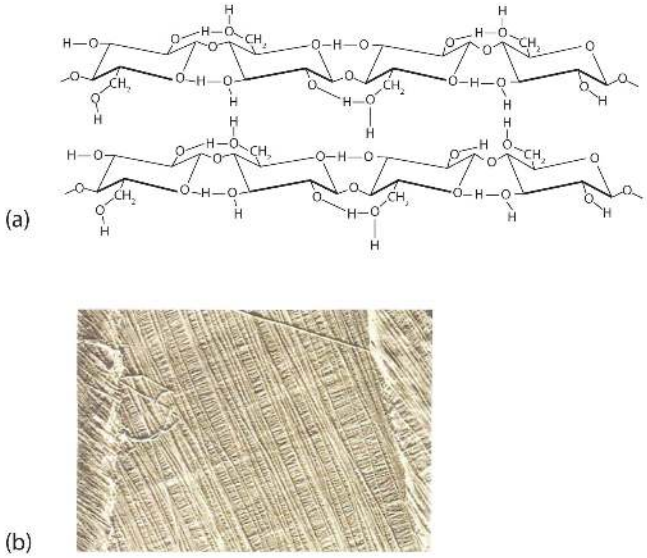


Figure **7.9.3**: Cellulose. (a) There is extensive hydrogen bonding in the structure of cellulose. (b) In this electron micrograph of the cell wall of an alga, the wall consists of successive layers of cellulose fibers in parallel arrangement.

Cellulose yields D-glucose after complete acid hydrolysis, yet humans are unable to metabolize cellulose as a source of glucose. Our digestive juices lack enzymes that can hydrolyze the β-glycosidic linkages found in cellulose, so although we can eat potatoes, we cannot eat grass. However, certain microorganisms can digest cellulose because they make the enzyme cellulase, which catalyzes the hydrolysis of cellulose. The presence of these microorganisms in the digestive tracts of herbivorous animals (such as cows, horses, and sheep) allows these animals to degrade the cellulose from plant material into glucose for energy. Termites also contain cellulase-secreting microorganisms and thus can subsist on a wood diet. This example once again demonstrates the extreme stereospecificity of biochemical processes.

Career Focus: Certified Diabetes Educator

Certified diabetes educators come from a variety of health professions, such as nursing and dietetics, and specialize in the education and treatment of patients with diabetes. A diabetes educator will work with patients to manage their diabetes. This involves teaching the patient to monitor blood sugar levels, make good food choices, develop and maintain an exercise program, and take medication, if required.



A certified diabetes educator at Naval Medical Center Portsmouth (left) and a registered dietician at the medical center (center), provide nutritional information to a diabetes patient and her mother at the Diabetes Boot Camp.

> Diabetes educators also work with hospital or nursing home staff to improve the care of diabetic patients. Educators must be willing to spend time attending meetings and reading the current literature to maintain their knowledge of diabetes medications, nutrition, and blood monitoring devices so that they can pass this information to their patients.

Summary

Starch is a storage form of energy in plants. It contains two polymers composed of glucose units: amylose (linear) and amylopectin (branched). Glycogen is a storage form of energy in animals. It is a branched polymer composed of glucose units. It is more highly branched than amylopectin. Cellulose is a structural polymer of glucose units found in plants. It is a linear polymer with the glucose units linked through β-1,4-glycosidic bonds.

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CHAPTER OVERVIEW

8: Lipids

8.1: Prelude to Lipids
8.2: Fatty Acids
8.3: Fats and Oils
8.4: Esters of Phosphoric Acid
8.5: Membranes and Membrane Lipids
8.6: Steroids

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8.1: Prelude to Lipids

On July 11, 2003, the Food and Drug Administration amended its food labeling regulations to require that manufacturers list the amount of *trans* fatty acids on Nutrition Facts labels of foods and dietary supplements, effective January 1, 2006. This amendment was a response to published studies demonstrating a link between the consumption of *trans* fatty acids and an increased risk of heart disease. *Trans* fatty acids are produced in the conversion of liquid oils to solid fats, as in the creation of many commercial margarines and shortenings. They have been shown to increase the levels of low-density lipoproteins (LDLs)—complexes that are often referred to as bad cholesterol—in the blood. In this chapter, you will learn about fatty acids and what is meant by a *trans* fatty acid, as well as the difference between fats and oils. You will also learn what cholesterol is and why it is an important molecule in the human body.

Fats and oils, found in many of the foods we eat, belong to a class of biomolecules known as lipids. Gram for gram, they pack more than twice the caloric content of carbohydrates: the oxidation of fats and oils supplies about 9 kcal of energy for every gram oxidized, whereas the oxidation of carbohydrates supplies only 4 kcal/g. Although the high caloric content of fats may be bad news for the dieter, it says something about the efficiency of nature's designs. Our bodies use carbohydrates, primarily in the form of glucose, for our *immediate* energy needs. Our capacity for storing carbohydrates for later use is limited to tucking away a bit of glycogen in the liver or in muscle tissue. We store our *reserve* energy in lipid form, which requires far less space than the same amount of energy stored in carbohydrate form. Lipids have other biological functions besides energy storage. They are a major component of the membranes of the 10 trillion cells in our bodies. They serve as protective padding and insulation for vital organs. Furthermore, without lipids in our diets, we would be deficient in the fat-soluble vitamins A, D, E, and K.

Lipids are not defined by the presence of specific functional groups, as carbohydrates are, but by a physical property—solubility. Compounds isolated from body tissues are classified as lipids if they are more soluble in organic solvents, such as dichloromethane, than in water. By this criterion, the lipid category includes not only fats and oils, which are esters of the trihydroxy alcohol glycerol and fatty acids, but also compounds that incorporate functional groups derived from phosphoric acid, carbohydrates, or amino alcohols, as well as steroid compounds such as cholesterol (Figure 8.1.1 presents one scheme for classifying the various kinds of lipids). We will discuss the various kinds of lipids by considering one subclass at a time and pointing out structural similarities and differences as we go.

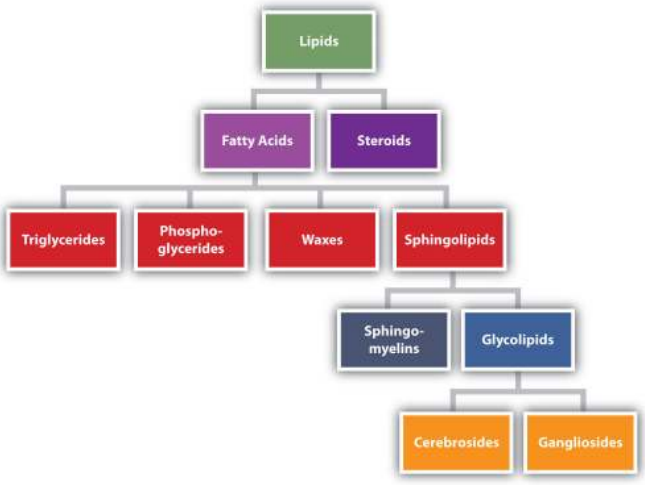


Figure 8.1.1: Lipid Organization Based on Structural Relationships

Lipid categorized into fatty acids and steroids. Fatty acids are further separated into triglycerides, phospho-glycerides, waxes, and sphingolipids. Sphingolipids are separated into sphingo-myelins and glycolipids. Glycolipids are separated into cerebrosides and gangliosides.

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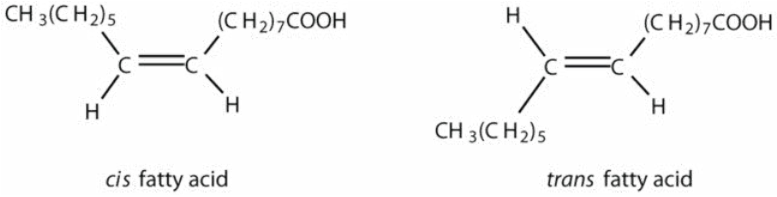
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8.2: Fatty Acids

Learning Objectives

- To recognize the structures of common fatty acids and classify them as saturated, monounsaturated, or polyunsaturated.

Fatty acids are carboxylic acids that are structural components of fats, oils, and all other categories of lipids, except steroids. More than 70 have been identified in nature. They usually contain an even number of carbon atoms (typically 12–20), are generally unbranched, and can be classified by the presence and number of carbon-to-carbon double bonds. Thus, saturated fatty acids contain no carbon-to-carbon double bonds, monounsaturated fatty acids contain one carbon-to-carbon double bond, and polyunsaturated fatty acids contain two or more carbon-to-carbon double bonds.



Cis fatty acids have two H atoms on the same side of the plane of the fatty acid while trans has the two H atoms on opposite sides.

Table **8.2.1** lists some common fatty acids and one important source for each. The atoms or groups around the double bonds in unsaturated fatty acids can be arranged in either the cis or trans isomeric form. Naturally occurring fatty acids are generally in the cis configuration.

Table **8.2.1**: Some Common Fatty Acids Found in Natural Fats

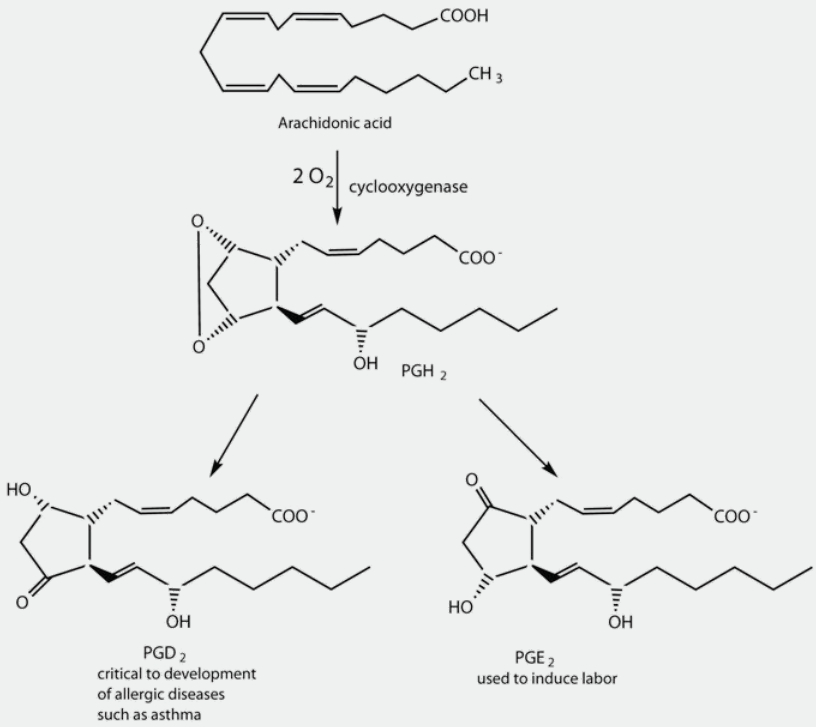
Name	Abbreviated Structural Formula	Condensed Structural Formula	Melting Point (°C)	Source
lauric acid	$C_{11}H_{23}COOH$	$CH_3(CH_2)_{10}COOH$	44	palm kernel oil
myristic acid	$C_{13}H_{27}COOH$	$CH_3(CH_2)_{12}COOH$	58	oil of nutmeg
palmitic acid	$C_{15}H_{31}COOH$	$CH_3(CH_2)_{14}COOH$	63	palm oil
palmitoleic acid	$C_{15}H_{29}COOH$	$CH_3(CH_2)_5CH=CH(CH_2)_7COOH$	0.5	macadamia oil
stearic acid	$C_{17}H_{35}COOH$	$CH_3(CH_2)_{16}COOH$	70	cocoa butter
oleic acid	$C_{17}H_{33}COOH$	$CH_3(CH_2)_7CH=CH(CH_2)_7COOH$	16	olive oil
linoleic acid	$C_{17}H_{31}COOH$	$CH_3(CH_2)_3(CH_2CH=CH)_2(CH_2)_7COOH$	−5	canola oil
α-linolenic acid	$C_{17}H_{29}COOH$	$CH_3(CH_2CH=CH)_3(CH_2)_7COOH$	−11	flaxseed
arachidonic acid	$C_{19}H_{31}COOH$	$CH_3(CH_2)_4(CH_2CH=CH)_4(CH_2)_2COOH$	−50	liver

Two polyunsaturated fatty acids—linoleic and α-linolenic acids—are termed essential fatty acids because humans must obtain them from their diets. Both substances are required for normal growth and development, but the human body does not synthesize them. The body uses linoleic acid to synthesize many of the other unsaturated fatty acids, such as arachidonic acid, a precursor for the synthesis of prostaglandins. In addition, the essential fatty acids are necessary for the efficient transport and metabolism of cholesterol. The average daily diet should contain about 4–6 g of the essential fatty acids.

To Your Health: Prostaglandins

Prostaglandins are chemical messengers synthesized in the cells in which their physiological activity is expressed. They are unsaturated fatty acids containing 20 carbon atoms and are synthesized from arachidonic acid—a polyunsaturated fatty acid—when needed by a particular cell. They are called *prostaglandins* because they were originally isolated from semen found in the prostate gland. It is now known that they are synthesized in nearly all mammalian tissues and affect almost all organs in the body. The five major classes of prostaglandins are designated as PGA, PGB, PGE, PGF, and PGI. Subscripts are attached at the end of these abbreviations to denote the number of double bonds outside the five-carbon ring in a given prostaglandin.

The prostaglandins are among the most potent biological substances known. Slight structural differences give them highly distinct biological effects; however, all prostaglandins exhibit some ability to induce smooth muscle contraction, lower blood pressure, and contribute to the inflammatory response. Aspirin and other nonsteroidal anti-inflammatory agents, such as ibuprofen, obstruct the synthesis of prostaglandins by inhibiting cyclooxygenase, the enzyme needed for the initial step in the conversion of arachidonic acid to prostaglandins.



Their wide range of physiological activity has led to the synthesis of hundreds of prostaglandins and their analogs. Derivatives of PGE_2 are now used in the United States to induce labor. Other prostaglandins have been employed clinically to lower or increase blood pressure, inhibit stomach secretions, relieve nasal congestion, relieve asthma, and prevent the formation of blood clots, which are associated with heart attacks and strokes.

Although we often draw the carbon atoms in a straight line, they actually have more of a zigzag configuration (Figure **8.2.2*a***). Viewed as a whole, however, the saturated fatty acid molecule is relatively straight (Figure **8.2.2*b***). Such molecules pack closely together into a crystal lattice, maximizing the strength of dispersion forces and causing fatty acids and the fats derived from them to have relatively high melting points. In contrast, each *cis* carbon-to-carbon double bond in an unsaturated fatty acid produces a pronounced bend in the molecule, so that these molecules do not stack neatly. As a result, the intermolecular attractions of unsaturated fatty acids (and unsaturated fats) are weaker, causing these substances to have lower melting points. Most are liquids at room temperature.

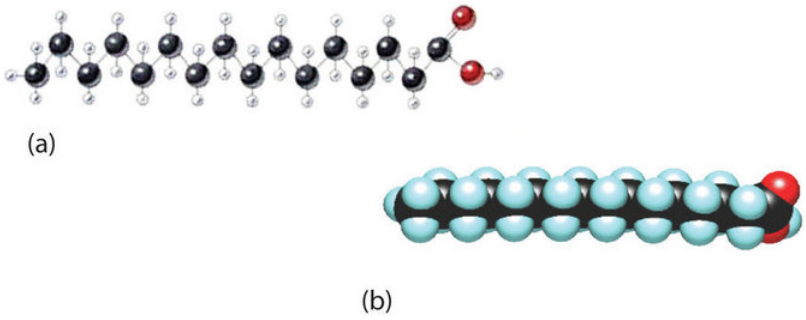
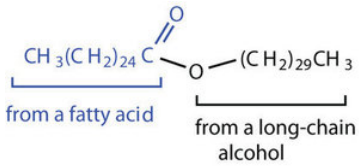


Figure **8.2.2**: The Structure of Saturated Fatty Acids. (a) There is a zigzag pattern formed by the carbon-to-carbon single bonds in the ball-and-stick model of a palmitic acid molecule. (b) A space-filling model of palmitic acid shows the overall straightness of a saturated fatty acid molecule.

Waxes are esters formed from long-chain fatty acids and long-chain alcohols. Most natural waxes are mixtures of such esters. Plant waxes on the surfaces of leaves, stems, flowers, and fruits protect the plant from dehydration and invasion by harmful microorganisms. Carnauba wax, used extensively in floor waxes, automobile waxes, and furniture polish, is largely myricyl cerotate, obtained from the leaves of certain Brazilian palm trees. Animals also produce waxes that serve as protective coatings, keeping the surfaces of feathers, skin, and hair pliable and water repellent. In fact, if the waxy coating on the feathers of a water bird is dissolved as a result of the bird swimming in an oil slick, the feathers become wet and heavy, and the bird, unable to maintain its buoyancy, drowns.



Myricyl cerotate
(found in carnauba wax)

Summary

Fatty acids are carboxylic acids that are the structural components of many lipids. They may be saturated or unsaturated. Most fatty acids are unbranched and contain an even number of carbon atoms. Unsaturated fatty acids have lower melting points than saturated fatty acids containing the same number of carbon atoms.

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8.3: Fats and Oils

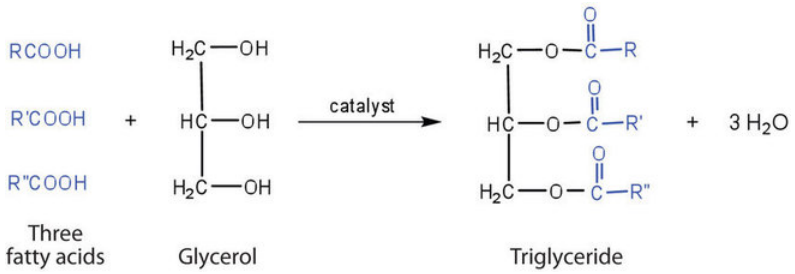
Learning Objectives

- Explain why fats and oils are referred to as triglycerides.
- Explain how the fatty acid composition of the triglycerides determines whether a substance is a fat or oil.
- Describe the importance of key reactions of triglycerides, such as hydrolysis, hydrogenation, and oxidation.

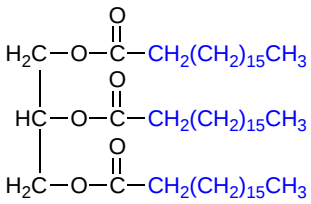
Fats and oils are the most abundant lipids in nature. They provide energy for living organisms, insulate body organs, and transport fat-soluble vitamins through the blood.

8.3.1 Structures of Fats and Oils

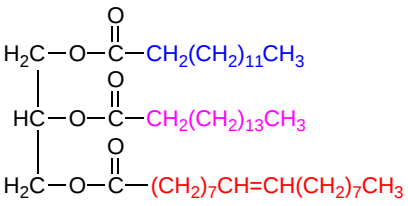
Fats and oils are called triglycerides (or *triacylcylgerols*) because they are esters composed of three fatty acid units joined to *glycerol*, a trihydroxy alcohol:



If all three OH groups on the glycerol molecule are esterified with the same fatty acid, the resulting ester is called a *simple triglyceride*. Although simple triglycerides have been synthesized in the laboratory, they rarely occur in nature. Instead, a typical triglyceride obtained from naturally occurring fats and oils contains two or three different fatty acid components and is thus termed a *mixed triglyceride*.



Tristearin
a simple triglyceride



a mixed triglyceride

A triglyceride is called a fat if it is a solid at 25°C; it is called an oil if it is a liquid at that temperature. These differences in melting points reflect differences in the degree of unsaturation and number of carbon atoms in the constituent fatty acids. Triglycerides obtained from animal sources are usually solids, while those of plant origin are generally oils. Therefore, we commonly speak of animal fats and vegetable oils.

No single formula can be written to represent the naturally occurring fats and oils because they are highly complex mixtures of triglycerides in which many different fatty acids are represented. Table **8.3.1** shows the fatty acid compositions of some common

fats and oils. The composition of any given fat or oil can vary depending on the plant or animal species it comes from as well as on dietetic and climatic factors. To cite just one example, lard from corn-fed hogs is more highly saturated than lard from peanut-fed hogs. Palmitic acid is the most abundant of the saturated fatty acids, while oleic acid is the most abundant unsaturated fatty acid.

Table **8.3.1**: Average Fatty Acid Composition of Some Common Fats and Oils (%)*

	Lauric	Myristic	Palmitic	Stearic	Oleic	Linoleic	Linolenic
Fats							
butter (cow)	3	11	27	12	29	2	1
tallow		3	24	19	43	3	1
lard		2	26	14	44	10	
Oils							
canola oil			4	2	62	22	10
coconut oil†	47	18	9	3	6	2	
corn oil			11	2	28	58	1
olive oil			13	3	71	10	1
peanut oil			11	2	48	32	
soybean oil			11	4	24	54	7

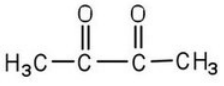
*Totals less than 100% indicate the presence of fatty acids with fewer than 12 carbon atoms or more than 18 carbon atoms.

†Coconut oil is highly saturated. It contains an unusually high percentage of the low-melting C_8, C_{10}, and C_{12} saturated fatty acids.

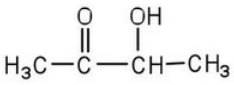
Terms such as *saturated fat* or *unsaturated oil* are often used to describe the fats or oils obtained from foods. Saturated fats contain a high proportion of saturated fatty acids, while unsaturated oils contain a high proportion of unsaturated fatty acids. The high consumption of saturated fats is a factor, along with the high consumption of cholesterol, in increased risks of heart disease.

8.3.2 Physical Properties of Fats and Oils

Contrary to what you might expect, *pure* fats and oils are colorless, odorless, and tasteless. The characteristic colors, odors, and flavors that we associate with some of them are imparted by foreign substances that are lipid soluble and have been absorbed by these lipids. For example, the yellow color of butter is due to the presence of the pigment carotene; the taste of butter comes from two compounds—diacetyl and 3-hydroxy-2-butanone—produced by bacteria in the ripening cream from which the butter is made.



Diacetyl

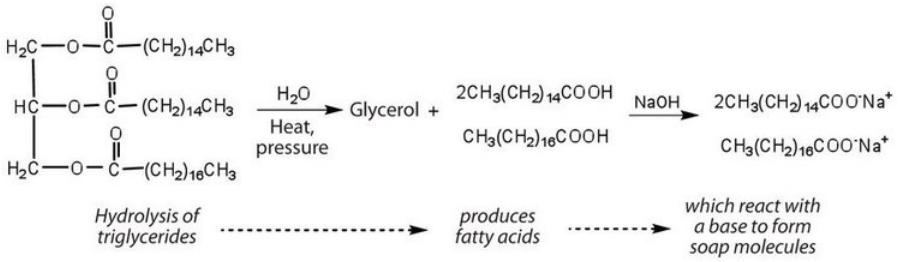


3-hydroxy-2-butanone

Fats and oils are lighter than water, having densities of about 0.8 g/cm^3. They are poor conductors of heat and electricity and therefore serve as excellent insulators for the body, slowing the loss of heat through the skin.

8.3.3 Chemical Reactions of Fats and Oils

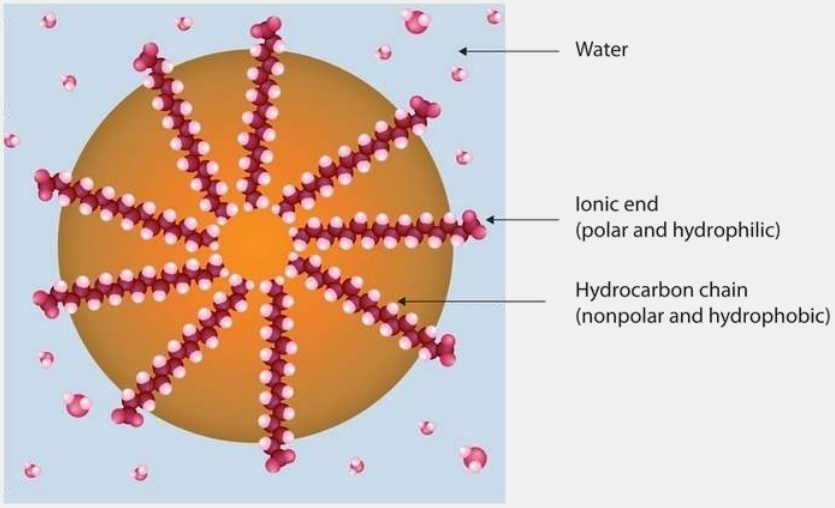
Fats and oils can participate in a variety of chemical reactions—for example, because triglycerides are esters, they can be hydrolyzed in the presence of an acid, a base, or specific enzymes known as lipases. The hydrolysis of fats and oils in the presence of a base is used to make soap and is called saponification. Today most soaps are prepared through the hydrolysis of triglycerides (often from tallow, coconut oil, or both) using water under high pressure and temperature [700 lb/in^2 (~50 atm or 5,000 kPa) and 200°C]. Sodium carbonate or sodium hydroxide is then used to convert the fatty acids to their sodium salts (soap molecules):



Looking Closer: Soaps

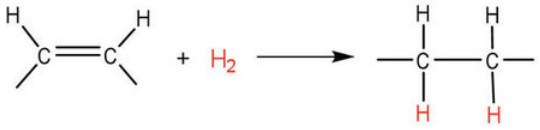
Ordinary soap is a mixture of the sodium salts of various fatty acids, produced in one of the oldest organic syntheses practiced by humans (second only to the fermentation of sugars to produce ethyl alcohol). Both the Phoenicians (600 BCE) and the Romans made soap from animal fat and wood ash. Even so, the widespread production of soap did not begin until the 1700s. Soap was traditionally made by treating molten lard or tallow with a slight excess of alkali in large open vats. The mixture was heated, and steam was bubbled through it. After saponification was completed, the soap was precipitated from the mixture by the addition of sodium chloride (NaCl), removed by filtration, and washed several times with water. It was then dissolved in water and reprecipitated by the addition of more NaCl. The glycerol produced in the reaction was also recovered from the aqueous wash solutions.

Pumice or sand is added to produce scouring soap, while ingredients such as perfumes or dyes are added to produce fragrant, colored soaps. Blowing air through molten soap produces a floating soap. Soft soaps, made with potassium salts, are more expensive but produce a finer lather and are more soluble. They are used in liquid soaps, shampoos, and shaving creams.



Dirt and grime usually adhere to skin, clothing, and other surfaces by combining with body oils, cooking fats, lubricating greases, and similar substances that act like glues. Because these substances are not miscible in water, washing with water alone does little to remove them. Soap removes them, however, because soap molecules have a dual nature. One end, called the *head*, carries an ionic charge (a carboxylate anion) and therefore dissolves in water; the other end, the *tail*, has a hydrocarbon structure and dissolves in oils. The hydrocarbon tails dissolve in the soil; the ionic heads remain in the aqueous phase, and the soap breaks the oil into tiny soap-enclosed droplets called *micelles*, which disperse throughout the solution. The droplets repel each other because of their charged surfaces and do not coalesce. With the oil no longer “gluing” the dirt to the soiled surface (skin, cloth, dish), the soap-enclosed dirt can easily be rinsed away.

The double bonds in fats and oils can undergo hydrogenation and also oxidation. The hydrogenation of vegetable oils to produce semisolid fats is an important process in the food industry. Chemically, it is essentially identical to the catalytic hydrogenation reaction described for alkenes.



In commercial processes, the number of double bonds that are hydrogenated is carefully controlled to produce fats with the desired consistency (soft and pliable). Inexpensive and abundant vegetable oils (canola, corn, soybean) are thus transformed into margarine and cooking fats. In the preparation of margarine, for example, partially hydrogenated oils are mixed with water, salt, and nonfat dry milk, along with flavoring agents, coloring agents, and vitamins A and D, which are added to approximate the look, taste, and nutrition of butter. (Preservatives and antioxidants are also added.) In most commercial peanut butter, the peanut oil has been partially hydrogenated to prevent it from separating out. Consumers could decrease the amount of saturated fat in their diet by using the original unprocessed oils on their foods, but most people would rather spread margarine on their toast than pour oil on it.

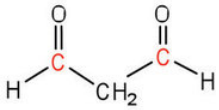
Many people have switched from butter to margarine or vegetable shortening because of concerns that saturated animal fats can raise blood cholesterol levels and result in clogged arteries. However, during the hydrogenation of vegetable oils, an isomerization reaction occurs that produces the *trans* fatty acids mentioned in the opening essay. However, studies have shown that *trans* fatty acids also raise cholesterol levels and increase the incidence of heart disease. *Trans* fatty acids do not have the bend in their structures, which occurs in *cis* fatty acids and thus pack closely together in the same way that the saturated fatty acids do. Consumers are now being advised to use polyunsaturated oils and soft or liquid margarine and reduce their total fat consumption to less than 30% of their total calorie intake each day.

Fats and oils that are in contact with moist air at room temperature eventually undergo oxidation and hydrolysis reactions that cause them to turn rancid, acquiring a characteristic disagreeable odor. One cause of the odor is the release of volatile fatty acids by hydrolysis of the ester bonds. Butter, for example, releases foul-smelling butyric, caprylic, and capric acids. Microorganisms present in the air furnish lipases that catalyze this process. Hydrolytic rancidity can easily be prevented by covering the fat or oil and keeping it in a refrigerator.

Another cause of volatile, odorous compounds is the oxidation of the unsaturated fatty acid components, particularly the readily oxidized structural unit



in polyunsaturated fatty acids, such as linoleic and linolenic acids. One particularly offensive product, formed by the oxidative cleavage of both double bonds in this unit, is a compound called *malonaldehyde*.



Malonaldehyde

Rancidity is a major concern of the food industry, which is why food chemists are always seeking new and better antioxidants, substances added in very small amounts (0.001%–0.01%) to prevent oxidation and thus suppress rancidity. Antioxidants are compounds whose affinity for oxygen is greater than that of the lipids in the food; thus they function by preferentially depleting the supply of oxygen absorbed into the product. Because vitamin E has antioxidant properties, it helps reduce damage to lipids in the body, particularly to unsaturated fatty acids found in cell membrane lipids.

Summary

Fats and oils are composed of molecules known as triglycerides, which are esters composed of three fatty acid units linked to glycerol. An increase in the percentage of shorter-chain fatty acids and/or unsaturated fatty acids lowers the melting point of a fat or oil. The hydrolysis of fats and oils in the presence of a base makes soap and is known as saponification. Double bonds present in unsaturated triglycerides can be hydrogenated to convert oils (liquid) into margarine (solid). The oxidation of fatty acids can form compounds with disagreeable odors. This oxidation can be minimized by the addition of antioxidants.

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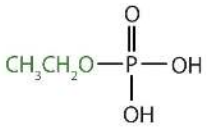
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8.4: Esters of Phosphoric Acid

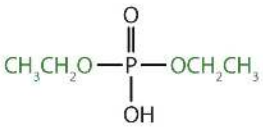
Learning Objectives

- Describe phosphate esters.
- Understand why phosphate esters are important in living cells.

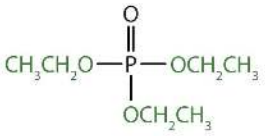
Just as carboxylic acids do, inorganic acids such as nitric acid (HNO_3), sulfuric acid (H_2SO_4), and phosphoric acid (H_3PO_4) also form esters. The esters of phosphoric acid are especially important in biochemistry. A phosphoric acid molecule can form a monoalkyl, a dialkyl, or a trialkyl ester by reaction with one, two, or three molecules of an alcohol.



Ethyl dihydrogen phosphate

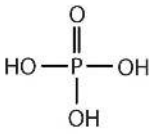


Diethyl hydrogen phosphate

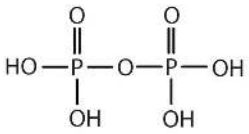


Triethyl phosphate

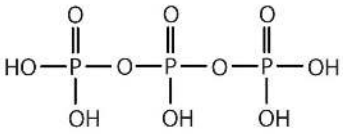
Esters of pyrophosphoric acid and triphosphoric acid are also important in biochemistry.



Phosphoric acid



Pyrophosphoric acid

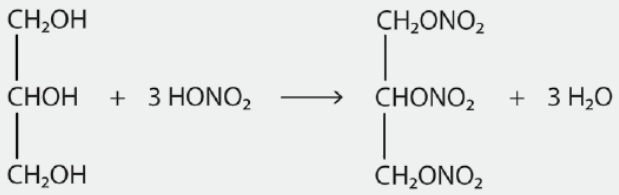


Triphosphoric acid

Esters of these acids are present in every plant and animal cell. They are biochemical intermediates in the transformation of food into usable energy. The bonds between phosphate units in adenosine triphosphate (ATP) are called **phosphoanhydride bonds**. These are high-energy bonds that store energy from the metabolism of foods. Hydrolysis of ATP releases energy as it is needed for biochemical processes (for instance, for muscle contraction). Phosphate esters are also important structural constituents of phospholipids and nucleic acids.

Nitroglycerin

The explosive nitroglycerin (glyceryl trinitrate) is an ester formed from glycerol and nitric acid. It is used in medicine to relieve chest pain in heart disease.



Glycerol

Glycerol trinitrate (nitroglycerin)

8.4.1 Exercise

What compounds combine to form phosphate esters?

Answer

phosphoric acids and alcohols

Summary

Inorganic acids such as H_3PO_4 form esters. The esters of phosphoric acid are especially important in biochemistry.

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8.5: Membranes and Membrane Lipids

Learning Objectives

- Identify the distinguishing characteristics of membrane lipids.
- Describe membrane components and how they are arranged.

All living cells are surrounded by a cell membrane. Plant cells (Figure 8.5.1*A*) and animal cells (Figure 8.5.1*B*) contain a cell nucleus that is also surrounded by a membrane and holds the genetic information for the cell. Everything between the cell membrane and the nuclear membrane—including intracellular fluids and various subcellular components such as the mitochondria and ribosomes—is called the cytoplasm. The membranes of all cells have a fundamentally similar structure, but membrane function varies tremendously from one organism to another and even from one cell to another within a single organism. This diversity arises mainly from the presence of different proteins and lipids in the membrane.

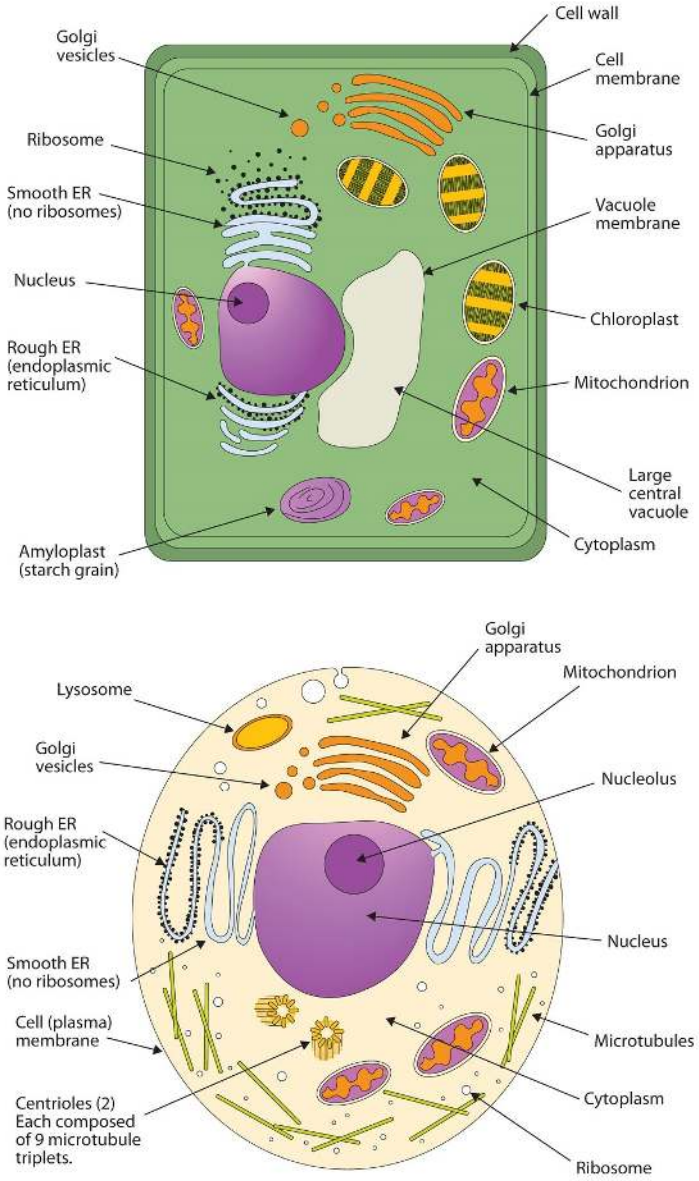


Figure 8.5.1: (A) An Idealized Plant Cell. Not all the structures shown here occur in every type of plant cell. (B) An Idealized Animal Cell. The structures shown here will seldom all be found in a single animal cell.

The lipids in cell membranes are highly polar but have dual characteristics: part of the lipid is ionic and therefore dissolves in water, whereas the rest has a hydrocarbon structure and therefore dissolves in nonpolar substances. Often, the ionic part is referred to as hydrophilic, meaning “water loving,” and the nonpolar part as hydrophobic, meaning “water fearing” (repelled by water). When allowed to float freely in water, polar lipids spontaneously cluster together in any one of three arrangements: micelles, monolayers, and bilayers (Figure **8.5.2**).

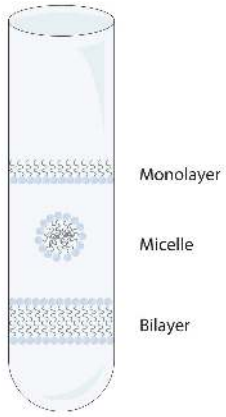


Figure **8.5.2**: Spontaneously Formed Polar Lipid Structures in Water: Monolayer, Micelle, and Bilayer

Micelles are aggregations in which the lipids’ hydrocarbon tails—being hydrophobic—are directed toward the center of the assemblage and away from the surrounding water while the hydrophilic heads are directed outward, in contact with the water. Each micelle may contain thousands of lipid molecules. Polar lipids may also form a monolayer, a layer one molecule thick on the surface of the water. The polar heads face into water, and the nonpolar tails stick up into the air. Bilayers are double layers of lipids arranged so that the hydrophobic tails are sandwiched between an inner surface and an outer surface consisting of hydrophilic heads. The hydrophilic heads are in contact with water on either side of the bilayer, whereas the tails, sequestered inside the bilayer, are prevented from having contact with the water. Bilayers like this make up every cell membrane (Figure **8.5.3**).

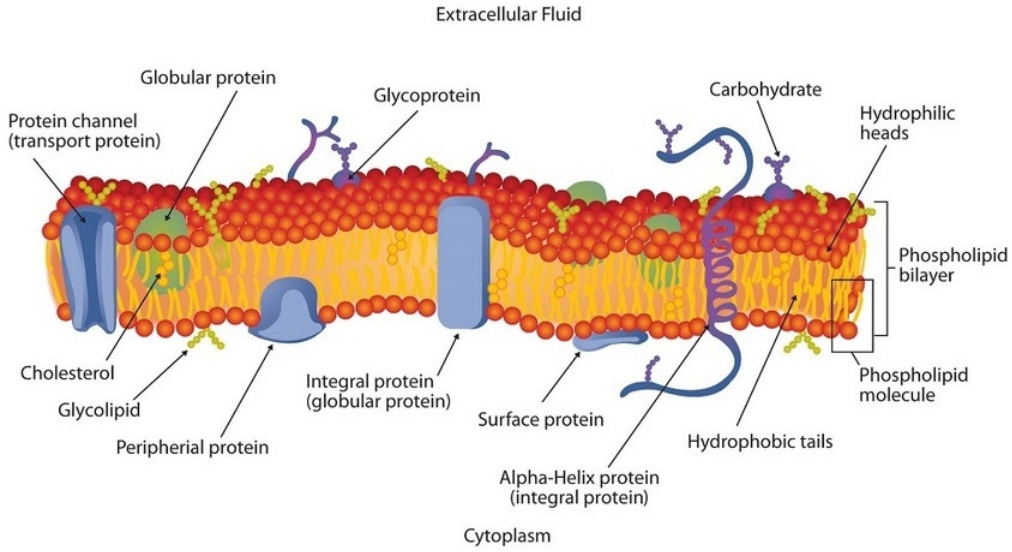


Figure **8.5.3**: Schematic Diagram of a Cell Membrane. The membrane enclosing a typical animal cell is a phospholipid bilayer with embedded cholesterol and protein molecules. Short oligosaccharide chains are attached to the outer surface.

In the bilayer interior, the hydrophobic tails (that is, the fatty acid portions of lipid molecules) interact by means of dispersion forces. The interactions are weakened by the presence of unsaturated fatty acids. As a result, the membrane components are free to mill about to some extent, and the membrane is described as fluid.

The lipids found in cell membranes can be categorized in various ways. Phospholipids are lipids containing phosphorus. Glycolipids are sugar-containing lipids. The latter are found exclusively on the outer surface of the cell membrane, acting as distinguishing surface markers for the cell and thus serving in cellular recognition and cell-to-cell communication. Sphingolipids

are phospholipids or glycolipids that contain the unsaturated amino alcohol sphingosine rather than glycerol. Diagrammatic structures of representative membrane lipids are presented in Figure 8.5.4.

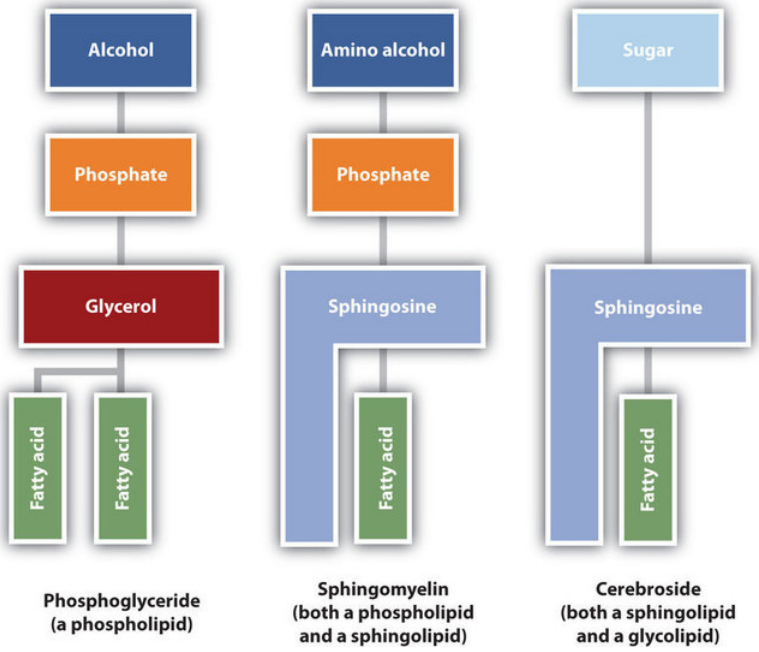


Figure 8.5.4: Component Structures of Some Important Membrane Lipids

Phosphoglyceride are composed of alcohol, phosphate, glycerol and 2 fatty acids. A sphingomyelin contains phosphate, sphingosine, and a fatty acid. A cerbroside contains sugar, sphingosine and a fatty acid.

Phosphoglycerides (also known as glycerophospholipids) are the most abundant phospholipids in cell membranes. They consist of a glycerol unit with fatty acids attached to the first two carbon atoms, while a phosphoric acid unit, esterified with an alcohol molecule (usually an amino alcohol, as in part (a) of Figure 8.5.5) is attached to the third carbon atom of glycerol (part (b) of Figure 8.5.5). Notice that the phosphoglyceride molecule is identical to a triglyceride up to the phosphoric acid unit (part (b) of Figure 8.5.5).

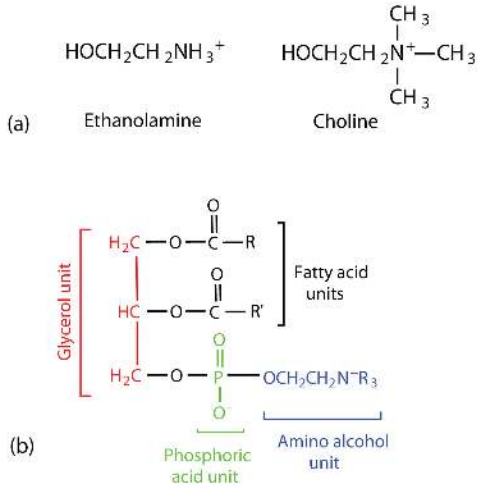
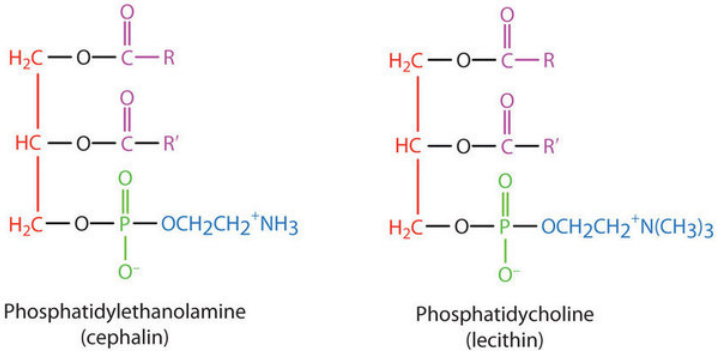


Figure 8.5.5: Phosphoglycerides. (a) Amino alcohols are commonly found in phosphoglycerides, which are evident in its structural formula (b).

Structural formula of ethanolamine and choline are shown. The structural formula of a phosphoglyceride is shown with the glycerol unit, phosphoric unit, and amino alcohol unit highlighted in different colors.

There are two common types of phosphoglycerides. Phosphoglycerides containing ethanolamine as the amino alcohol are called *phosphatidylethanolamines* or *cephalins*. Cephalins are found in brain tissue and nerves and also have a role in blood clotting. Phosphoglycerides containing choline as the amino alcohol unit are called *phosphatidylcholines* or *lecithins*. Lecithins occur in all

living organisms. Like cephalins, they are important constituents of nerve and brain tissue. Egg yolks are especially rich in lecithins. Commercial-grade lecithins isolated from soybeans are widely used in foods as emulsifying agents. An emulsifying agent is used to stabilize an emulsion—a dispersion of two liquids that do not normally mix, such as oil and water. Many foods are emulsions. Milk is an emulsion of butterfat in water. The emulsifying agent in milk is a protein called *casein*. Mayonnaise is an emulsion of salad oil in water, stabilized by lecithins present in egg yolk.



Sphingomyelins, the simplest sphingolipids, each contain a fatty acid, a phosphoric acid, sphingosine, and choline (Figure **8.5.6**). Because they contain phosphoric acid, they are also classified as phospholipids. Sphingomyelins are important constituents of the myelin sheath surrounding the axon of a nerve cell. Multiple sclerosis is one of several diseases resulting from damage to the myelin sheath.

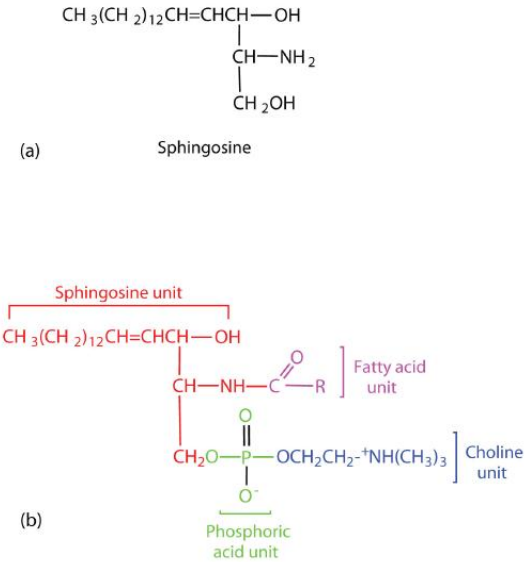


Figure **8.5.6**: Sphingolipids. (a) Sphingosine, an amino alcohol, is found in all sphingolipids. (b) A sphingomyelin is also known as a phospholipid, as evidenced by the phosphoric acid unit in its structure.

Structural formula of sphingosine is shown. The general structure of a sphingolipid is shown with the sphingosine unit, fatty acid unit, phosphoric acid unit, and choline unit highlighted in different colors.

Most animal cells contain sphingolipids called cerebrosides (Figure **8.5.7**). Cerebrosides are composed of sphingosine, a fatty acid, and galactose or glucose. They therefore resemble sphingomyelins but have a sugar unit in place of the choline phosphate group. Cerebrosides are important constituents of the membranes of nerve and brain cells.

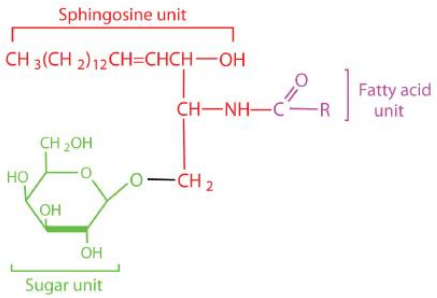


Figure 8.5.7: Cerebrosides. Cerebrosides are sphingolipids that contain a sugar unit.

General structure of a cerebroside with its sugar unit, sphingosine unit, and fatty acid unit highlighted in different colors.

The sphingolipids called gangliosides are more complex, usually containing a branched chain of three to eight monosaccharides and/or substituted sugars. Because of considerable variation in their sugar components, about 130 varieties of gangliosides have been identified. Most cell-to-cell recognition and communication processes (e.g., blood group antigens) depend on differences in the sequences of sugars in these compounds. Gangliosides are most prevalent in the outer membranes of nerve cells, although they also occur in smaller quantities in the outer membranes of most other cells. Because cerebrosides and gangliosides contain sugar groups, they are also classified as glycolipids.

8.5.1 Membrane Proteins

If membranes were composed only of lipids, very few ions or polar molecules could pass through their hydrophobic “sandwich filling” to enter or leave any cell. However, certain charged and polar species do cross the membrane, aided by proteins that move about in the lipid bilayer. The two major classes of proteins in the cell membrane are integral proteins, which span the hydrophobic interior of the bilayer, and peripheral proteins, which are more loosely associated with the surface of the lipid bilayer (Figure 8.5.3). Peripheral proteins may be attached to integral proteins, to the polar head groups of phospholipids, or to both by hydrogen bonding and electrostatic forces.

Small ions and molecules soluble in water enter and leave the cell by way of channels through the integral proteins. Some proteins, called *carrier proteins*, facilitate the passage of certain molecules, such as hormones and neurotransmitters, by specific interactions between the protein and the molecule being transported.

Summary

Lipids are important components of biological membranes. These lipids have dual characteristics: part of the molecule is hydrophilic, and part of the molecule is hydrophobic. Membrane lipids may be classified as phospholipids, glycolipids, and/or sphingolipids. Proteins are another important component of biological membranes. Integral proteins span the lipid bilayer, while peripheral proteins are more loosely associated with the surface of the membrane.

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8.6: Steroids

Learning Objectives

- To identify the functions of steroids produced in mammals.

All the lipids discussed so far are *saponifiable*, reacting with aqueous alkali to yield simpler components, such as glycerol, fatty acids, amino alcohols, and sugars. Lipid samples extracted from cellular material, however, also contain a small but important fraction that does not react with alkali. The most important nonsaponifiable lipids are the steroids. These compounds include the *bile salts*, *cholesterol* and related compounds, and certain *hormones* (such as cortisone and the sex hormones).

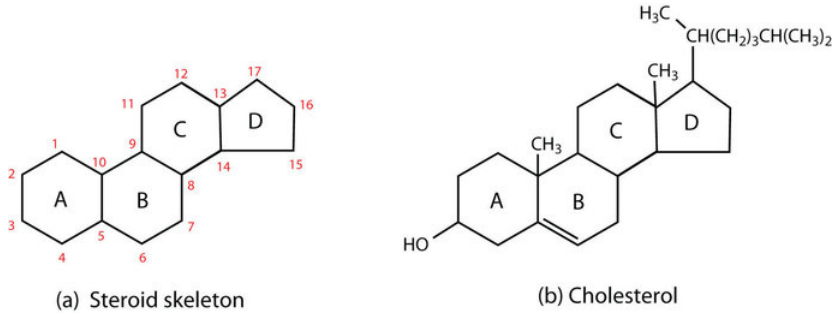


Figure 8.6.1 Steroids. (a) The four-fused-ring steroid skeleton uses letter designations for each ring and the numbering of the carbon atoms. (b) The cholesterol molecule follows this pattern.

Steroids occur in plants, animals, yeasts, and molds but not in bacteria. They may exist in free form or combined with fatty acids or carbohydrates. All steroids have a characteristic structural component consisting of four fused rings. Chemists identify the rings by capital letters and number the carbon atoms as shown in Figure **8.6.1*a***. Slight variations in this structure or in the atoms or groups attached to it produce profound differences in biological activity.

8.6.1 Cholesterol

Cholesterol (Figure **8.6.1*b***) does not occur in plants, but it is the most abundant steroid in the human body (240 g is a typical amount). Excess cholesterol is believed to be a primary factor in the development of atherosclerosis and heart disease, which are major health problems in the United States today. About half of the body's cholesterol is interspersed in the lipid bilayer of cell membranes. Much of the rest is converted to cholic acid, which is used in the formation of bile salts. Cholesterol is also a precursor in the synthesis of sex hormones, adrenal hormones, and vitamin D.

Excess cholesterol not metabolized by the body is released from the liver and transported by the blood to the gallbladder. Normally, it stays in solution there until being secreted into the intestine (as a component of bile) to be eliminated. Sometimes, however, cholesterol in the gallbladder precipitates in the form of gallstones (Figure **8.6.2**). Indeed, the name *cholesterol* is derived from the Greek *chole*, meaning "bile," and *stereos*, meaning "solid."



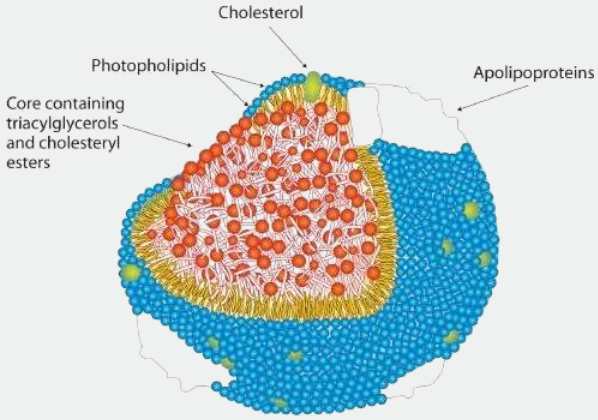
Figure **8.6.2**: Numerous small gallstones made up largely of cholesterol, all removed in one patient. Grid scale 1 mm

To Your Health: Cholesterol and Heart Disease

Heart disease is the leading cause of death in the United States for both men and women. The Centers for Disease Control and Prevention reported that heart disease claimed 631,636 lives in the United States (26% of all reported deaths) in 2006.

Scientists agree that elevated cholesterol levels in the blood, as well as high blood pressure, obesity, diabetes, and cigarette smoking, are associated with an increased risk of heart disease. A long-term investigation by the National Institutes of Health showed that among men ages 30 to 49, the incidence of heart disease was five times greater for those whose cholesterol levels were above 260 mg/100 mL of serum than for those with cholesterol levels of 200 mg/100 mL or less. The cholesterol content of blood varies considerably with age, diet, and sex. Young adults average about 170 mg of cholesterol per 100 mL of blood, whereas males at age 55 may have cholesterol levels at 250 mg/100 mL or higher because the rate of cholesterol breakdown decreases with age. Females tend to have lower blood cholesterol levels than males.

To understand the link between heart disease and cholesterol levels, it is important to understand how cholesterol and other lipids are transported in the body. Lipids, such as cholesterol, are not soluble in water and therefore cannot be transported in the blood (an aqueous medium) unless they are complexed with proteins that are soluble in water, forming assemblages called *lipoproteins*. Lipoproteins are classified according to their density, which is dependent on the relative amounts of protein and lipid they contain. Lipids are less dense than proteins, so lipoproteins containing a greater proportion of lipid are less dense than those containing a greater proportion of protein.



Research on cholesterol and its role in heart disease has focused on serum levels of low-density lipoproteins (LDLs) and high-density lipoproteins (HDLs). One of the most fascinating discoveries is that high levels of HDLs reduce a person's risk of developing heart disease, whereas high levels of LDLs increase that risk. Thus the serum LDL:HDL ratio is a better predictor of heart disease risk than the overall level of serum cholesterol. Persons who, because of hereditary or dietary factors, have high LDL:HDL ratios in their blood have a higher incidence of heart disease.

How do HDLs reduce the risk of developing heart disease? No one knows for sure, but one role of HDLs appears to be the transport of excess cholesterol to the liver, where it can be metabolized. Therefore, HDLs aid in removing cholesterol from blood and from the smooth muscle cells of the arterial wall.

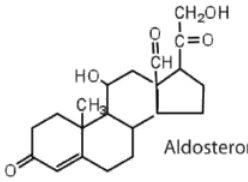
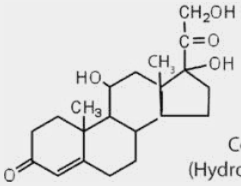
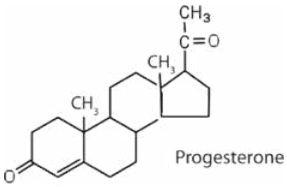
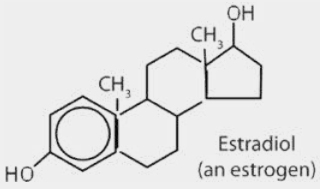
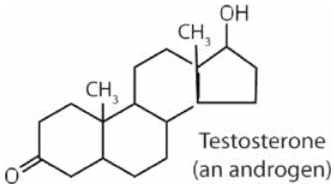
Dietary modifications and increased physical activity can help lower total cholesterol and improve the LDL:HDL ratio. The average American consumes about 600 mg of cholesterol from animal products each day and also synthesizes approximately 1 g of cholesterol each day, mostly in the liver. The amount of cholesterol synthesized is controlled by the cholesterol level in the blood; when the blood cholesterol level exceeds 150 mg/100 mL, the rate of cholesterol biosynthesis is halved. Hence, if cholesterol is present in the diet, a feedback mechanism suppresses its synthesis in the liver. However, the ratio of suppression is not a 1:1 ratio; the reduction in biosynthesis does not equal the amount of cholesterol ingested. Thus, dietary substitutions of unsaturated fat for saturated fat, as well as a reduction in consumption of *trans* fatty acids, is recommended to help lower serum cholesterol and the risk of heart disease.

8.6.2 Steroid Hormones

Hormones are chemical messengers that are released in one tissue and transported through the circulatory system to one or more other tissues. One group of hormones is known as steroid hormones because these hormones are synthesized from cholesterol, which is also a steroid. There are two main groups of steroid hormones: adrenocortical hormones and sex hormones.

The adrenocortical hormones, such as aldosterone and cortisol (Table **8.6.1**), are produced by the adrenal gland, which is located adjacent to each kidney. Aldosterone acts on most cells in the body, but it is particularly effective at enhancing the rate of reabsorption of sodium ions in the kidney tubules and increasing the secretion of potassium ions and/or hydrogen ions by the tubules. Because the concentration of sodium ions is the major factor influencing water retention in tissues, aldosterone promotes water retention and reduces urine output. Cortisol regulates several key metabolic reactions (for example, increasing glucose production and mobilizing fatty acids and amino acids). It also inhibits the inflammatory response of tissue to injury or stress. Cortisol and its analogs are therefore used pharmacologically as immunosuppressants after transplant operations and in the treatment of severe skin allergies and autoimmune diseases, such as rheumatoid arthritis.

Table **8.6.1**: Representative Steroid Hormones and Their Physiological Effects

Hormone	Effect
 Aldosterone	regulates salt metabolism; stimulates kidneys to retain sodium and excrete potassium
 Cortisol (Hydrocortisone)	stimulates the conversion of proteins to carbohydrates
 Progesterone	regulates the menstrual cycle; maintains pregnancy
 Estradiol (an estrogen)	stimulates female sex characteristics; regulates changes during the menstrual cycle
 Testosterone (an androgen)	stimulates and maintains male sex characteristics

The sex hormones are a class of steroid hormones secreted by the gonads (ovaries or testes), the placenta, and the adrenal glands. Testosterone and androstenedione are the primary male sex hormones, or *androgens*, controlling the primary sexual characteristics of males, or the development of the male genital organs and the continuous production of sperm. Androgens are also responsible for the development of secondary male characteristics, such as facial hair, deep voice, and muscle strength. Two kinds of sex

hormones are of particular importance in females: progesterone, which prepares the uterus for pregnancy and prevents the further release of eggs from the ovaries during pregnancy, and the estrogens, which are mainly responsible for the development of female secondary sexual characteristics, such as breast development and increased deposition of fat tissue in the breasts, the buttocks, and the thighs. Both males and females produce androgens and estrogens, differing in the amounts of secreted hormones rather than in the presence or absence of one or the other.

Sex hormones, both natural and synthetic, are sometimes used therapeutically. For example, a woman who has had her ovaries removed may be given female hormones to compensate. Some of the earliest chemical compounds employed in cancer chemotherapy were sex hormones. For example, estrogens are one treatment option for prostate cancer because they block the release and activity of testosterone. Testosterone enhances prostate cancer growth. Sex hormones are also administered in preparation for sex-change operations, to promote the development of the proper secondary sexual characteristics. Oral contraceptives are synthetic derivatives of the female sex hormones; they work by preventing ovulation.

8.6.3 Bile Salts

Bile is a yellowish green liquid (pH 7.8–8.6) produced in the liver. The most important constituents of bile are bile salts, which are sodium salts of amidelike combinations of bile acids, such as cholic acid (part (a) of Figure **8.6.3**) and an amine such as the amino acid glycine (part (b) of Figure **8.6.3**). They are synthesized from cholesterol in the liver, stored in the gallbladder, and then secreted in bile into the small intestine. In the gallbladder, the composition of bile gradually changes as water is absorbed and the other components become more concentrated.

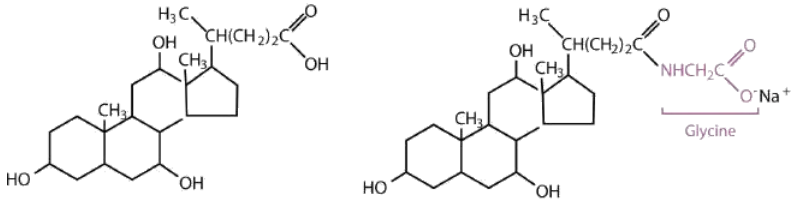


Figure **8.6.3** Bile Acids. (a) Cholic acid is an example of a bile acid. (b) Sodium glycocholate is a bile salt synthesized from cholic acid and glycine.

Because they contain both hydrophobic and hydrophilic groups, bile salts are highly effective detergents and emulsifying agents; they break down large fat globules into smaller ones and keep those smaller globules suspended in the aqueous digestive environment. Enzymes can then hydrolyze fat molecules more efficiently. Thus, the major function of bile salts is to aid in the digestion of dietary lipids.

> Surgical removal is often advised for a gallbladder that becomes infected, inflamed, or perforated. This surgery does not seriously affect digestion because bile is still produced by the liver, but the liver's bile is more dilute and its secretion into the small intestine is not as closely tied to the arrival of food.

Summary

Steroids have a four-fused-ring structure and have a variety of functions. Cholesterol is a steroid found in mammals that is needed for the formation of cell membranes, bile acids, and several hormones. Bile salts are secreted into the small intestine to aid in the digestion of fats.

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CHAPTER OVERVIEW

9: Amino Acids and Proteins

9.1: Prelude to Proteins
9.2: Properties of Amino Acids
9.3: Reactions of Amino Acids
9.4: Peptides
9.5: Proteins

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9.1: Prelude to Proteins

The 1923 Nobel Prize in Medicine or Physiology was awarded to Frederick Grant Banting and John James Richard Macleod for their discovery of the protein *insulin*. In 1958, the Nobel Prize in Chemistry was awarded to Frederick Sanger for his discoveries concerning the structure of proteins and, in particular, the structure of insulin. What is so important about insulin that two Nobel Prizes have been awarded for work on this protein?

Insulin is a hormone that is synthesized in the pancreas. Insulin stimulates the transport of glucose into cells throughout the body and the storage of glucose as glycogen. People with diabetes do not produce insulin or use it properly. The isolation of insulin in 1921 led to the first effective treatment for these individuals.



Figure **9.1.1**: Insulin pump, showing an infusion set loaded into spring-loaded insertion device. A reservoir is attached to the infusion set (shown here removed from the pump). (Public Domain; User:David-i98).

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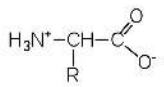
9.2: Properties of Amino Acids

Learning Objectives

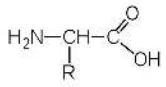
- To recognize amino acids and classify them based on the characteristics of their side chains.

The proteins in all living species, from bacteria to humans, are constructed from the same set of 20 amino acids, so called because each contains an amino group attached to a carboxylic acid. The amino acids in proteins are α-amino acids, which means the amino group is attached to the α-carbon of the carboxylic acid. Humans can synthesize only about half of the needed amino acids; the remainder must be obtained from the diet and are known as essential amino acids. However, two additional amino acids have been found in limited quantities in proteins: Selenocysteine was discovered in 1986, while pyrrolysine was discovered in 2002.

The amino acids are colorless, nonvolatile, crystalline solids, melting and decomposing at temperatures above 200°C. These melting temperatures are more like those of inorganic salts than those of amines or organic acids and indicate that the structures of the amino acids in the solid state and in neutral solution are best represented as having both a negatively charged group and a positively charged group. Such a species is known as a zwitterion.



α-Amino acid drawn as a zwitterion

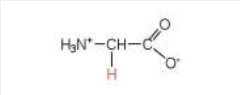
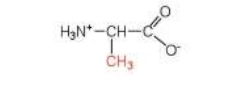
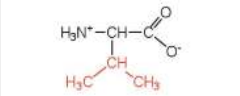
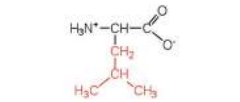
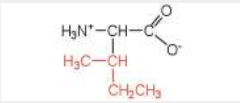
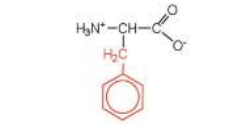
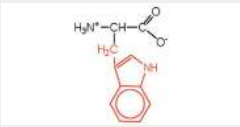
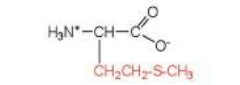
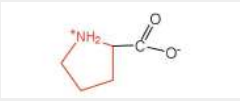
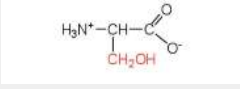
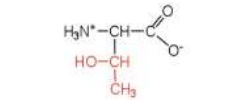
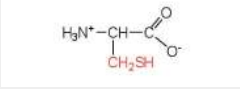


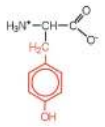
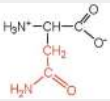
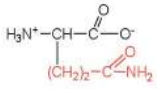
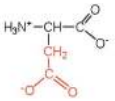
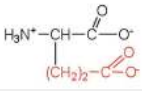
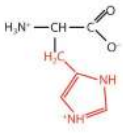
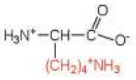
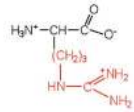
α-Amino acid drawn as an uncharged molecule; not an accurate respresentation of amino acid structure

9.2.1 Classification

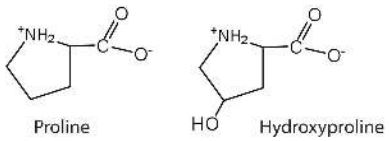
In addition to the amino and carboxyl groups, amino acids have a side chain or R group attached to the α-carbon. Each amino acid has unique characteristics arising from the size, shape, solubility, and ionization properties of its R group. As a result, the side chains of amino acids exert a profound effect on the structure and biological activity of proteins. Although amino acids can be classified in various ways, one common approach is to classify them according to whether the functional group on the side chain at neutral pH is nonpolar, polar but uncharged, negatively charged, or positively charged. The structures and names of the 20 amino acids, their one- and three-letter abbreviations, and some of their distinctive features are given in Table **9.2.1**.

Table **9.2.1**: Common Amino Acids Found in Proteins

Common Name	Abbreviation	Structural Formula (at pH 6)	Molar Mass	Distinctive Feature
Amino acids with a nonpolar R group				
glycine	gly (G)		75	the only amino acid lacking a chiral carbon
alanine	ala (A)		89	—
valine	val (V)		117	a branched-chain amino acid
leucine	leu (L)		131	a branched-chain amino acid
isoleucine	ile (I)		131	an essential amino acid because most animals cannot synthesize branched-chain amino acids
phenylalanine	phe (F)		165	also classified as an aromatic amino acid
tryptophan	trp (W)		204	also classified as an aromatic amino acid
methionine	met (M)		149	side chain functions as a methyl group donor
proline	pro (P)		115	contains a secondary amine group; referred to as an *α-imino acid*
Amino acids with a polar but neutral R group				
serine	ser (S)		105	found at the active site of many enzymes
threonine	thr (T)		119	named for its similarity to the sugar threose
cysteine	cys (C)		121	oxidation of two cysteine molecules yields *cystine*

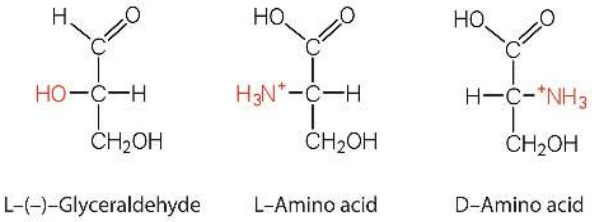
Common Name	Abbreviation	Structural Formula (at pH 6)	Molar Mass	Distinctive Feature
tyrosine	tyr (Y)		181	also classified as an aromatic amino acid
asparagine	asn (N)		132	the amide of aspartic acid
glutamine	gln (Q)		146	the amide of glutamic acid
Amino acids with a negatively charged R group				
aspartic acid	asp (D)		132	carboxyl groups are ionized at physiological pH; also known as aspartate
glutamic acid	glu (E)		146	carboxyl groups are ionized at physiological pH; also known as glutamate
Amino acids with a positively charged R group				
histidine	his (H)		155	the only amino acid whose R group has a pK_a (6.0) near physiological pH
lysine	lys (K)		147	—
arginine	arg (R)		175	almost as strong a base as sodium hydroxide

The first amino acid to be isolated was asparagine in 1806. It was obtained from protein found in asparagus juice (hence the name). Glycine, the major amino acid found in gelatin, was named for its sweet taste (Greek *glykys*, meaning “sweet”). In some cases an amino acid found in a protein is actually a derivative of one of the common 20 amino acids (one such derivative is hydroxyproline). The modification occurs *after* the amino acid has been assembled into a protein.



9.2.2 Configuration

Notice in Table **9.2.1** that glycine is the only amino acid whose α-carbon is *not* chiral. Therefore, with the exception of glycine, the amino acids could theoretically exist in either the D- or the L-enantiomeric form and rotate plane-polarized light. As with sugars, chemists used L-glyceraldehyde as the reference compound for the assignment of absolute configuration to amino acids. Its structure closely resembles an amino acid structure except that in the latter, an amino group takes the place of the OH group on the chiral carbon of the L-glyceraldehyde and a carboxylic acid replaces the aldehyde. Modern stereochemistry assignments using the Cahn-Ingold-Prelog priority rules used ubiquitously in chemistry show that all of the naturally occurring chiral amino acids are S except Cys which is R.



We learned that all naturally occurring sugars belong to the D series. It is interesting, therefore, that nearly all known plant and animal proteins are composed entirely of L-amino acids. However, certain bacteria contain D-amino acids in their cell walls, and several antibiotics (e.g., actinomycin D and the gramicidins) contain varying amounts of D-leucine, D-phenylalanine, and D-valine.

Summary

Amino acids can be classified based on the characteristics of their distinctive side chains as nonpolar, polar but uncharged, negatively charged, or positively charged. The amino acids found in proteins are L-amino acids.

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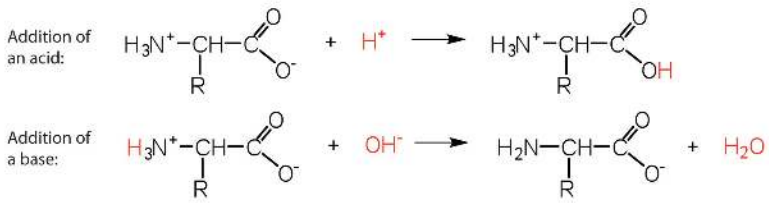
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9.3: Reactions of Amino Acids

Learning Objectives

- To explain how an amino acid can act as both an acid and a base.

The structure of an amino acid allows it to act as both an acid and a base. An amino acid has this ability because at a certain pH value (different for each amino acid) nearly all the amino acid molecules exist as zwitterions. If acid is added to a solution containing the zwitterion, the carboxylate group captures a hydrogen (H^+) ion, and the amino acid becomes positively charged. If base is added, ion removal of the H^+ ion from the amino group of the zwitterion produces a negatively charged amino acid. In both circumstances, the amino acid acts to maintain the pH of the system—that is, to remove the added acid (H^+) or base (OH^-) from solution.

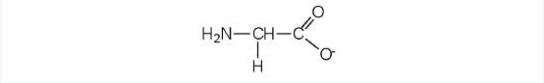


Example 9.3.1

a. Draw the structure for the anion formed when glycine (at neutral pH) reacts with a base.
b. Draw the structure for the cation formed when glycine (at neutral pH) reacts with an acid.

Solution

a. The base removes H^+ from the protonated amine group.



- The acid adds H^+ to the carboxylate group.



Exercise 9.3.1

a. Draw the structure for the cation formed when valine (at neutral pH) reacts with an acid.
b. Draw the structure for the anion formed when valine (at neutral pH) reacts with a base.

The particular pH at which a given amino acid exists in solution as a zwitterion is called the isoelectric point (pI). At its pI, the positive and negative charges on the amino acid balance, and the molecule as a whole is electrically neutral. The amino acids whose side chains are always neutral have isoelectric points ranging from 5.0 to 6.5. The basic amino acids (which have positively charged side chains at neutral pH) have relatively high examples. Acidic amino acids (which have negatively charged side chains at neutral pH) have quite low examples (Table 9.3.1).

Table 9.3.1: ExampIes of Some Representative Amino Acids

Amino Acid	Classification	pI
alanine	nonpolar	6.0
valine	nonpolar	6.0
serine	polar, uncharged	5.7
threonine	polar, uncharged	6.5
arginine	positively charged (basic)	10.8
histidine	positively charged (basic)	7.6
lysine	positively charged (basic)	9.8
aspartic acid	negatively charged (acidic)	3.0
glutamic acid	negatively charged (acidic)	3.2

Amino acids undergo reactions characteristic of carboxylic acids and amines. The reactivity of these functional groups is particularly important in linking amino acids together to form peptides and proteins, as you will see later in this chapter. Simple chemical tests that are used to detect amino acids take advantage of the reactivity of these functional groups. An example is the ninhydrin test in which the amine functional group of α-amino acids reacts with ninhydrin to form purple-colored compounds. Ninhydrin is used to detect fingerprints because it reacts with amino acids from the proteins in skin cells transferred to the surface by the individual leaving the fingerprint.



Ninhydrin



Summary

Amino acids can act as both an acid and a base due to the presence of the amino and carboxyl functional groups. The pH at which a given amino acid exists in solution as a zwitterion is called the *isoelectric point* (pI).

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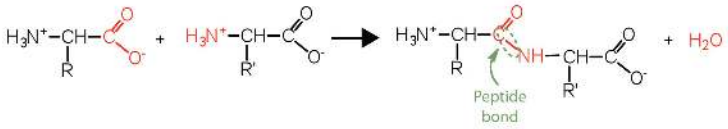
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9.4: Peptides

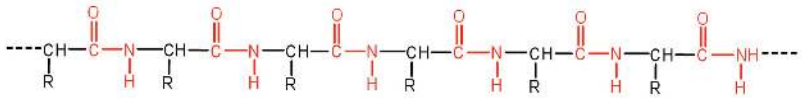
Learning Objectives

- Explain how a peptide is formed from individual amino acids.
- Explain why the sequence of amino acids in a protein is important.

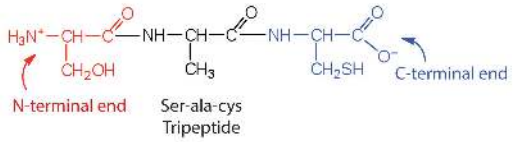
Two or more amino acids can join together into chains called peptides. Previously, we discussed the reaction between ammonia and a carboxylic acid to form an amide. In a similar reaction, the amino group on one amino acid molecule reacts with the carboxyl group on another, releasing a molecule of water and forming an amide linkage:



An amide bond joining two amino acid units is called a peptide bond. Note that the product molecule still has a reactive amino group on the left and a reactive carboxyl group on the right. These can react with additional amino acids to lengthen the peptide. The process can continue until thousands of units have joined, resulting in large proteins.



A chain consisting of only two amino acid units is called a *dipeptide*; a chain consisting of three is a *tripeptide*. By convention, peptide and protein structures are depicted with the amino acid whose amino group is free (the N-terminal end) on the left and the amino acid with a free carboxyl group (the C-terminal end) to the right.



The general term *peptide* refers to an amino acid chain of unspecified length. However, chains of about 50 amino acids or more are usually called proteins or polypeptides. In its physiologically active form, a protein may be composed of one or more polypeptide chains.

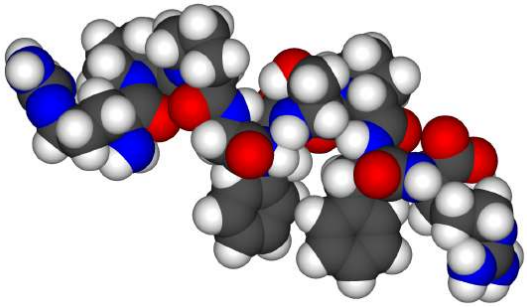


Figure **9.4.1**: Space-filling model of bradykinin. (Public Domain; Fvasconcellos)

For peptides and proteins to be physiologically active, it is not enough that they incorporate certain amounts of specific amino acids. The order, or *sequence*, in which the amino acids are connected is also of critical importance. Bradykinin is a nine-amino acid peptide (Figure **9.4.1**) produced in the blood that has the following amino acid sequence:

arg-pro-pro-gly-phe-ser-pro-phe-arg

This peptide lowers blood pressure, stimulates smooth muscle tissue, increases capillary permeability, and causes pain. When the order of amino acids in bradykinin is reversed,

arg-phe-pro-ser-phe-gly-pro-pro-arg

the peptide resulting from this synthesis shows none of the activity of bradykinin.

Just as millions of different words are spelled with our 26-letter English alphabet, millions of different proteins are made with the 20 common amino acids. However, just as the English alphabet can be used to write gibberish, amino acids can be put together in the *wrong sequence* to produce nonfunctional proteins. Although the correct sequence is ordinarily of utmost importance, it is not always absolutely required. Just as you can sometimes make sense of incorrectly spelled English words, a protein with a small percentage of “incorrect” amino acids may continue to function. However, it rarely functions as well as a protein having the correct sequence. There are also instances in which seemingly minor errors of sequence have disastrous effects. For example, in some people, every molecule of hemoglobin (a protein in the blood that transports oxygen) has a single incorrect amino acid unit out of about 300 (a single valine replaces a glutamic acid). That “minor” error is responsible for sickle cell anemia, an inherited condition that usually is fatal.

Summary

The amino group of one amino acid can react with the carboxyl group on another amino acid to form a peptide bond that links the two amino acids together. Additional amino acids can be added on through the formation of addition peptide (amide) bonds. A sequence of amino acids in a peptide or protein is written with the N-terminal amino acid first and the C-terminal amino acid at the end (writing left to right).

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9.5: Proteins

Learning Objectives

- Describe the four levels of protein structure.
- Identify the types of attractive interactions that hold proteins in their most stable three-dimensional structure.
- Explain what happens when proteins are denatured.
- Identify how a protein can be denatured.

Each of the thousands of naturally occurring proteins has its own characteristic amino acid composition and sequence that result in a unique three-dimensional shape. Since the 1950s, scientists have determined the amino acid sequences and three-dimensional conformation of numerous proteins and thus obtained important clues on how each protein performs its specific function in the body.

Proteins are compounds of high molar mass consisting largely or entirely of chains of amino acids. Because of their great complexity, protein molecules cannot be classified on the basis of specific structural similarities, as carbohydrates and lipids are categorized. The two major structural classifications of proteins are based on far more general qualities: whether the protein is (1) fiberlike and insoluble or (2) globular and soluble. Some proteins, such as those that compose hair, skin, muscles, and connective tissue, are fiberlike. These fibrous proteins are insoluble in water and usually serve structural, connective, and protective functions. Examples of fibrous proteins are keratins, collagens, myosins, and elastins. Hair and the outer layer of skin are composed of keratin. Connective tissues contain collagen. Myosins are muscle proteins and are capable of contraction and extension. Elastins are found in ligaments and the elastic tissue of artery walls.

Globular proteins, the other major class, are soluble in aqueous media. In these proteins, the chains are folded so that the molecule as a whole is roughly spherical. Familiar examples include egg albumin from egg whites and serum albumin in blood. Serum albumin plays a major role in transporting fatty acids and maintaining a proper balance of osmotic pressures in the body. Hemoglobin and myoglobin, which are important for binding oxygen, are also globular proteins.

9.5.1 Levels of Protein Structure

The structure of proteins is generally described as having four organizational levels. The first of these is the primary structure, which is the number and sequence of amino acids in a protein’s polypeptide chain or chains, beginning with the free amino group and maintained by the peptide bonds connecting each amino acid to the next. The primary structure of insulin, composed of 51 amino acids, is shown in Figure 9.5.1.

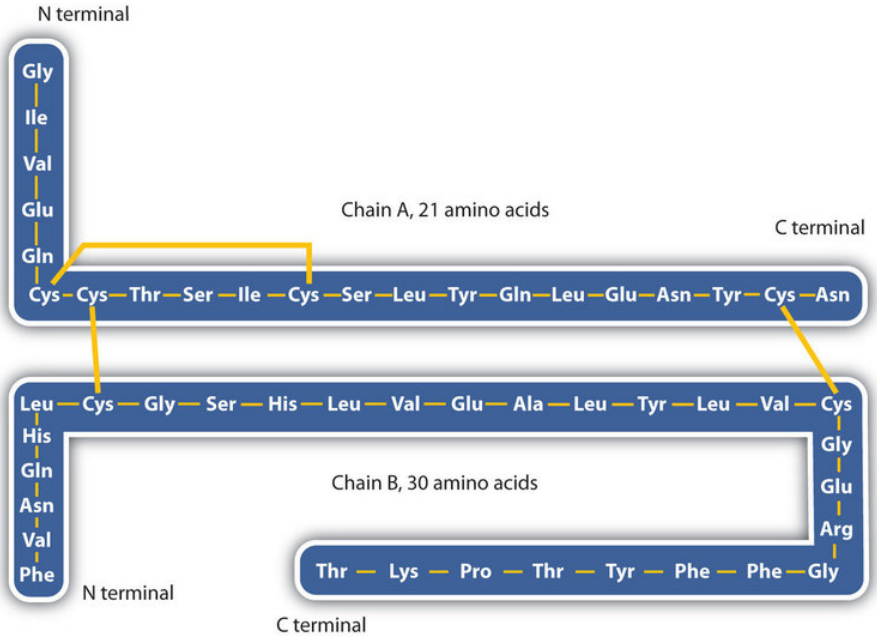


Figure 9.5.1: Primary Structure of Human Insulin. Human insulin, whose amino acid sequence is shown here, is a hormone that is required for the proper metabolism of glucose.

A protein molecule is not a random tangle of polypeptide chains. Instead, the chains are arranged in unique but specific conformations. The term secondary structure refers to the fixed arrangement of the polypeptide backbone. On the basis of X ray studies, Linus Pauling and Robert Corey postulated that certain proteins or portions of proteins twist into a spiral or a helix. This helix is stabilized by *intrachain* hydrogen bonding between the carbonyl oxygen atom of one amino acid and the amide hydrogen atom four amino acids up the chain (located on the next turn of the helix) and is known as a right-handed α-helix. X ray data indicate that this helix makes one turn for every 3.6 amino acids, and the side chains of these amino acids project outward from the coiled backbone (Figure 9.5.2). The α-keratins, found in hair and wool, are exclusively α-helical in conformation. Some proteins, such as gamma globulin, chymotrypsin, and cytochrome c, have little or no helical structure. Others, such as hemoglobin and myoglobin, are helical in certain regions but not in others.

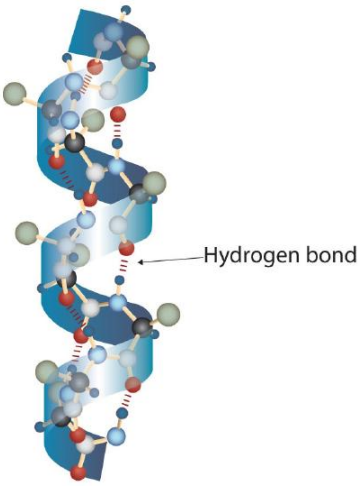


Figure 9.5.2 A Ball-and-Stick Model of an α-Helix. This ball-and-stick model shows the intrachain hydrogen bonding between carbonyl oxygen atoms and amide hydrogen atoms. Each turn of the helix spans 3.6 amino acids. Note that the side chains (represented as green spheres) point out from the helix.

Another common type of secondary structure, called the *β-pleated sheet conformation*, is a sheetlike arrangement in which two or more extended polypeptide chains (or separate regions on the same chain) are aligned side by side. The aligned segments can run either parallel or antiparallel—that is, the N-terminals can face in the same direction on adjacent chains or in different directions—

and are connected by *interchain* hydrogen bonding (Figure 9.5.3). The β-pleated sheet is particularly important in structural proteins, such as silk fibroin. It is also seen in portions of many enzymes, such as carboxypeptidase A and lysozyme.

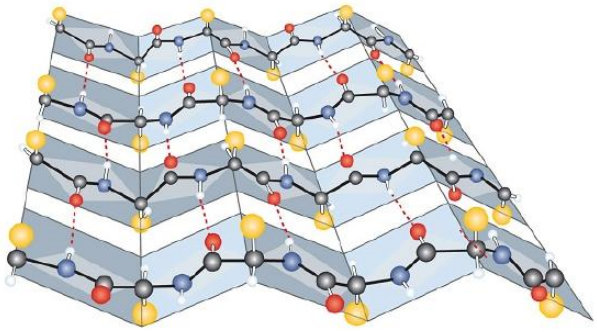


Figure 9.5.3: A Ball-and-Stick Model of the β-Pleated Sheet Structure in Proteins. The side chains extend above or below the sheet and alternate along the chain. The protein chains are held together by interchain hydrogen bonding.

Tertiary structure refers to the unique three-dimensional shape of the protein as a whole, which results from the folding and bending of the protein backbone. The tertiary structure is intimately tied to the proper biochemical functioning of the protein. Figure 9.5.4 shows a depiction of the three-dimensional structure of insulin.

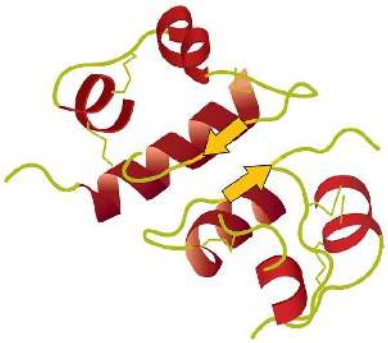
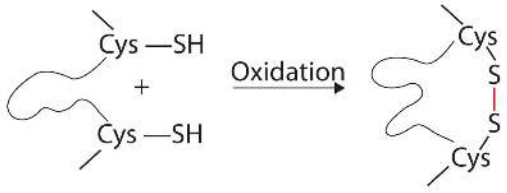


Figure 9.5.4: A Ribbon Model of the Three-Dimensional Structure of Insulin. The spiral regions represent sections of the polypeptide chain that have an α-helical structure, while the broad arrows represent β-pleated sheet structures.

Four major types of attractive interactions determine the shape and stability of the tertiary structure of proteins. You studied several of them previously.

1. Ionic bonding. Ionic bonds result from electrostatic attractions between positively and negatively charged side chains of amino acids. For example, the mutual attraction between an aspartic acid carboxylate ion and a lysine ammonium ion helps to maintain a particular folded area of a protein (part (a) of Figure 9.5.5).
2. Hydrogen bonding. Hydrogen bonding forms between a highly electronegative oxygen atom or a nitrogen atom and a hydrogen atom attached to another oxygen atom or a nitrogen atom, such as those found in polar amino acid side chains. Hydrogen bonding (as well as ionic attractions) is extremely important in both the intra- and intermolecular interactions of proteins (part (b) of Figure 9.5.5).
3. Disulfide linkages. Two cysteine amino acid units may be brought close together as the protein molecule folds. Subsequent oxidation and linkage of the sulfur atoms in the highly reactive sulfhydryl (SH) groups leads to the formation of cystine (part (c) of Figure 9.5.5). Intrachain disulfide linkages are found in many proteins, including insulin (yellow bars in Figure 9.5.1) and have a strong stabilizing effect on the tertiary structure.



4. Dispersion forces. Dispersion forces arise when a normally nonpolar atom becomes momentarily polar due to an uneven distribution of electrons, leading to an instantaneous dipole that induces a shift of electrons in a neighboring nonpolar atom. Dispersion forces are weak but can be important when other types of interactions are either missing or minimal (part (d) of Figure 9.5.5). This is the case with fibroin, the major protein in silk, in which a high proportion of amino acids in the protein have nonpolar side chains. The term *hydrophobic interaction* is often misused as a synonym for dispersion forces. Hydrophobic interactions arise because water molecules engage in hydrogen bonding with other water molecules (or groups in proteins capable of hydrogen bonding). Because nonpolar groups cannot engage in hydrogen bonding, the protein folds in such a way that these groups are buried in the interior part of the protein structure, minimizing their contact with water.

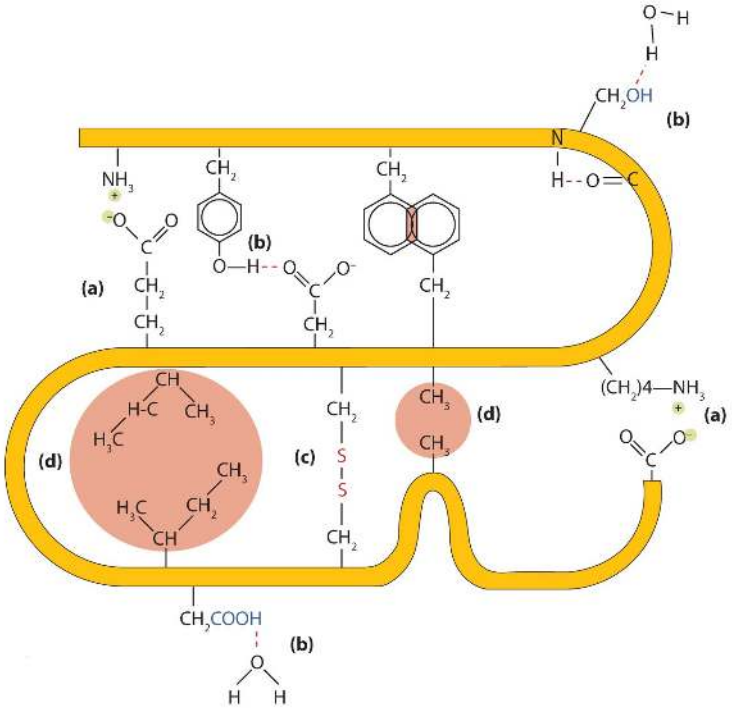


Figure 9.5.5: Tertiary Protein Structure Interactions. Four interactions stabilize the tertiary structure of a protein: (a) ionic bonding, (b) hydrogen bonding, (c) disulfide linkages, and (d) dispersion forces.

When a protein contains more than one polypeptide chain, each chain is called a *subunit*. The arrangement of multiple subunits represents a fourth level of structure, the quaternary structure of a protein. Hemoglobin, with four polypeptide chains or subunits, is the most frequently cited example of a protein having quaternary structure (Figure 9.5.6). The quaternary structure of a protein is produced and stabilized by the same kinds of interactions that produce and maintain the tertiary structure. A schematic representation of the four levels of protein structure is in Figure 9.5.7.

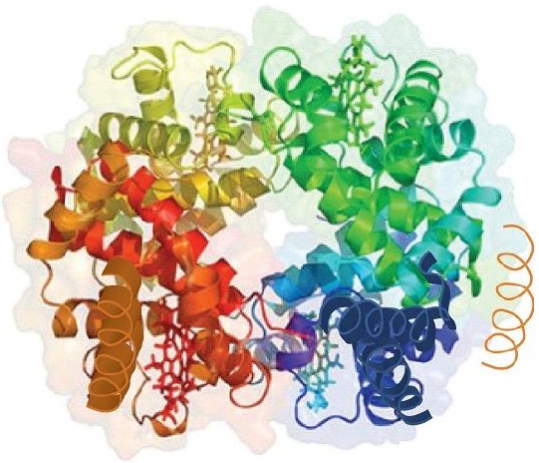


Figure **9.5.6** The Quaternary Structure of Hemoglobin. Hemoglobin is a protein that transports oxygen throughout the body.

Source: Image from the RCSB PDB (www.pdb.org(opens in new window)) of PDB ID 1I3D (R.D. Kidd, H.M. Baker, A.J. Mathews, T. Brittain, E.N. Baker (2001) Oligomerization and ligand binding in a homotetrameric hemoglobin: two high-resolution crystal structures of hemoglobin Bart's (gamma(4)), a marker for alpha-thalassemia. Protein Sci. 1739–1749).

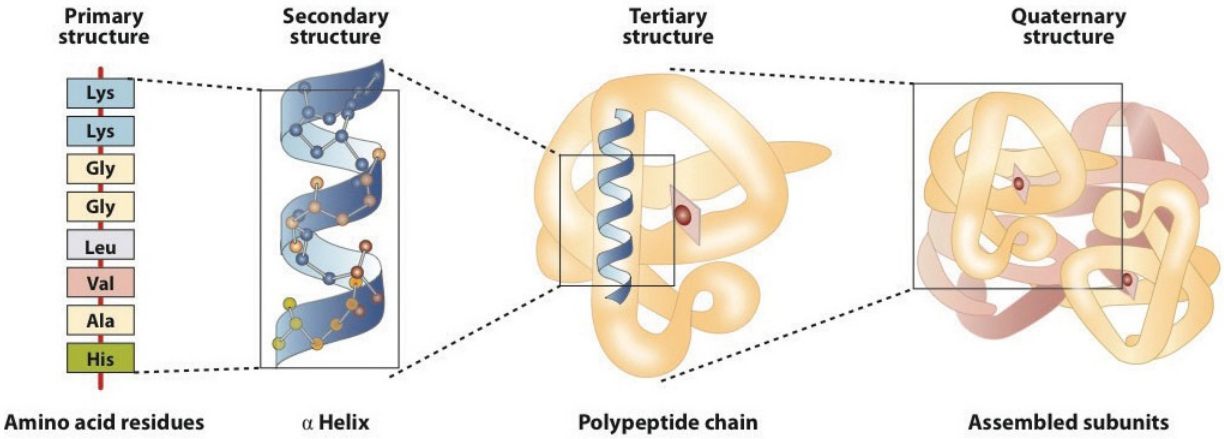


Figure **9.5.7**: Levels of Structure in Proteins

The *primary structure* consists of the specific amino acid sequence. The resulting peptide chain can twist into an α-helix, which is one type of *secondary structure*. This helical segment is incorporated into the *tertiary structure* of the folded polypeptide chain. The single polypeptide chain is a subunit that constitutes the *quaternary structure* of a protein, such as hemoglobin that has four polypeptide chains.

9.5.2 Denaturation of Proteins

The highly organized structures of proteins are truly masterworks of chemical architecture. But highly organized structures tend to have a certain delicacy, and this is true of proteins. Denaturation is the term used for any change in the three-dimensional structure of a protein that renders it incapable of performing its assigned function. A denatured protein cannot do its job. (Sometimes denaturation is equated with the precipitation or coagulation of a protein; our definition is a bit broader.) A wide variety of reagents and conditions, such as heat, organic compounds, pH changes, and heavy metal ions can cause protein denaturation (Figure **9.5.1**).

Figure **9.5.1**: Protein Denaturation Methods

Method	Effect on Protein Structure
Heat above 50°C or ultraviolet (UV) radiation	Heat or UV radiation supplies kinetic energy to protein molecules, causing their atoms to vibrate more rapidly and disrupting relatively weak hydrogen bonding and dispersion forces.
Use of organic compounds, such as ethyl alcohol	These compounds are capable of engaging in intermolecular hydrogen bonding with protein molecules, disrupting intramolecular hydrogen bonding within the protein.
Salts of heavy metal ions, such as mercury, silver, and lead	These ions form strong bonds with the carboxylate anions of the acidic amino acids or SH groups of cysteine, disrupting ionic bonds and disulfide linkages.
Alkaloid reagents, such as tannic acid (used in tanning leather)	These reagents combine with positively charged amino groups in proteins to disrupt ionic bonds.

Anyone who has fried an egg has observed denaturation. The clear egg white turns opaque as the albumin denatures and coagulates. No one has yet reversed that process. However, given the proper circumstances and enough time, a protein that has unfolded under sufficiently gentle conditions can refold and may again exhibit biological activity (Figure 9.5.8). Such evidence suggests that, at least for these proteins, the primary structure determines the secondary and tertiary structure. A given sequence of amino acids seems to adopt its particular three-dimensional arrangement naturally if conditions are right.

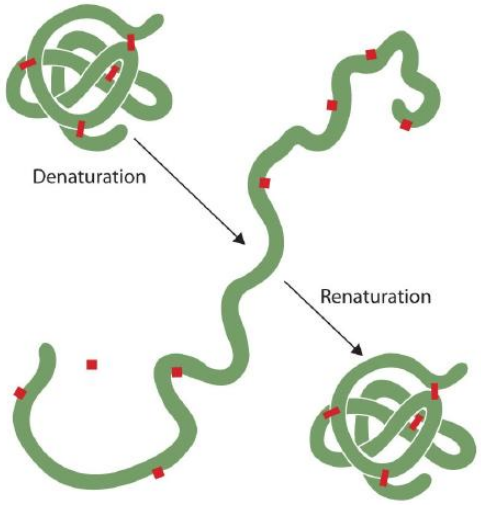


Figure 9.5.8: Denaturation and Renaturation of a Protein. The denaturation (unfolding) and renaturation (refolding) of a protein is depicted. The red boxes represent stabilizing interactions, such as disulfide linkages, hydrogen bonding, and/or ionic bonds.

The primary structures of proteins are quite sturdy. In general, fairly vigorous conditions are needed to hydrolyze peptide bonds. At the secondary through quaternary levels, however, proteins are quite vulnerable to attack, though they vary in their vulnerability to denaturation. The delicately folded globular proteins are much easier to denature than are the tough, fibrous proteins of hair and skin.

Summary

Proteins can be divided into two categories: fibrous, which tend to be insoluble in water, and globular, which are more soluble in water. A protein may have up to four levels of structure. The primary structure consists of the specific amino acid sequence. The resulting peptide chain can form an α-helix or β-pleated sheet (or local structures not as easily categorized), which is known as secondary structure. These segments of secondary structure are incorporated into the tertiary structure of the folded polypeptide chain. The quaternary structure describes the arrangements of subunits in a protein that contains more than one subunit. Four major types of attractive interactions determine the shape and stability of the folded protein: ionic bonding, hydrogen bonding, disulfide linkages, and dispersion forces. A wide variety of reagents and conditions can cause a protein to unfold or denature.

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CHAPTER OVERVIEW

10: Enzymes

10.1: Enzymes
10.2: Enzyme Action
10.3: Enzyme Activity
10.4: Enzyme Inhibition
10.5: Enzyme Cofactors and Vitamins

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10.1: Enzymes

Learning Objectives

- Explain the functions of enzymes.
- Explain how enzymes are classified and named.

A catalyst is any substance that increases the *rate* or speed of a chemical reaction without being changed or consumed in the reaction. Enzymes are biological catalysts, and nearly all of them are proteins. The reaction rates attained by enzymes are truly amazing. In their presence, reactions occur at rates that are a million (10^6) or more times faster than would be attainable in their absence. What is even more amazing is that enzymes perform this function at body temperature (~37°C) and physiological pH (pH ~7), rather than at the conditions that are typically necessary to increase reaction rates (high temperature or pressure, the use of strong oxidizing or reducing agents or strong acids or bases, or a combination of any of these). In addition, enzymes are highly specific in their action; that is, each enzyme catalyzes only one type of reaction in only one compound or a group of structurally related compounds. The compound or compounds on which an enzyme acts are known as its substrates.

Hundreds of enzymes have been purified and studied in an effort to understand how they work so effectively and with such specificity. The resulting knowledge has been used to design drugs that inhibit or activate particular enzymes. An example is the intensive research to improve the treatment of or find a cure for acquired immunodeficiency syndrome (AIDS). AIDS is caused by the human immunodeficiency virus (HIV). Researchers are studying the enzymes produced by this virus and are developing drugs intended to block the action of those enzymes without interfering with enzymes produced by the human body. Several of these drugs have now been approved for use by AIDS patients.

Table **10.1.1**: Classes of Enzymes

Class	Type of Reaction Catalyzed	Examples
oxidoreductases	oxidation-reduction reactions	Dehydrogenases catalyze oxidation-reduction reactions involving hydrogen and reductases catalyze reactions in which a substrate is reduced.
transferases	transfer reactions of groups, such as methyl, amino, and acetyl	Transaminases catalyze the transfer of amino group, and kinases catalyze the transfer of a phosphate group.
hydrolases	hydrolysis reactions	Lipases catalyze the hydrolysis of lipids, and proteases catalyze the hydrolysis of proteins
lyases	reactions in which groups are removed without hydrolysis or addition of groups to a double bond	Decarboxylases catalyze the removal of carboxyl groups.
isomerases	reactions in which a compound is converted to its isomer	Isomerases may catalyze the conversion of an aldose to a ketose, and mutases catalyze reactions in which a functional group is transferred from one atom in a substrate to another.
ligases	reactions in which new bonds are formed between carbon and another atom; energy is required	Synthetases catalyze reactions in which two smaller molecules are linked to form a larger one.

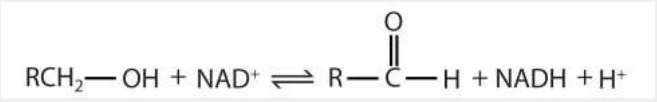
The first enzymes to be discovered were named according to their source or method of discovery. The enzyme *pepsin*, which aids in the hydrolysis of proteins, is found in the digestive juices of the stomach (Greek *pepsis*, meaning “digestion”). *Papain*, another

enzyme that hydrolyzes protein (in fact, it is used in meat tenderizers), is isolated from papayas. As more enzymes were discovered, chemists recognized the need for a more systematic and chemically informative identification scheme. In the current numbering and naming scheme, under the oversight of the Nomenclature Commission of the International Union of Biochemistry, enzymes are arranged into six groups according to the general type of reaction they catalyze (Table **10.1.1**), with subgroups and secondary subgroups that specify the reaction more precisely.

Figure* 10.1.1*: *Structure of the alcohol dehydrogenase protein (E.C.1.1.1.1) (EE ISOZYME) complexed wtih nicotinamide adenini dinulceotide (NAD) and zinc (PDB: 1CDO).*

Each enzyme is assigned a four-digit number, preceded by the prefix EC—for enzyme classification—that indicates its group, subgroup, and so forth. This is demonstrated in Table **10.1.2** for alcohol dehydrogenase. Each enzyme is also given a name consisting of the root of the name of its substrate or substrates and the *-ase* suffix. Thus urease is the enzyme that catalyzes the hydrolysis of urea.

Table **10.1.2**: Assignment of an Enzyme Classification Number

Alcohol Dehydrogenase: EC 1.1.1.1	
The first digit indicates that this enzyme is an oxidoreductase; that is, an enzyme that catalyzes an oxidation-reduction reaction. The second digit indicates that this oxidoreductase catalyzes a reaction involving a primary or secondary alcohol. The third digit indicates that either the coenzyme NAD^+ or $NADP^+$ is required for this reaction.	
The fourth digit indicates that this was the first enzyme isolated, characterized, and named using this system of nomenclature.	
The systematic name for this enzyme is *alcohol:NAD^+ oxidoreductase*, while the recommended or common name is alcohol dehydrogenase.	
Reaction catalyzed:	

Summary

An enzyme is a biological catalyst, a substance that increases the rate of a chemical reaction without being changed or consumed in the reaction. A systematic process is used to name and classify enzymes.

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10.2: Enzyme Action

Learning Objectives

- To describe the interaction between an enzyme and its substrate.

Enzyme-catalyzed reactions occur in at least two steps. In the first step, an enzyme molecule (E) and the substrate molecule or molecules (S) collide and react to form an intermediate compound called the *enzyme-substrate* (E–S) *complex*. (This step is reversible because the complex can break apart into the original substrate or substrates and the free enzyme.) Once the E–S complex forms, the enzyme is able to catalyze the formation of product (P), which is then released from the enzyme surface:

$$S + E \rightarrow E\text{–}S \tag{10.2.1}$$

$$E\text{–}S \rightarrow P + E \tag{10.2.2}$$

Hydrogen bonding and other electrostatic interactions hold the enzyme and substrate together in the complex. The structural features or functional groups on the enzyme that participate in these interactions are located in a cleft or pocket on the enzyme surface. This pocket, where the enzyme combines with the substrate and transforms the substrate to product is called the active site of the enzyme (Figure **10.2.1**).

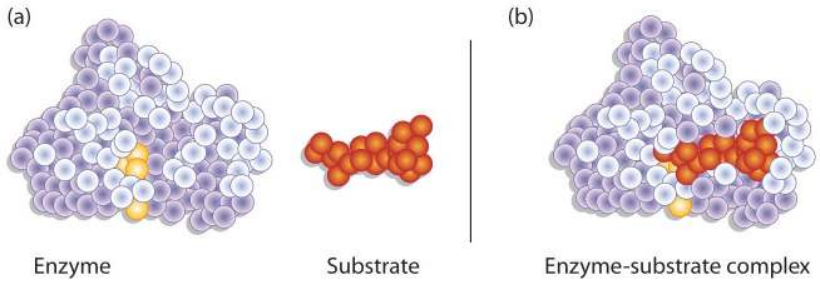


Figure **10.2.1**: Substrate Binding to the Active Site of an Enzyme. The enzyme dihydrofolate reductase is shown with one of its substrates: $NADP^+$ (a) unbound and (b) bound. The $NADP^+$ (shown in red) binds to a pocket that is complementary to it in shape and ionic properties.

The active site of an enzyme possesses a unique conformation (including correctly positioned bonding groups) that is complementary to the structure of the substrate, so that the enzyme and substrate molecules fit together in much the same manner as a key fits into a tumbler lock. In fact, an early model describing the formation of the enzyme-substrate complex was called the lock-and-key model (Figure **10.2.2**). This model portrayed the enzyme as conformationally rigid and able to bond only to substrates that exactly fit the active site.

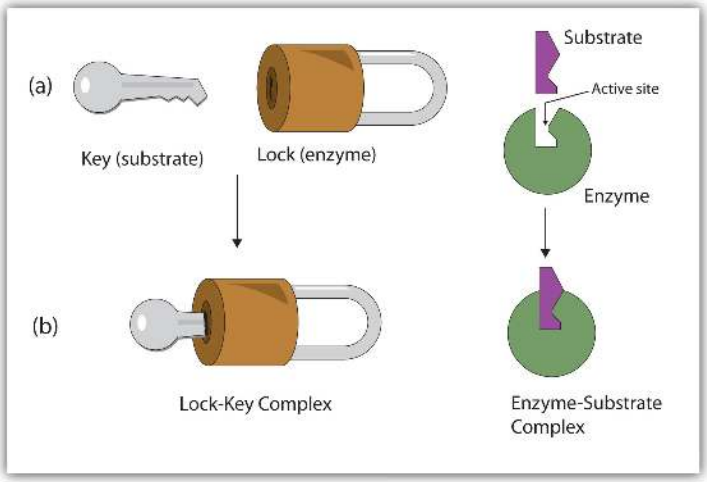


Figure 10.2.2: The Lock-and-Key Model of Enzyme Action. (a) Because the substrate and the active site of the enzyme have complementary structures and bonding groups, they fit together as a key fits a lock. (b) The catalytic reaction occurs while the two are bonded together in the enzyme-substrate complex.

Working out the precise three-dimensional structures of numerous enzymes has enabled chemists to refine the original lock-and-key model of enzyme actions. They discovered that the binding of a substrate often leads to a large conformational change in the enzyme, as well as to changes in the structure of the substrate or substrates. The current theory, known as the induced-fit model, says that enzymes can undergo a change in conformation when they bind substrate molecules, and the active site has a shape complementary to that of the substrate only *after* the substrate is bound, as shown for hexokinase in Figure 10.2.3. After catalysis, the enzyme resumes its original structure.

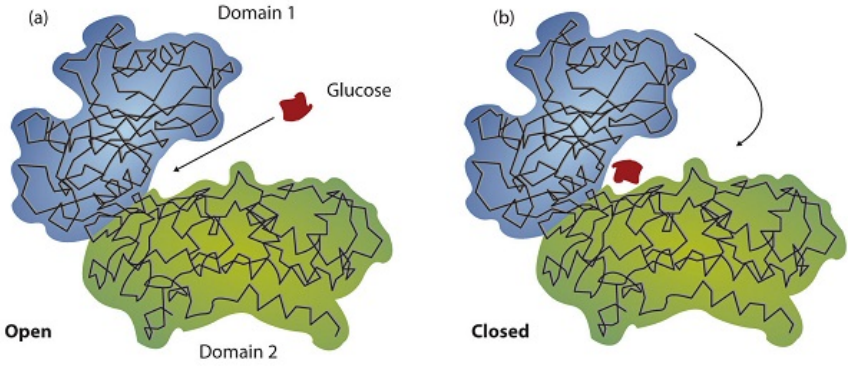


Figure 10.2.3: The Induced-Fit Model of Enzyme Action. (a) The enzyme hexokinase without its substrate (glucose, shown in red) is bound to the active site. (b) The enzyme conformation changes dramatically when the substrate binds to it, resulting in additional interactions between hexokinase and glucose.

The structural changes that occur when an enzyme and a substrate join together bring specific parts of a substrate into alignment with specific parts of the enzyme's active site. Amino acid side chains in or near the binding site can then act as acid or base catalysts, provide binding sites for the transfer of functional groups from one substrate to another or aid in the rearrangement of a substrate. The participating amino acids, which are usually widely separated in the primary sequence of the protein, are brought close together in the active site as a result of the folding and bending of the polypeptide chain or chains when the protein acquires its tertiary and quaternary structure. Binding to enzymes brings reactants close to each other and aligns them properly, which has the same effect as increasing the concentration of the reacting compounds.

✔ Example 10.2.1

a. What type of interaction would occur between an OH group present on a substrate molecule and a functional group in the active site of an enzyme?

b. Suggest an amino acid whose side chain might be in the active site of an enzyme and form the type of interaction you just identified.

Solution

a. An OH group would most likely engage in hydrogen bonding with an appropriate functional group present in the active site of an enzyme.

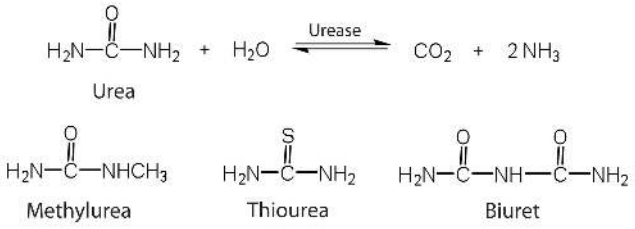
b. Several amino acid side chains would be able to engage in hydrogen bonding with an OH group. One example would be asparagine, which has an amide functional group.

? Exercise 10.2.1

a. What type of interaction would occur between an COO^- group present on a substrate molecule and a functional group in the active site of an enzyme?

b. Suggest an amino acid whose side chain might be in the active site of an enzyme and form the type of interaction you just identified.

One characteristic that distinguishes an enzyme from all other types of catalysts is its *substrate specificity*. An inorganic acid such as sulfuric acid can be used to increase the reaction rates of many different reactions, such as the hydrolysis of disaccharides, polysaccharides, lipids, and proteins, with complete impartiality. In contrast, enzymes are much more specific. Some enzymes act on a single substrate, while other enzymes act on any of a group of related molecules containing a similar functional group or chemical bond. Some enzymes even distinguish between D- and L-stereoisomers, binding one stereoisomer but not the other. Urease, for example, is an enzyme that catalyzes the hydrolysis of a single substrate—urea—but not the closely related compounds methyl urea, thiourea, or biuret. The enzyme carboxypeptidase, on the other hand, is far less specific. It catalyzes the removal of nearly any amino acid from the carboxyl end of any peptide or protein.



Enzyme specificity results from the uniqueness of the active site in each different enzyme because of the identity, charge, and spatial orientation of the functional groups located there. It regulates cell chemistry so that the proper reactions occur in the proper place at the proper time. Clearly, it is crucial to the proper functioning of the living cell.

Summary

A substrate binds to a specific region on an enzyme known as the active site, where the substrate can be converted to product. The substrate binds to the enzyme primarily through hydrogen bonding and other electrostatic interactions. The induced-fit model says that an enzyme can undergo a conformational change when binding a substrate. Enzymes exhibit varying degrees of substrate specificity.

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10.3: Enzyme Activity

Learning Objectives

- To describe how pH, temperature, and the concentration of an enzyme and its substrate influence enzyme activity.

The single most important property of enzymes is the ability to increase the rates of reactions occurring in living organisms, a property known as *catalytic activity*. Because most enzymes are proteins, their activity is affected by factors that disrupt protein structure, as well as by factors that affect catalysts in general. Factors that disrupt protein structure include temperature and pH; factors that affect catalysts in general include reactant or substrate concentration and catalyst or enzyme concentration. The activity of an enzyme can be measured by monitoring either the rate at which a substrate disappears or the rate at which a product forms.

10.3.1 Concentration of Substrate

In the presence of a given amount of enzyme, the rate of an enzymatic reaction increases as the substrate concentration increases until a limiting rate is reached, after which further increase in the substrate concentration produces no significant change in the reaction rate (part (a) of Figure **10.3.1**). At this point, so much substrate is present that essentially all of the enzyme active sites have substrate bound to them. In other words, the enzyme molecules are saturated with substrate. The excess substrate molecules cannot react until the substrate already bound to the enzymes has reacted and been released (or been released without reacting).

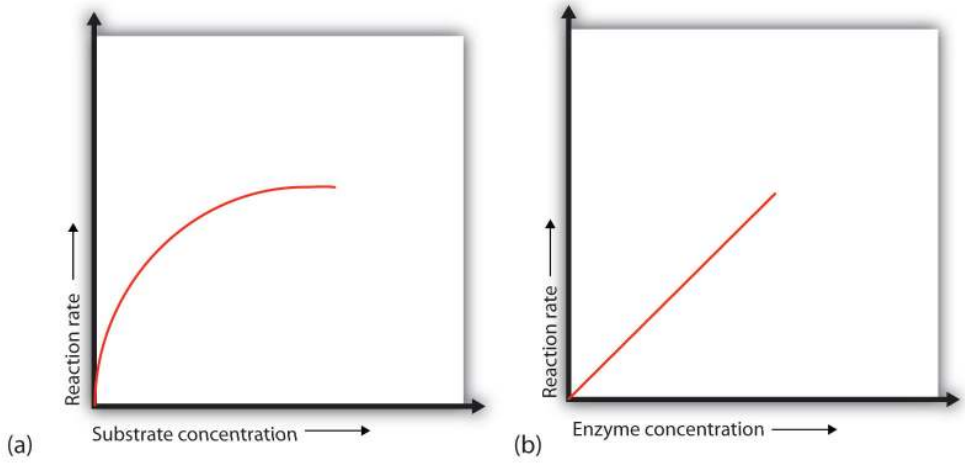


Figure **10.3.1**: Concentration versus Reaction Rate. (a) This graph shows the effect of substrate concentration on the rate of a reaction that is catalyzed by a fixed amount of enzyme. (b) This graph shows the effect of enzyme concentration on the reaction rate at a constant level of substrate.

Let's consider an analogy. Ten taxis (enzyme molecules) are waiting at a taxi stand to take people (substrate) on a 10-minute trip to a concert hall, one passenger at a time. If only 5 people are present at the stand, the rate of their arrival at the concert hall is 5 people in 10 minutes. If the number of people at the stand is increased to 10, the rate increases to 10 arrivals in 10 minutes. With 20 people at the stand, the rate would still be 10 arrivals in 10 minutes. The taxis have been "saturated." If the taxis could carry 2 or 3 passengers each, the same principle would apply. The rate would simply be higher (20 or 30 people in 10 minutes) before it leveled off.

10.3.2 Concentration of Enzyme

When the concentration of the enzyme is significantly lower than the concentration of the substrate (as when the number of taxis is far lower than the number of waiting passengers), the rate of an enzyme-catalyzed reaction is directly dependent on the enzyme concentration (part (b) of Figure **10.3.1**). This is true for any catalyst; the reaction rate increases as the concentration of the catalyst is increased.

10.3.3 Temperature

A general rule of thumb for most chemical reactions is that a temperature rise of 10°C approximately doubles the reaction rate. To some extent, this rule holds for all enzymatic reactions. After a certain point, however, an increase in temperature causes a decrease in the reaction rate, due to denaturation of the protein structure and disruption of the active site (part (a) of Figure **10.3.2**). For many proteins, denaturation occurs between 45°C and 55°C. Furthermore, even though an enzyme may appear to have a maximum reaction rate between 40°C and 50°C, most biochemical reactions are carried out at lower temperatures because enzymes are not stable at these higher temperatures and will denature after a few minutes.

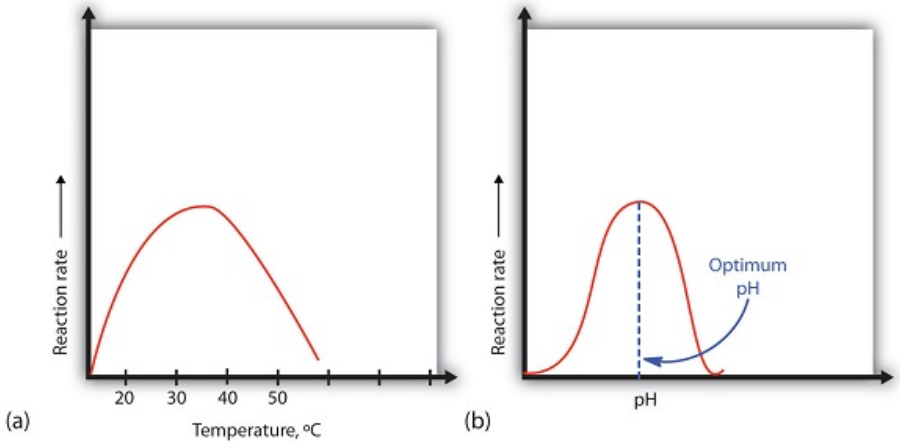


Figure **10.3.2**: Temperature and pH versus Concentration. (a) This graph depicts the effect of temperature on the rate of a reaction that is catalyzed by a fixed amount of enzyme. (b) This graph depicts the effect of pH on the rate of a reaction that is catalyzed by a fixed amount of enzyme.

At 0°C and 100°C, the rate of enzyme-catalyzed reactions is nearly zero. This fact has several practical applications. We sterilize objects by placing them in boiling water, which denatures the enzymes of any bacteria that may be in or on them. We preserve our food by refrigerating or freezing it, which slows enzyme activity. When animals go into hibernation in winter, their body temperature drops, decreasing the rates of their metabolic processes to levels that can be maintained by the amount of energy stored in the fat reserves in the animals' tissues.

10.3.4 Hydrogen Ion Concentration (pH)

Because most enzymes are proteins, they are sensitive to changes in the hydrogen ion concentration or pH. Enzymes may be denatured by extreme levels of hydrogen ions (whether high or low); *any* change in pH, even a small one, alters the degree of ionization of an enzyme's acidic and basic side groups and the substrate components as well. Ionizable side groups located in the active site must have a certain charge for the enzyme to bind its substrate. Neutralization of even one of these charges alters an enzyme's catalytic activity.

An enzyme exhibits maximum activity over the narrow pH range in which a molecule exists in its properly charged form. The median value of this pH range is called the optimum pH of the enzyme (part (b) of Figure **10.3.2**). With the notable exception of gastric juice (the fluids secreted in the stomach), most body fluids have pH values between 6 and 8. Not surprisingly, most enzymes exhibit optimal activity in this pH range. However, a few enzymes have optimum pH values outside this range. For example, the optimum pH for pepsin, an enzyme that is active in the stomach, is 2.0.

Summary

Initially, an increase in substrate concentration leads to an increase in the rate of an enzyme-catalyzed reaction. As the enzyme molecules become saturated with substrate, this increase in reaction rate levels off. The rate of an enzyme-catalyzed reaction increases with an increase in the concentration of an enzyme. At low temperatures, an increase in temperature increases the rate of an enzyme-catalyzed reaction. At higher temperatures, the protein is denatured, and the rate of the reaction dramatically decreases. An enzyme has an optimum pH range in which it exhibits maximum activity.

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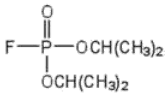
10.4: Enzyme Inhibition

Learning Objectives

- Explain what an enzyme inhibitor is.
- Distinguish between reversible and irreversible inhibitors.
- Distinguish between competitive and noncompetitive inhibitors.

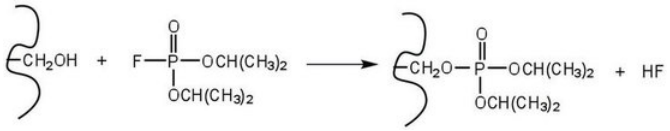
Previously, we noted that enzymes are inactivated at high temperatures and by changes in pH. These are nonspecific factors that would inactivate any enzyme. The activity of enzymes can also be regulated by more specific inhibitors. Many compounds are poisons because they bind covalently to particular enzymes or kinds of enzymes and inactivate them (Table **10.4.1**).

Table **10.4.1**: Poisons as Enzyme Inhibitors

Poison	Formula	Example of Enzyme Inhibited	Action
arsenate	AsO_4^{3-}	glyceraldehyde 3-phosphate dehydrogenase	substitutes for phosphate
iodoacetate	ICH_2COO^-	triose phosphate dehydrogenase	binds to cysteine **SH** group
diisopropylfluoro-phosphate (DIFP; a nerve poison)		acetylcholinesterase	binds to serine **OH** group

10.4.1 Irreversible Inhibition: Poisons

An irreversible inhibitor inactivates an enzyme by bonding covalently to a particular group at the active site. The inhibitor-enzyme bond is so strong that the inhibition cannot be reversed by the addition of excess substrate. The nerve gases, especially Diisopropyl fluorophosphate (DIFP), irreversibly inhibit biological systems by forming an enzyme-inhibitor complex with a specific OH group of serine situated at the active sites of certain enzymes. The peptidases trypsin and chymotrypsin contain serine groups at the active site and are inhibited by DIFP.



10.4.2 Reversible Inhibition

A reversible inhibitor inactivates an enzyme through noncovalent, more easily reversed, interactions. Unlike an irreversible inhibitor, a reversible inhibitor can dissociate from the enzyme. Reversible inhibitors include competitive inhibitors and noncompetitive inhibitors. (There are additional types of reversible inhibitors.) A competitive inhibitor is any compound that bears a structural resemblance to a particular substrate and thus competes with that substrate for binding at the active site of an enzyme. The inhibitor is not acted on by the enzyme but does prevent the substrate from approaching the active site.

The degree to which a competitive inhibitor interferes with an enzyme's activity depends on the relative concentrations of the substrate and the inhibitor. If the inhibitor is present in relatively large quantities, it will initially block most of the active sites. But because the binding is reversible, some substrate molecules will eventually bind to the active site and be converted to product. Increasing the substrate concentration promotes displacement of the inhibitor from the active site. Competitive inhibition can be completely reversed by adding substrate so that it reaches a much higher concentration than that of the inhibitor.

Studies of competitive inhibition have provided helpful information about certain enzyme-substrate complexes and the interactions of specific groups at the active sites. As a result, pharmaceutical companies have synthesized drugs that competitively inhibit metabolic processes in bacteria and certain cancer cells. Many drugs are competitive inhibitors of specific enzymes.

A classic example of competitive inhibition is the effect of malonate on the enzyme activity of succinate dehydrogenase (Figure 10.4.1). Malonate and succinate are the anions of dicarboxylic acids and contain three and four carbon atoms, respectively. The malonate molecule binds to the active site because the spacing of its carboxyl groups is not greatly different from that of succinate. However, no catalytic reaction occurs because malonate does not have a CH_2CH_2 group to convert to CH=CH. This reaction will also be discussed in connection with the Krebs cycle and energy production.

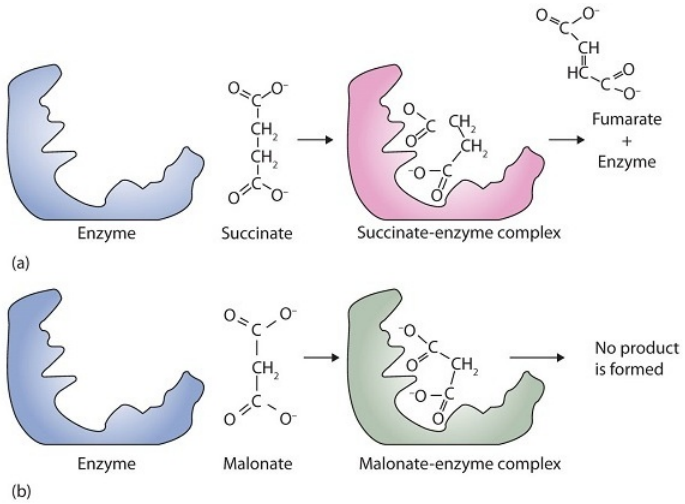


Figure 10.4.1: Competitive Inhibition. (a) Succinate binds to the enzyme succinate dehydrogenase. A dehydrogenation reaction occurs, and the product—fumarate—is released from the enzyme. (b) Malonate also binds to the active site of succinate dehydrogenase. In this case, however, no subsequent reaction occurs while malonate remains bound to the enzyme.

To Your Health: Penicillin

Chemotherapy is the strategic use of chemicals (that is, drugs) to destroy infectious microorganisms or cancer cells without causing excessive damage to the other, healthy cells of the host. From bacteria to humans, the metabolic pathways of all living organisms are quite similar, so the search for safe and effective chemotherapeutic agents is a formidable task. Many well-established chemotherapeutic drugs function by inhibiting a critical enzyme in the cells of the invading organism.

An *antibiotic* is a compound that kills bacteria; it may come from a natural source such as molds or be synthesized with a structure analogous to a naturally occurring antibacterial compound. Antibiotics constitute no well-defined class of chemically related substances, but many of them work by effectively inhibiting a variety of enzymes essential to bacterial growth.

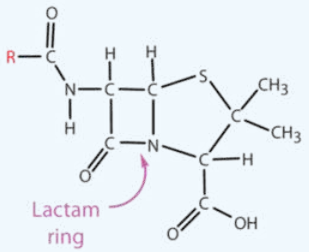
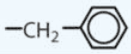
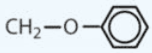
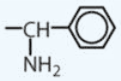
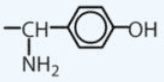
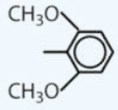
Penicillin, one of the most widely used antibiotics in the world, was fortuitously discovered by Alexander Fleming in 1928, when he noticed antibacterial properties in a mold growing on a bacterial culture plate. In 1938, Ernst Chain and Howard Florey began an intensive effort to isolate penicillin from the mold and study its properties. The large quantities of penicillin needed for this research became available through development of a corn-based nutrient medium that the mold loved and through the discovery of a higher-yielding strain of mold at a United States Department of Agriculture research center near Peoria, Illinois. Even so, it was not until 1944 that large quantities of penicillin were being produced and made available for the treatment of bacterial infections.

Penicillin functions by interfering with the synthesis of cell walls of reproducing bacteria. It does so by inhibiting an enzyme—transpeptidase—that catalyzes the last step in bacterial cell-wall biosynthesis. The defective walls cause bacterial cells to burst. Human cells are not affected because they have cell membranes, not cell walls.

Several naturally occurring penicillins have been isolated. They are distinguished by different R groups connected to a common structure: a four-member cyclic amide (called a lactam ring) fused to a five-member ring. The addition of appropriate organic compounds to the culture medium leads to the production of the different kinds of penicillin.

The penicillins are effective against gram-positive bacteria (bacteria capable of being stained by Gram's stain) and a few gram-negative bacteria (including the intestinal bacterium *Escherichia coli*). They are effective in the treatment of diphtheria, gonorrhea, pneumonia, syphilis, many pus infections, and certain types of boils. Penicillin G was the earliest penicillin to be used on a wide scale. However, it cannot be administered orally because it is quite unstable; the acidic pH of the stomach

converts it to an inactive derivative. The major oral penicillins—penicillin V, ampicillin, and amoxicillin—on the other hand, are acid stable.

Penicillin Structure	R Group	Drug Name
		penicillin G
		penicillin V
		ampicillin
		amoxicillin
		methicillin

Some strains of bacteria become resistant to penicillin through a mutation that allows them to synthesize an enzyme—penicillinase—that breaks the antibiotic down (by cleavage of the amide linkage in the lactam ring). To combat these strains, scientists have synthesized penicillin analogs (such as methicillin) that are not inactivated by penicillinase.

Some people (perhaps 5% of the population) are allergic to penicillin and therefore must be treated with other antibiotics. Their allergic reaction can be so severe that a fatal coma may occur if penicillin is inadvertently administered to them. Fortunately, several other antibiotics have been discovered. Most, including aureomycin and streptomycin, are the products of microbial synthesis. Others, such as the semisynthetic penicillins and tetracyclines, are made by chemical modifications of antibiotics; and some, like chloramphenicol, are manufactured entirely by chemical synthesis. They are as effective as penicillin in destroying infectious microorganisms. Many of these antibiotics exert their effects by blocking protein synthesis in microorganisms.

Initially, antibiotics were considered miracle drugs, substantially reducing the number of deaths from blood poisoning, pneumonia, and other infectious diseases. Some seven decades ago, a person with a major infection almost always died. Today, such deaths are rare. Seven decades ago, pneumonia was a dreaded killer of people of all ages. Today, it kills only the very old or those ill from other causes. Antibiotics have indeed worked miracles in our time, but even miracle drugs have limitations. Not long after the drugs were first used, disease organisms began to develop strains resistant to them. In a race to stay ahead of resistant bacterial strains, scientists continue to seek new antibiotics. The penicillins have now been partially displaced by related compounds, such as the cephalosporins and vancomycin. Unfortunately, some strains of bacteria have already shown resistance to these antibiotics.

Some reversible inhibitors are noncompetitive. A noncompetitive inhibitor can combine with either the free enzyme or the enzyme-substrate complex because its binding site on the enzyme is distinct from the active site. Binding of this kind of inhibitor alters the three-dimensional conformation of the enzyme, changing the configuration of the active site with one of two results. Either the enzyme-substrate complex does not form at its normal rate, or, once formed, it does not yield products at the normal rate. Because the inhibitor does not structurally resemble the substrate, the addition of excess substrate does *not* reverse the inhibitory effect.

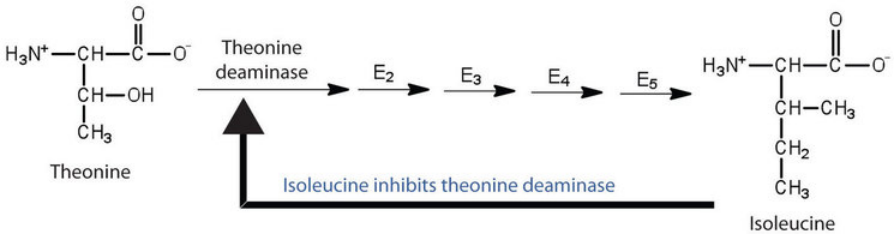


Figure **10.4.2**: Feedback Inhibition of Threonine Deaminase by Isoleucine. Threonine deaminase is the first enzyme in the conversion of threonine to isoleucine. Isoleucine inhibits threonine deaminase through feedback inhibition.

Feedback inhibition is a normal biochemical process that makes use of noncompetitive inhibitors to control some enzymatic activity. In this process, the final product inhibits the enzyme that catalyzes the first step in a series of reactions. Feedback inhibition is used to regulate the synthesis of many amino acids. For example, bacteria synthesize isoleucine from threonine in a series of five enzyme-catalyzed steps. As the concentration of isoleucine increases, some of it binds as a noncompetitive inhibitor to the first enzyme of the series (threonine deaminase), thus bringing about a decrease in the amount of isoleucine being formed (Figure **10.4.2**).

Summary

An irreversible inhibitor inactivates an enzyme by bonding covalently to a particular group at the active site. A reversible inhibitor inactivates an enzyme through noncovalent, reversible interactions. A competitive inhibitor competes with the substrate for binding at the active site of the enzyme. A noncompetitive inhibitor binds at a site distinct from the active site.

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10.5: Enzyme Cofactors and Vitamins

Learning Objectives

- To explain why vitamins are necessary in the diet.

Many enzymes are simple proteins consisting entirely of one or more amino acid chains. Other enzymes contain a nonprotein component called a **cofactor** that is necessary for the enzyme's proper functioning. There are two types of cofactors: inorganic ions [e.g., zinc or Cu(I) ions] and organic molecules known as coenzymes. Most **coenzymes** are vitamins or are derived from vitamins.

Vitamins are organic compounds that are essential in very small (trace) amounts for the maintenance of normal metabolism. They generally cannot be synthesized at adequate levels by the body and must be obtained from the diet. The absence or shortage of a vitamin may result in a vitamin-deficiency disease. In the first half of the 20th century, a major focus of biochemistry was the identification, isolation, and characterization of vitamins. Despite accumulating evidence that people needed more than just carbohydrates, fats, and proteins in their diets for normal growth and health, it was not until the early 1900s that research established the need for trace nutrients in the diet.

Table **10.5.1**: Fat-Soluble Vitamins and Physiological Functions

Vitamin	Physiological Function	Effect of Deficiency
vitamin A (retinol)	formation of vision pigments; differentiation of epithelial cells	night blindness; continued deficiency leads to total blindness
vitamin D (cholecalciferol)	increases the body's ability to absorb calcium and phosphorus	osteomalacia (softening of the bones); known as rickets in children
vitamin E (tocopherol)	fat-soluble antioxidant	damage to cell membranes
vitamin K (phylloquinone)	formation of prothrombin, a key enzyme in the blood-clotting process	increases the time required for blood to clot

Because organisms differ in their synthetic abilities, a substance that is a vitamin for one species may not be so for another. Over the past 100 years, scientists have identified and isolated 13 vitamins required in the human diet and have divided them into two broad categories: the *fat-soluble vitamins*, which include vitamins A, D, E, and K, and the *water-soluble vitamins*, which are the B complex vitamins and vitamin C. All fat-soluble vitamins contain a high proportion of hydrocarbon structural components. There are one or two oxygen atoms present, but the compounds as a whole are nonpolar. In contrast, water-soluble vitamins contain large numbers of electronegative oxygen and nitrogen atoms, which can engage in hydrogen bonding with water. Most water-soluble vitamins act as coenzymes or are required for the synthesis of coenzymes. The fat-soluble vitamins are important for a variety of physiological functions. The key vitamins and their functions are found in Tables **10.5.1** and **10.5.2**.

Table **10.5.2**: Water-Soluble Vitamins and Physiological Functions

Vitamin	Coenzyme	Coenzyme Function	Deficiency Disease
vitamin B_1 (thiamine)	thiamine pyrophosphate	decarboxylation reactions	beri-beri
vitamin B_2 (riboflavin)	flavin mononucleotide or flavin adenine dinucleotide	oxidation-reduction reactions involving two hydrogen atoms	—
vitamin B_3 (niacin)	nicotinamide adenine dinucleotide or nicotinamide adenine dinucleotide phosphate	oxidation-reduction reactions involving the hydride ion (H^-)	pellagra
vitamin B_6 (pyridoxine)	pyridoxal phosphate	variety of reactions including the transfer of amino groups	—
vitamin B_{12} (cyanocobalamin)	methylcobalamin or deoxyadenoxylcobalamin	intramolecular rearrangement reactions	pernicious anemia
biotin	biotin	carboxylation reactions	—
folic acid	tetrahydrofolate	carrier of one-carbon units such as the formyl group	anemia
pantothenic Acid	coenzyme A	carrier of acyl groups	—
vitamin C (ascorbic acid)	none	antioxidant; formation of collagen, a protein found in tendons, ligaments, and bone	scurvy

Vitamins C and E, as well as the provitamin β-carotene can act as antioxidants in the body. Antioxidants prevent damage from free radicals, which are molecules that are highly reactive because they have unpaired electrons. Free radicals are formed not only through metabolic reactions involving oxygen but also by such environmental factors as radiation and pollution.

> *β-carotene is known as a provitamin because it can be converted to vitamin A in the body.*

Free radicals react most commonly react with lipoproteins and unsaturated fatty acids in cell membranes, removing an electron from those molecules and thus generating a new free radical. The process becomes a chain reaction that finally leads to the oxidative degradation of the affected compounds. Antioxidants react with free radicals to stop these chain reactions by forming a more stable molecule or, in the case of vitamin E, a free radical that is much less reactive (vitamin E is converted back to its original form through interaction with vitamin C).

Summary

Vitamins are organic compounds that are essential in very small amounts for the maintenance of normal metabolism. Vitamins are divided into two broad categories: fat-soluble vitamins and water-soluble vitamins. Most water-soluble vitamins are needed for the formation of coenzymes, which are organic molecules needed by some enzymes for catalytic activity.

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CHAPTER OVERVIEW

11: Nucleic Acids and DNA

11.1: Prelude to Nucleic Acids
11.2: Nucleotides
11.3: Nucleic Acid Structure
11.4: Replication and Expression of Genetic Information
11.5: Protein Synthesis and the Genetic Code
11.6: Mutations and Genetic Diseases
11.7: Viruses

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11.1: Prelude to Nucleic Acids

Following the initial isolation of insulin in 1921, diabetic patients could be treated with insulin obtained from the pancreases of cattle and pigs. Unfortunately, some patients developed an allergic reaction to this insulin because its amino acid sequence was not identical to that of human insulin. In the 1970s, an intense research effort began that eventually led to the production of genetically engineered human insulin—the first genetically engineered product to be approved for medical use. To accomplish this feat, researchers first had to determine how insulin is made in the body and then find a way of causing the same process to occur in nonhuman organisms, such as bacteria or yeast cells. Many aspects of these discoveries are presented in this chapter on nucleic acids.



Figure **11.1.1**: A vial of insulin. It has been given a trade name, Actrapid, by the manufacturer. (Public Domain; Mr Hyde).

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11.2: Nucleotides

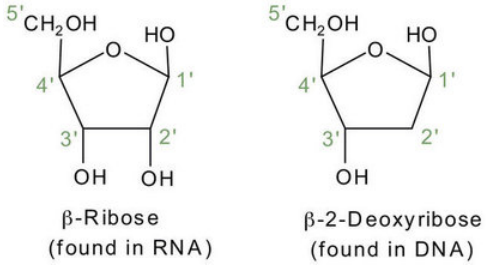
Learning Objectives

- To identify the different molecules that combine to form nucleotides.

The repeating, or monomer, units that are linked together to form nucleic acids are known as nucleotides. The deoxyribonucleic acid (DNA) of a typical mammalian cell contains about 3×10^9 nucleotides. Nucleotides can be further broken down to phosphoric acid (H_3PO_4), a pentose sugar (a sugar with five carbon atoms), and a nitrogenous base (a base containing nitrogen atoms).

$$\text{nucleic acids} \xrightarrow[\text{down into}]{\text{can be broken}} \text{nucleotides} \xrightarrow[\text{down into}]{\text{can be broken}} H_3PO_4 + \text{nitrogen base} + \text{pentose sugar}$$

If the pentose sugar is ribose, the nucleotide is more specifically referred to as a *ribonucleotide*, and the resulting nucleic acid is ribonucleic acid (RNA). If the sugar is 2-deoxyribose, the nucleotide is a *deoxyribonucleotide*, and the nucleic acid is DNA.



The nitrogenous bases found in nucleotides are classified as pyrimidines or purines. Pyrimidines are heterocyclic amines with two nitrogen atoms in a six-member ring and include uracil, thymine, and cytosine. Purines are heterocyclic amines consisting of a pyrimidine ring fused to a five-member ring with two nitrogen atoms. Adenine and guanine are the major purines found in nucleic acids (Figure **11.2.1**).

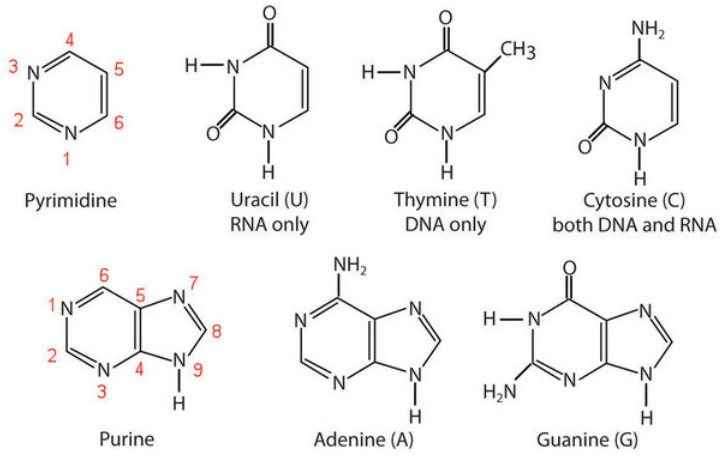


Figure **11.2.1**: The Nitrogenous Bases Found in DNA and RNA

The formation of a bond between C1′ of the pentose sugar and N1 of the pyrimidine base or N9 of the purine base joins the pentose sugar to the nitrogenous base. In the formation of this bond, a molecule of water is removed. Table **11.2.1** summarizes the similarities and differences in the composition of nucleotides in DNA and RNA.

> The numbering convention is that primed numbers designate the atoms of the pentose ring, and unprimed numbers designate the atoms of the purine or pyrimidine ring.

Table **11.2.1**: Composition of Nucleotides in DNA and RNA

Composition	DNA	RNA
purine bases	adenine and guanine	adenine and guanine
pyrimidine bases	cytosine and thymine	cytosine and uracil
pentose sugar	2-deoxyribose	ribose
inorganic acid	phosphoric acid (H_3PO_4)	H_3PO_4

The names and structures of the major ribonucleotides and one of the deoxyribonucleotides are given in Figure **11.2.2**.

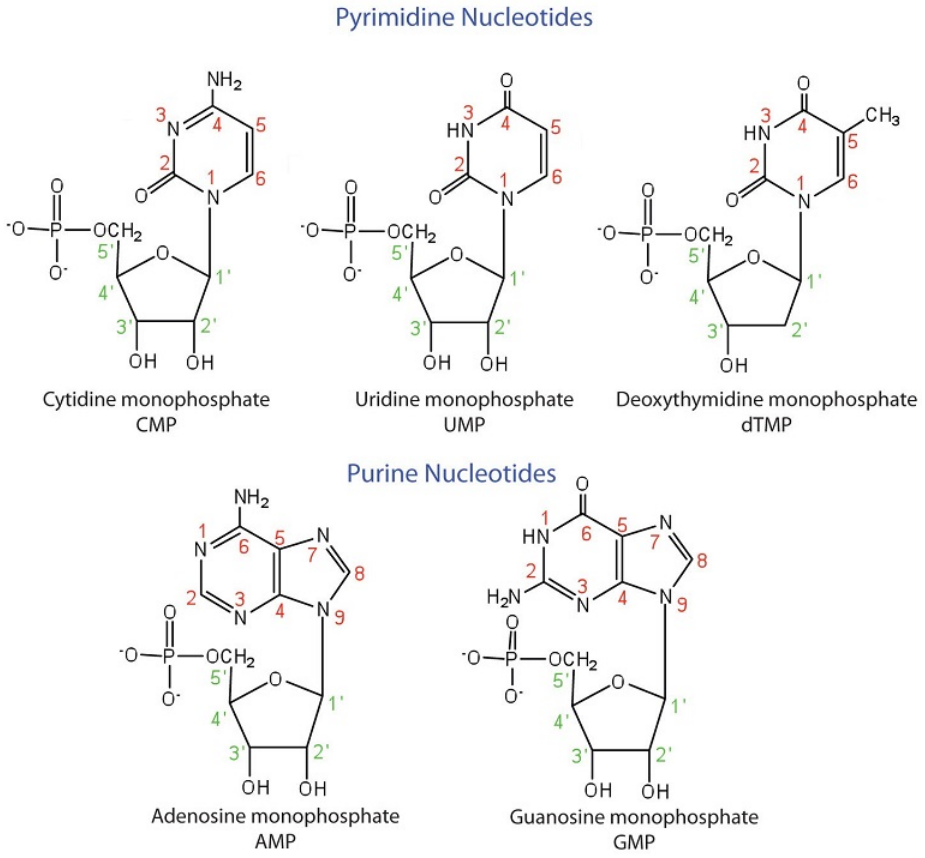


Figure **11.2.2**: The Pyrimidine and Purine Nucleotides

Apart from being the monomer units of DNA and RNA, the nucleotides and some of their derivatives have other functions as well. Adenosine diphosphate (ADP) and adenosine triphosphate (ATP), shown in Figure **11.2.3**, have a role in cell metabolism. Moreover, a number of coenzymes, including flavin adenine dinucleotide (FAD), nicotinamide adenine dinucleotide (NAD^+), and coenzyme A, contain adenine nucleotides as structural components.

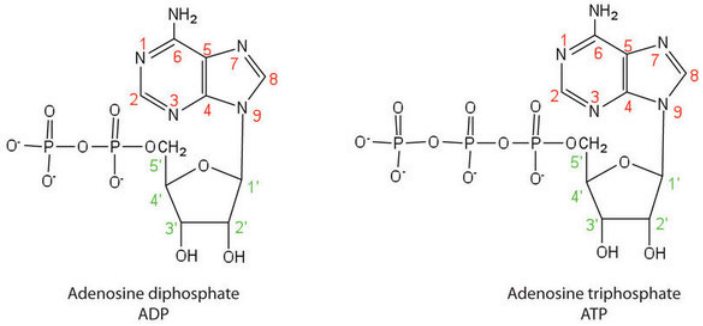


Figure **11.2.3**: Structures of Two Important Adenine-Containing Nucleotides

Summary

Nucleotides are composed of phosphoric acid, a pentose sugar (ribose or deoxyribose), and a nitrogen-containing base (adenine, cytosine, guanine, thymine, or uracil). Ribonucleotides contain ribose, while deoxyribonucleotides contain deoxyribose.

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11.3: Nucleic Acid Structure

Learning Objectives

- Identify the two types of nucleic acids and the function of each type.
- Describe how nucleotides are linked together to form nucleic acids.
- Describe the secondary structure of DNA and the importance of complementary base pairing.

Nucleic acids are large polymers formed by linking nucleotides together and are found in every cell. Deoxyribonucleic acid (DNA) is the nucleic acid that stores genetic information. If all the DNA in a typical mammalian cell were stretched out end to end, it would extend more than 2 m. Ribonucleic acid (RNA) is the nucleic acid responsible for using the genetic information encoded in DNA to produce the thousands of proteins found in living organisms.

11.3.1 Primary Structure of Nucleic Acids

Nucleotides are joined together through the phosphate group of one nucleotide connecting in an ester linkage to the OH group on the third carbon atom of the sugar unit of a second nucleotide. This unit joins to a third nucleotide, and the process is repeated to produce a long nucleic acid chain (Figure **11.3.1**). The backbone of the chain consists of alternating phosphate and sugar units (2-deoxyribose in DNA and ribose in RNA). The purine and pyrimidine bases branch off this backbone.

> *Each phosphate group has one acidic hydrogen atom that is ionized at physiological pH. This is why these compounds are known as nucleic acids.*

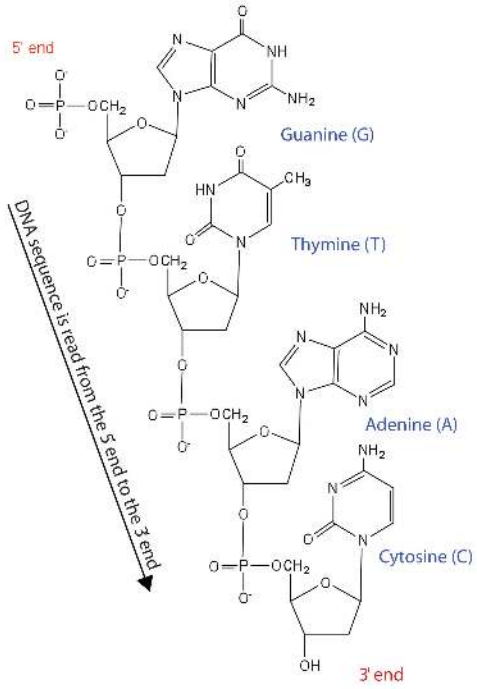


***Figure* 11.3.1** *Structure of a Segment of DNA. A similar segment of RNA would have OH groups on each C2', and uracil would replace thymine.*

Like proteins, nucleic acids have a primary structure that is defined as the sequence of their nucleotides. Unlike proteins, which have 20 different kinds of amino acids, there are only 4 different kinds of nucleotides in nucleic acids. For amino acid sequences in proteins, the convention is to write the amino acids in order starting with the N-terminal amino acid. In writing nucleotide sequences for nucleic acids, the convention is to write the nucleotides (usually using the one-letter abbreviations for the bases, shown in Figure **11.3.1**) starting with the nucleotide having a free phosphate group, which is known as the 5′ end, and indicate the

nucleotides in order. For DNA, a lowercase *d* is often written in front of the sequence to indicate that the monomers are deoxyribonucleotides. The final nucleotide has a free OH group on the 3′ carbon atom and is called the *3′ end*. The sequence of nucleotides in the DNA segment shown in Figure **11.3.1** would be written 5′-dG-dT-dA-dC-3′, which is often further abbreviated to dGTAC or just GTAC.

11.3.2 Secondary Structure of DNA

The three-dimensional structure of DNA was the subject of an intensive research effort in the late 1940s to early 1950s. Initial work revealed that the polymer had a regular repeating structure. In 1950, Erwin Chargaff of Columbia University showed that the molar amount of adenine (A) in DNA was always equal to that of thymine (T). Similarly, he showed that the molar amount of guanine (G) was the same as that of cytosine (C). Chargaff drew no conclusions from his work, but others soon did.

At Cambridge University in 1953, James D. Watson and Francis Crick announced that they had a model for the secondary structure of DNA. Using the information from Chargaff's experiments (as well as other experiments) and data from the X ray studies of Rosalind Franklin (which involved sophisticated chemistry, physics, and mathematics), Watson and Crick worked with models that were not unlike a child's construction set and finally concluded that DNA is composed of two nucleic acid chains running antiparallel to one another—that is, side-by-side with the 5′ end of one chain next to the 3′ end of the other. Moreover, as their model showed, the two chains are twisted to form a double helix—a structure that can be compared to a spiral staircase, with the phosphate and sugar groups (the backbone of the nucleic acid polymer) representing the outside edges of the staircase. The purine and pyrimidine bases face the inside of the helix, with guanine always opposite cytosine and adenine always opposite thymine. These specific base pairs, referred to as complementary bases, are the steps, or treads, in our staircase analogy (Figure **11.3.2**).

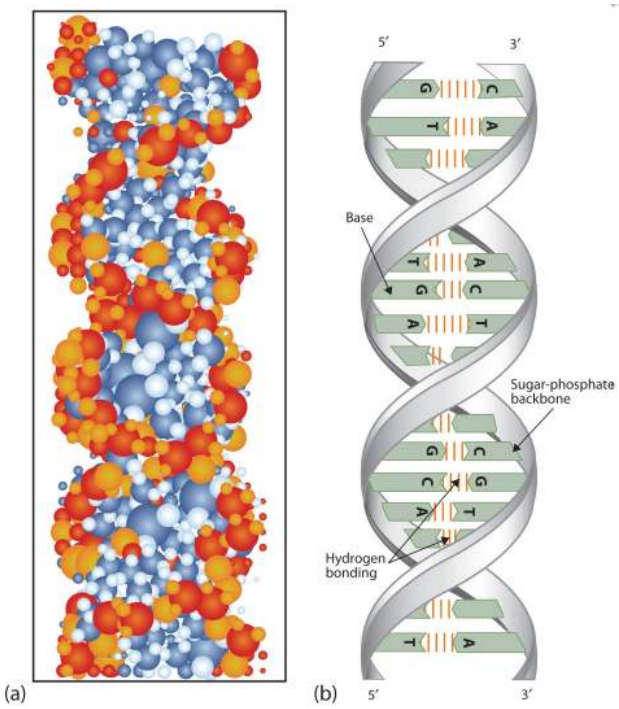


***Figure* 11.3.2** *DNA Double Helix. (a) This represents a computer-generated model of the DNA double helix. (b) This represents a schematic representation of the double helix, showing the complementary bases.*

The structure proposed by Watson and Crick provided clues to the mechanisms by which cells are able to divide into two identical, functioning daughter cells; how genetic data are passed to new generations; and even how proteins are built to required specifications. All these abilities depend on the pairing of complementary bases. Figure **11.3.3** shows the two sets of base pairs and illustrates two things. First, a pyrimidine is paired with a purine in each case, so that the long dimensions of both pairs are identical (1.08 nm).

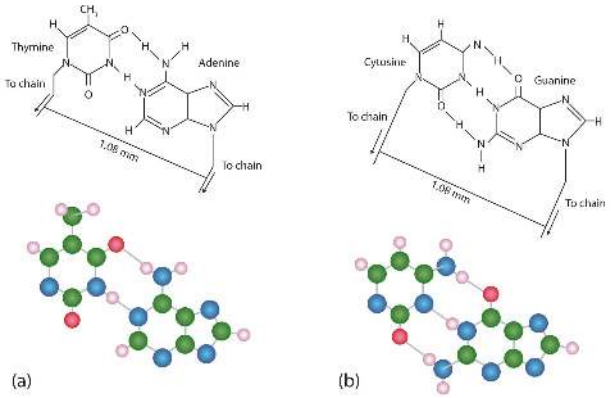


***Figure* 11.3.3** *Complementary Base Pairing. Complementary bases engage in hydrogen bonding with one another: (a) thymine and adenine; (b) cytosine and guanine.*

If two pyrimidines were paired or two purines were paired, the two pyrimidines would take up less space than a purine and a pyrimidine, and the two purines would take up more space, as illustrated in Figure **11.3.4**. If these pairings were ever to occur, the structure of DNA would be like a staircase made with stairs of different widths. For the two strands of the double helix to fit neatly, a pyrimidine must always be paired with a purine. The second thing you should notice in Figure **11.3.3** is that the correct pairing enables formation of three instances of hydrogen bonding between guanine and cytosine and two between adenine and thymine. The additive contribution of this hydrogen bonding imparts great stability to the DNA double helix.

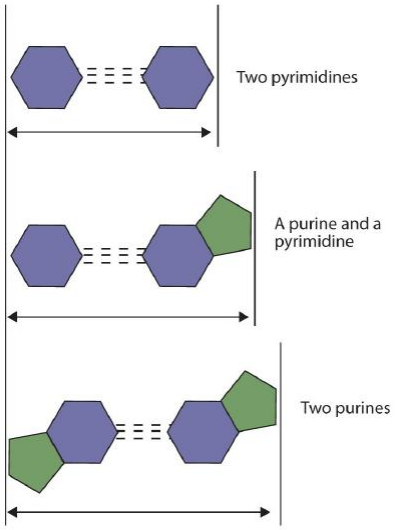


***Figure* 11.3.4** *Difference in Widths of Possible Base Pairs*

11.3.3 Summary

- DNA is the nucleic acid that stores genetic information. RNA is the nucleic acid responsible for using the genetic information in DNA to produce proteins.
- Nucleotides are joined together to form nucleic acids through the phosphate group of one nucleotide connecting in an ester linkage to the OH group on the third carbon atom of the sugar unit of a second nucleotide.
- Nucleic acid sequences are written starting with the nucleotide having a free phosphate group (the 5′ end).
- Two DNA strands link together in an antiparallel direction and are twisted to form a double helix. The nitrogenous bases face the inside of the helix. Guanine is always opposite cytosine, and adenine is always opposite thymine.

11.3.4 Concept Review Exercises

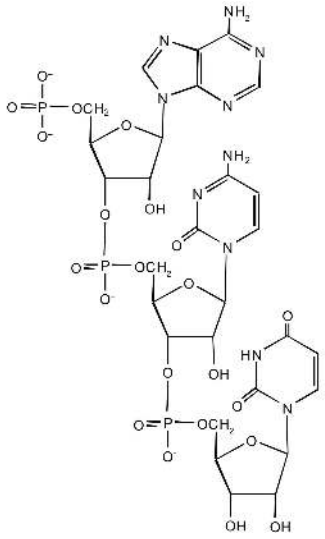
1. a. Name the two kinds of nucleic acids.
   b. Which type of nucleic acid stores genetic information in the cell?
2. What are complementary bases?
3. Why is it structurally important that a purine base always pair with a pyrimidine base in the DNA double helix?

11.3.5 Answers

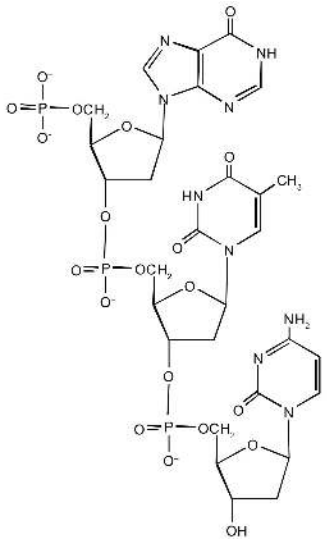
1. a. deoxyribonucleic acid (DNA) and ribonucleic acid (RNA)
   b. DNA
2. the specific base pairings in the DNA double helix in which guanine is paired with cytosine and adenine is paired with thymine
3. The width of the DNA double helix is kept at a constant width, rather than narrowing (if two pyrimidines were across from each other) or widening (if two purines were across from each other).

11.3.6 Exercises

1. For this short RNA segment,
   a. identify the 5′ end and the 3′ end of the molecule.
   b. circle the atoms that comprise the backbone of the nucleic acid chain.
   c. write the nucleotide sequence of this RNA segment.



2. For this short DNA segment,
   a. identify the 5′ end and the 3′ end of the molecule.
   b. circle the atoms that comprise the backbone of the nucleic acid chain.
   c. write the nucleotide sequence of this DNA segment.



3. Which nitrogenous base in DNA pairs with each nitrogenous base?

    a. cytosine
    b. adenine
    c. guanine
    d. thymine

4. Which nitrogenous base in RNA pairs with each nitrogenous base?

    a. cytosine
    b. adenine
    c. guanine
    d. thymine

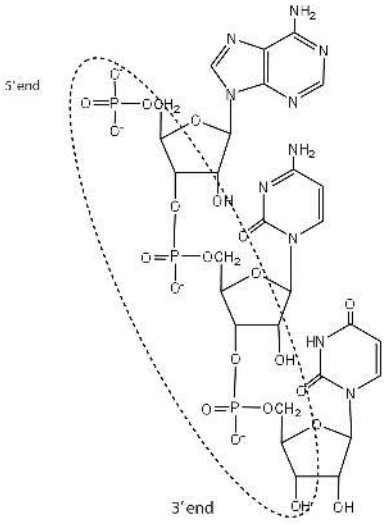
5. How many hydrogen bonds can form between the two strands in the short DNA segment shown below?

    5′ ATGCGACTA 3′ 3′ TACGCTGAT 5′

6. How many hydrogen bonds can form between the two strands in the short DNA segment shown below?

    5′ CGATGAGCC 3′ 3′ GCTACTCGG 5′

11.3.7 Answers

1. 

c. ACU

3. a. guanine
   b. thymine
   c. cytosine
   d. adenine

5. 22 (2 between each AT base pair and 3 between each GC base pair)

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11.4: Replication and Expression of Genetic Information

Learning Objectives

- Describe how a new copy of DNA is synthesized.
- Describe how RNA is synthesized from DNA.
- Identify the different types of RNA and the function of each type of RNA.

We previously stated that deoxyribonucleic acid (DNA) stores genetic information, while ribonucleic acid (RNA) is responsible for transmitting or expressing genetic information by directing the synthesis of thousands of proteins found in living organisms. But how do the nucleic acids perform these functions? Three processes are required: (1) *replication*, in which new copies of DNA are made; (2) *transcription*, in which a segment of DNA is used to produce RNA; and (3) *translation*, in which the information in RNA is translated into a protein sequence.

11.4.1 Replication

New cells are continuously forming in the body through the process of cell division. For this to happen, the DNA in a dividing cell must be copied in a process known as replication. The complementary base pairing of the double helix provides a ready model for how genetic replication occurs. If the two chains of the double helix are pulled apart, disrupting the hydrogen bonding between base pairs, each chain can act as a *template*, or pattern, for the synthesis of a new complementary DNA chain.

The nucleus contains all the necessary enzymes, proteins, and nucleotides required for this synthesis. A short segment of DNA is "unzipped," so that the two strands in the segment are separated to serve as templates for new DNA. DNA polymerase, an enzyme, recognizes each base in a template strand and matches it to the complementary base in a free nucleotide. The enzyme then catalyzes the formation of an ester bond between the 5′ phosphate group of the nucleotide and the 3′ OH end of the new, growing DNA chain. In this way, each strand of the original DNA molecule is used to produce a duplicate of its former partner (Figure **11.4.1**). Whatever information was encoded in the original DNA double helix is now contained in each replicate helix. When the cell divides, each daughter cell gets one of these replicates and thus all of the information that was originally possessed by the parent cell.

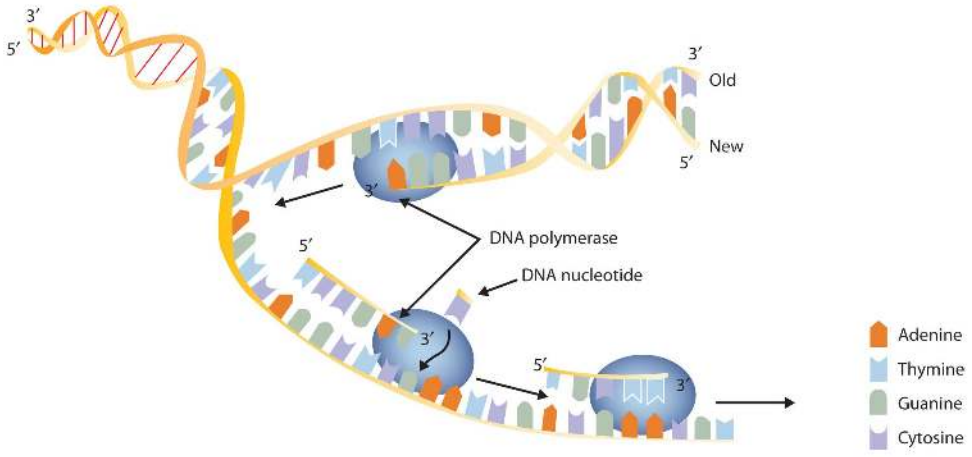


Figure **11.4.1**: A Schematic Diagram of DNA Replication. DNA replication occurs by the sequential unzipping of segments of the double helix. Each new nucleotide is brought into position by DNA polymerase and is added to the growing strand by the formation of a phosphate ester bond. Thus, two double helixes form from one, and each consists of one old strand and one new strand, an outcome called *semiconservative replications*. (This representation is simplified; many more proteins are involved in replication.)

Example 11.4.1

A segment of one strand from a DNA molecule has the sequence 5′-TCCATGAGTTGA-3′. What is the sequence of nucleotides in the opposite, or complementary, DNA chain?

Solution

Knowing that the two strands are antiparallel and that T base pairs with A, while C base pairs with G, the sequence of the complementary strand will be 3′-AGGTACTCAACT-5′ (can also be written as TCAACTCATGGA).

? Exercise 11.4.1

A segment of one strand from a DNA molecule has the sequence 5′-CCAGTGAATTGCCTAT-3′. What is the sequence of nucleotides in the opposite, or complementary, DNA chain?

What do we mean when we say information is encoded in the DNA molecule? An organism's DNA can be compared to a book containing directions for assembling a model airplane or for knitting a sweater. Letters of the alphabet are arranged into words, and these words direct the individual to perform certain operations with specific materials. If all the directions are followed correctly, a model airplane or sweater is produced.

In DNA, the particular sequences of nucleotides along the chains encode the directions for building an organism. Just as *saw* means one thing in English and *was* means another, the sequence of bases CGT means one thing, and TGC means something different. Although there are only four letters—the four nucleotides—in the genetic code of DNA, their sequencing along the DNA strands can vary so widely that information storage is essentially unlimited.

11.4.2 Transcription

For the hereditary information in DNA to be useful, it must be "expressed," that is, used to direct the growth and functioning of an organism. The first step in the processes that constitute DNA expression is the synthesis of RNA, by a template mechanism that is in many ways analogous to DNA replication. Because the RNA that is synthesized is a complementary copy of information contained in DNA, RNA synthesis is referred to as transcription. There are three key differences between replication and transcription:

1. RNA molecules are much shorter than DNA molecules; only a portion of one DNA strand is copied or transcribed to make an RNA molecule.
2. RNA is built from ribonucleotides rather than deoxyribonucleotides.
3. The newly synthesized RNA strand does not remain associated with the DNA sequence it was transcribed from.

The DNA sequence that is transcribed to make RNA is called the *template strand*, while the complementary sequence on the other DNA strand is called the *coding* or *informational strand*. To initiate RNA synthesis, the two DNA strands unwind at specific sites along the DNA molecule. Ribonucleotides are attracted to the uncoiling region of the DNA molecule, beginning at the 3′ end of the template strand, according to the rules of base pairing. Thymine in DNA calls for adenine in RNA, cytosine specifies guanine, guanine calls for cytosine, and adenine requires uracil. RNA polymerase—an enzyme—binds the complementary ribonucleotide and catalyzes the formation of the ester linkage between ribonucleotides, a reaction very similar to that catalyzed by DNA polymerase (Figure **11.4.2**). Synthesis of the RNA strand takes place in the 5′ to 3′ direction, antiparallel to the template strand. Only a short segment of the RNA molecule is hydrogen-bonded to the template strand at any time during transcription. When transcription is completed, the RNA is released, and the DNA helix reforms. The nucleotide sequence of the RNA strand formed during transcription is identical to that of the corresponding coding strand of the DNA, except that U replaces T.

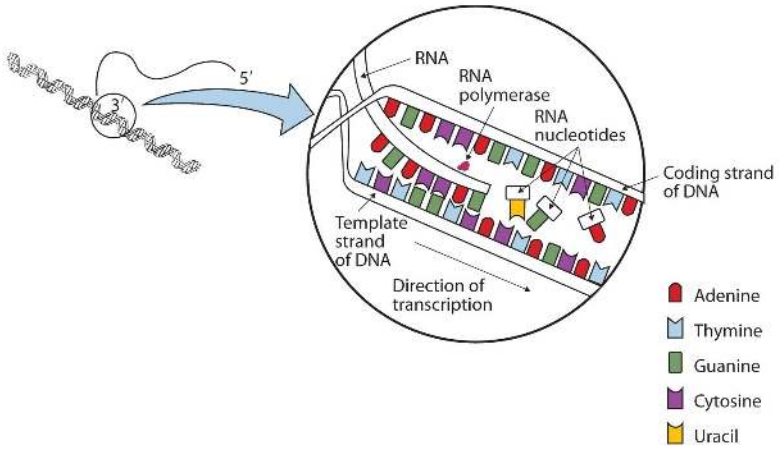


Figure 11.4.2: A Schematic Diagram of RNA Transcription from a DNA Template. The representation of RNA polymerase is proportionately much smaller than the actual molecule, which encompasses about 50 nucleotides at a time.

Example 11.4.2

A portion of the template strand of a gene has the sequence 5′-TCCATGAGTTGA-3′. What is the sequence of nucleotides in the RNA that is formed from this template?

Solution

Four things must be remembered in answering this question: (1) the DNA strand and the RNA strand being synthesized are antiparallel; (2) RNA is synthesized in a 5′ to 3′ direction, so transcription begins at the 3′ end of the template strand; (3) ribonucleotides are used in place of deoxyribonucleotides; and (4) thymine (T) base pairs with adenine (A), A base pairs with uracil (U; in RNA), and cytosine (C) base pairs with guanine (G). The sequence is determined to be 3′-AGGUACUCAACU-5′ (can also be written as 5′-UCAACUCAUGGA-3′).

Exercise 11.4.2

A portion of the template strand of a gene has the sequence 5′-CCAGTGAATTGCCTAT-3′. What is the sequence of nucleotides in the RNA that is formed from this template?

Three types of RNA are formed during transcription: *messenger RNA* (mRNA), *ribosomal RNA* (rRNA), and *transfer RNA* (tRNA). These three types of RNA differ in function, size, and percentage of the total cell RNA (Table 11.4.1). mRNA makes up only a small percent of the total amount of RNA within the cell, primarily because each molecule of mRNA exists for a relatively short time; it is continuously being degraded and resynthesized. The molecular dimensions of the mRNA molecule vary according to the amount of genetic information a given molecule contains. After transcription, which takes place in the nucleus, the mRNA passes into the cytoplasm, carrying the genetic message from DNA to the ribosomes, the sites of protein synthesis. Elsewhere, we shall see how mRNA directly determines the sequence of amino acids during protein synthesis.

Table 11.4.1: Properties of Cellular RNA in Escherichia coli

Type	Function	Approximate Number of Nucleotides	Percentage of Total Cell RNA
mRNA	codes for proteins	100–6,000	~3
rRNA	component of ribosomes	120–2900	83
tRNA	adapter molecule that brings the amino acid to the ribosome	75–90	14

Ribosomes are cellular substructures where proteins are synthesized. They contain about 65% rRNA and 35% protein, held together by numerous noncovalent interactions, such as hydrogen bonding, in an overall structure consisting of two globular particles of unequal size.

Molecules of tRNA, which bring amino acids (one at a time) to the ribosomes for the construction of proteins, differ from one another in the kinds of amino acid each is specifically designed to carry. A set of three nucleotides, known as a codon, on the mRNA determines which kind of tRNA will add its amino acid to the growing chain. Each of the 20 amino acids found in proteins has at least one corresponding kind of tRNA, and most amino acids have more than one.

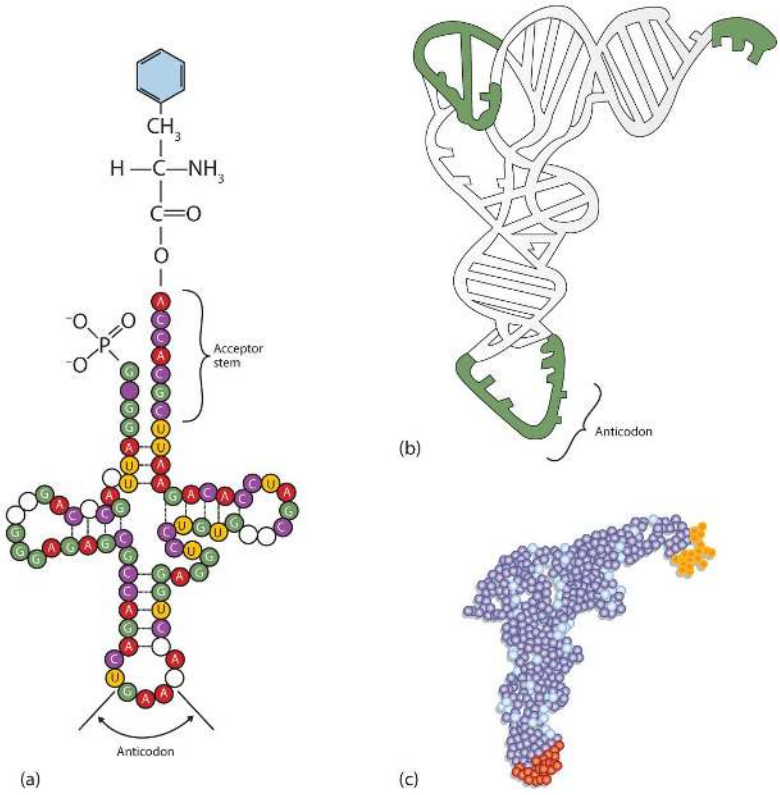


Figure 11.4.3: Transfer RNA. (a) In the two-dimensional structure of a yeast tRNA molecule for phenylalanine, the amino acid binds to the acceptor stem located at the 3′ end of the tRNA primary sequence. (The nucleotides that are not specifically identified here are slightly altered analogs of the four common ribonucleotides A, U, C, and G.) (b) In the three-dimensional structure of yeast phenylalanine tRNA, note that the anticodon loop is at the bottom and the acceptor stem is at the top right. (c) This shows a space-filling model of the tRNA.

The two-dimensional structure of a tRNA molecule has three distinctive loops, reminiscent of a cloverleaf (Figure 11.4.3). On one loop is a sequence of three nucleotides that varies for each kind of tRNA. This triplet, called the anticodon, is complementary to and pairs with the codon on the mRNA. At the opposite end of the molecule is the acceptor stem, where the amino acid is attached.

Summary

- In DNA replication, each strand of the original DNA serves as a template for the synthesis of a complementary strand.
- DNA polymerase is the primary enzyme needed for replication.
- In transcription, a segment of DNA serves as a template for the synthesis of an RNA sequence.
- RNA polymerase is the primary enzyme needed for transcription.
- Three types of RNA are formed during transcription: mRNA, rRNA, and tRNA.

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11.5: Protein Synthesis and the Genetic Code

Learning Objectives

- describe the characteristics of the genetic code.
- describe how a protein is synthesized from mRNA.

One of the definitions of a gene is as follows: a segment of deoxyribonucleic acid (DNA) carrying the code for a specific polypeptide. Each molecule of messenger RNA (mRNA) is a transcribed copy of a gene that is used by a cell for synthesizing a polypeptide chain. If a protein contains two or more different polypeptide chains, each chain is coded by a different gene. We turn now to the question of how the sequence of nucleotides in a molecule of ribonucleic acid (RNA) is translated into an amino acid sequence.

How can a molecule containing just 4 different nucleotides specify the sequence of the 20 amino acids that occur in proteins? If each nucleotide coded for 1 amino acid, then obviously the nucleic acids could code for only 4 amino acids. What if amino acids were coded for by groups of 2 nucleotides? There are 4^2, or 16, different combinations of 2 nucleotides (AA, AU, AC, AG, UU, and so on). Such a code is more extensive but still not adequate to code for 20 amino acids. However, if the nucleotides are arranged in groups of 3, the number of different possible combinations is 4^3, or 64. Here we have a code that is extensive enough to direct the synthesis of the primary structure of a protein molecule.



***Video**: NDSU Virtual Cell Animations project animation "Translation". For more information, see VCell, NDSU(opens in new window) [vcell.ndsu.nodak.edu]*

The genetic code can therefore be described as the identification of each group of three nucleotides and its particular amino acid. The sequence of these triplet groups in the mRNA dictates the sequence of the amino acids in the protein. Each individual three-nucleotide coding unit, as we have seen, is called a *codon*.

Protein synthesis is accomplished by orderly interactions between mRNA and the other ribonucleic acids (transfer RNA [tRNA] and ribosomal RNA [rRNA]), the ribosome, and more than 100 enzymes. The mRNA formed in the nucleus during transcription is transported across the nuclear membrane into the cytoplasm to the ribosomes—carrying with it the genetic instructions. The process in which the information encoded in the mRNA is used to direct the sequencing of amino acids and thus ultimately to synthesize a protein is referred to as translation.

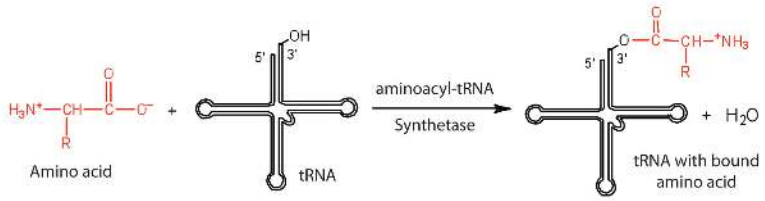


Figure 11.5.1: Binding of an Amino Acid to Its tRNA

Before an amino acid can be incorporated into a polypeptide chain, it must be attached to its unique tRNA. This crucial process requires an enzyme known as aminoacyl-tRNA synthetase (Figure 11.5.1). There is a specific aminoacyl-tRNA synthetase for each amino acid. This high degree of specificity is vital to the incorporation of the correct amino acid into a protein. After the amino acid molecule has been bound to its tRNA carrier, protein synthesis can take place. Figure 11.5.2 depicts a schematic stepwise representation of this all-important process.

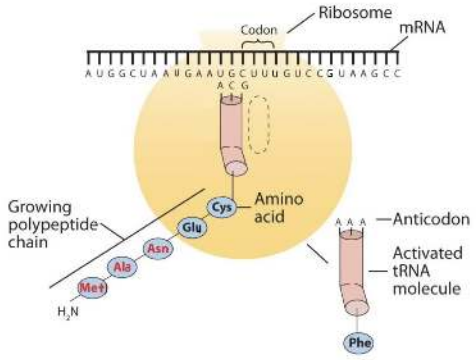


Figure 11.5.2a: The Elongation Steps in Protein Synthesis - Protein synthesis is already in progress at the ribosome. The growing polypeptide chain is attached to the tRNA that brought in the previous amino acid (in this illustration, cys.)

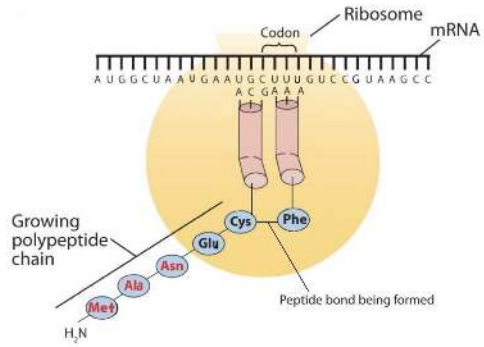


Figure 11.5.2b: The Elongation Steps in Protein Synthesis - An activated tRNA, which has the anticodon AAA, binds to the ribosome next to the previous bound tRNA and interacts with the mRNA molecule though basepairing of the codon and anticodon. The amino acid Phe is being incorporated into the polypeptide chain by the formation of a peptide linkage between the carboxyl group of Cys and the amino acid group of the Phe. This reaction is catalyzed by the enzyme peptidyl transferase, a component of the ribosome.

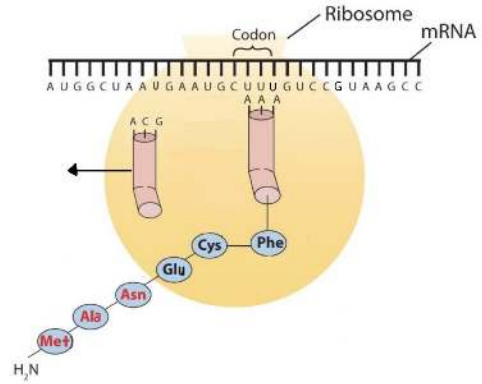


Figure **11.5.2*c***: The Elongation Steps in Protein Synthesis - The Cys-Phe linkage is now complete, and the growing polypeptide chain remains attached to the tRNA for Phe.

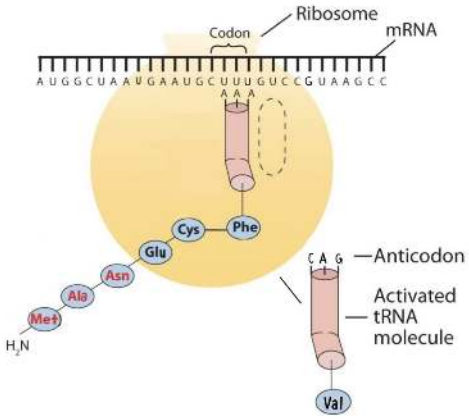


Figure **11.5.2*d***: The Elongation Steps in Protein Synthesis - The ribosome moves to the right along the mRNA strand. This shift brings the next codon, GUC, into its correct position on the surface of the ribosome. Note that an activated tRNA molecule, containing the next amino acid to be attached to the chain is moving to the ribosome. Steps (b)-(d) will be repeated until the ribosome reaches a stop codon.

Early experimenters were faced with the task of determining which of the 64 possible codons stood for each of the 20 amino acids. The cracking of the genetic code was the joint accomplishment of several well-known geneticists—notably Har Khorana, Marshall Nirenberg, Philip Leder, and Severo Ochoa—from 1961 to 1964. The genetic dictionary they compiled, summarized in Figure **11.5.3**, shows that 61 codons code for amino acids, and 3 codons serve as signals for the termination of polypeptide synthesis (much like the period at the end of a sentence). Notice that only methionine (AUG) and tryptophan (UGG) have single codons. All other amino acids have two or more codons.

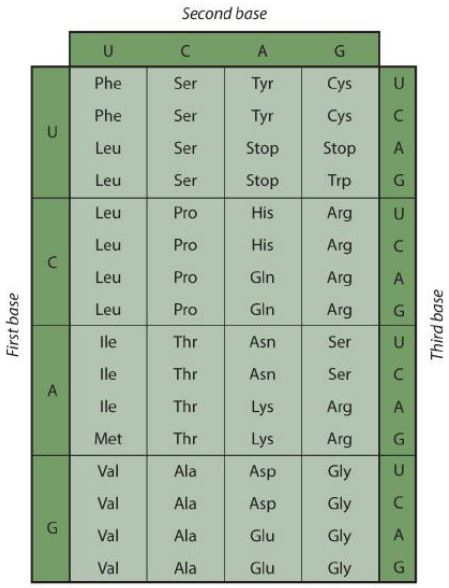


Figure **11.5.3**: The Genetic Code

Example 11.5.1: Using the Genetic Code

A portion of an mRNA molecule has the sequence 5′-AUGCCACGAGUUGAC-3′. What amino acid sequence does this code for?

Solution

Use Figure **11.5.3** to determine what amino acid each set of three nucleotides (codon) codes for. Remember that the sequence is read starting from the 5′ end and that a protein is synthesized starting with the N-terminal amino acid. The sequence 5′-AUGCCACGAGUUGAC-3′ codes for met-pro-arg-val-asp.

Exercise 11.5.4

A portion of an RNA molecule has the sequence 5′-AUGCUGAAUUGCGUAGGA-3′. What amino acid sequence does this code for?

Further experimentation threw much light on the nature of the genetic code, as follows:

1. The code is virtually universal; animal, plant, and bacterial cells use the same codons to specify each amino acid (with a few exceptions).
2. The code is “degenerate”; in all but two cases (methionine and tryptophan), more than one triplet codes for a given amino acid.
3. The first two bases of each codon are most significant; the third base often varies. This suggests that a change in the third base by a mutation may still permit the correct incorporation of a given amino acid into a protein. The third base is sometimes called the “wobble” base.
4. The code is continuous and nonoverlapping; there are *no* nucleotides between codons, and adjacent codons do not overlap.
5. The three termination codons are read by special proteins called release factors, which signal the end of the translation process.
6. The codon AUG codes for methionine and is also the initiation codon. Thus methionine is the first amino acid in each newly synthesized polypeptide. This first amino acid is usually removed enzymatically before the polypeptide chain is completed; the vast majority of polypeptides do not begin with methionine.

Summary

In translation, the information in mRNA directs the order of amino acids in protein synthesis. A set of three nucleotides (codon) codes for a specific amino acid.

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11.6: Mutations and Genetic Diseases

Learning Objectives

- To describe the causes of genetic mutations and how they lead to genetic diseases.

We have seen that the sequence of nucleotides in a cell's deoxyribonucleic acid (DNA) is what ultimately determines the sequence of amino acids in proteins made by the cell and thus is critical for the proper functioning of the cell. On rare occasions, however, the nucleotide sequence in DNA may be modified either spontaneously (by errors during replication, occurring approximately once for every 10 billion nucleotides) or from exposure to heat, radiation, or certain chemicals. Any chemical or physical change that alters the nucleotide sequence in DNA is called a mutation. When a mutation occurs in an egg or sperm cell that then produces a living organism, it will be inherited by all the offspring of that organism.

Common types of mutations include **substitution** (a different nucleotide is substituted), **insertion** (the addition of a new nucleotide), and **deletion** (the loss of a nucleotide). These changes within DNA are called point mutations because only one nucleotide is substituted, added, or deleted (Figure **11.6.1**). Because an insertion or deletion results in a frame-shift that changes the reading of subsequent codons and, therefore, alters the entire amino acid sequence that follows the mutation, insertions and deletions are usually more harmful than a substitution in which only a single amino acid is altered.

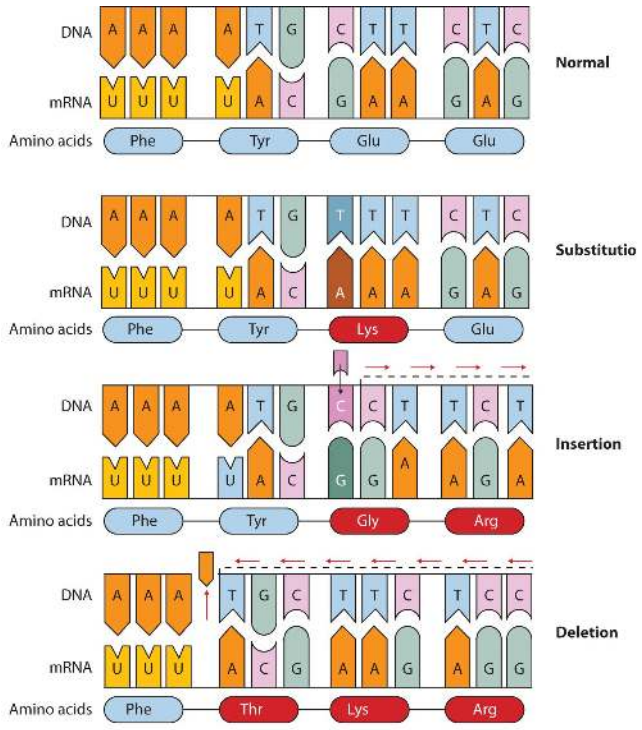


Figure **11.6.1**: Three Types of Point Mutations

The chemical or physical agents that cause mutations are called mutagens. Examples of physical mutagens are ultraviolet (UV) and gamma radiation. Radiation exerts its mutagenic effect either directly or by creating free radicals that in turn have mutagenic effects. Radiation and free radicals can lead to the formation of bonds between nitrogenous bases in DNA. For example, exposure to UV light can result in the formation of a covalent bond between two adjacent thymines on a DNA strand, producing a thymine dimer (Figure **11.6.2**). If not repaired, the dimer prevents the formation of the double helix at the point where it occurs. The genetic disease *xeroderma pigmentosum* is caused by a lack of the enzyme that cuts out the thymine dimers in damaged DNA. Individuals affected by this condition are abnormally sensitive to light and are more prone to skin cancer than normal individuals.

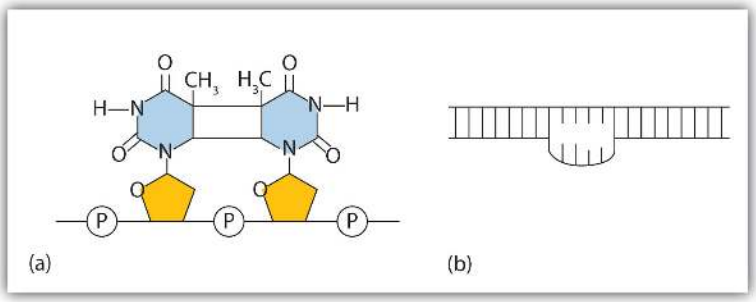


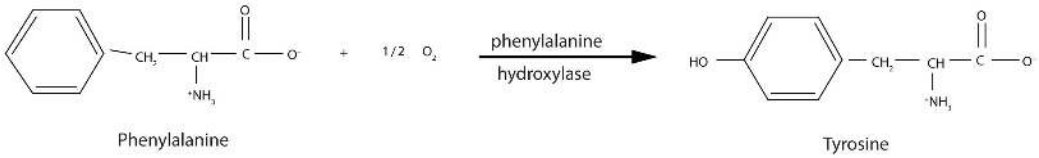
Figure **11.6.2**: An Example of Radiation Damage to DNA. (a) The thymine dimer is formed by the action of UV light. (b) When a defect in the double strand is produced by the thymine dimer, this defect temporarily stops DNA replication, but the dimer can be removed, and the region can be repaired by an enzyme repair system.

Sometimes gene mutations are beneficial, but most of them are detrimental. For example, if a point mutation occurs at a crucial position in a DNA sequence, the affected protein will lack biological activity, perhaps resulting in the death of a cell. In such cases the altered DNA sequence is lost and will not be copied into daughter cells. Nonlethal mutations in an egg or sperm cell may lead to metabolic abnormalities or hereditary diseases. Such diseases are called *inborn errors of metabolism* or genetic diseases. A partial listing of genetic diseases is presented in Figure **11.6.1**, and two specific diseases are discussed in the following sections. In most cases, the defective gene results in a failure to synthesize a particular enzyme.

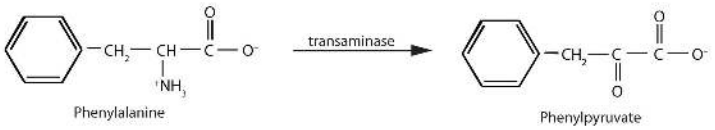
Figure **11.6.1**: Some Representative Genetic Diseases in Humans and the Protein or Enzyme Responsible

Disease	Responsible Protein or Enzyme
alkaptonuria	homogentisic acid oxidase
galactosemia	galactose 1-phosphate uridyl transferase, galactokinase, or UDP galactose epimerase
Gaucher disease	glucocerebrosidase
gout and Lesch-Nyhan syndrome	hypoxanthine-guanine phosphoribosyl transferase
hemophilia	antihemophilic factor (factor VIII) or Christmas factor (factor IX)
homocystinuria	cystathionine synthetase
maple syrup urine disease	branched chain α-keto acid dehydrogenase complex
McArdle syndrome	muscle phosphorylase
Niemann-Pick disease	sphingomyelinase
phenylketonuria (PKU)	phenylalanine hydroxylase
sickle cell anemia	hemoglobin
Tay-Sachs disease	hexosaminidase A
tyrosinemia	fumarylacetoacetate hydrolase or tyrosine aminotransferase
von Gierke disease	glucose 6-phosphatase
Wilson disease	Wilson disease protein

PKU results from the absence of the enzyme phenylalanine hydroxylase. Without this enzyme, a person cannot convert phenylalanine to tyrosine, which is the precursor of the neurotransmitters dopamine and norepinephrine as well as the skin pigment melanin.



When this reaction cannot occur, phenylalanine accumulates and is then converted to higher than normal quantities of phenylpyruvate. The disease acquired its name from the high levels of phenylpyruvate (a phenyl ketone) in urine. Excessive amounts of phenylpyruvate impair normal brain development, which causes severe mental retardation.



PKU may be diagnosed by assaying a sample of blood or urine for phenylalanine or one of its metabolites. Medical authorities recommend testing every newborn's blood for phenylalanine within 24 h to 3 weeks after birth. If the condition is detected, mental retardation can be prevented by immediately placing the infant on a diet containing little or no phenylalanine. Because phenylalanine is plentiful in naturally produced proteins, the low-phenylalanine diet depends on a synthetic protein substitute plus very small measured amounts of naturally produced foods. Before dietary treatment was introduced in the early 1960s, severe mental retardation was a common outcome for children with PKU. Prior to the 1960s, 85% of patients with PKU had an intelligence quotient (IQ) less than 40, and 37% had IQ scores below 10. Since the introduction of dietary treatments, however, over 95% of children with PKU have developed normal or near-normal intelligence. The incidence of PKU in newborns is about 1 in 12,000 in North America.

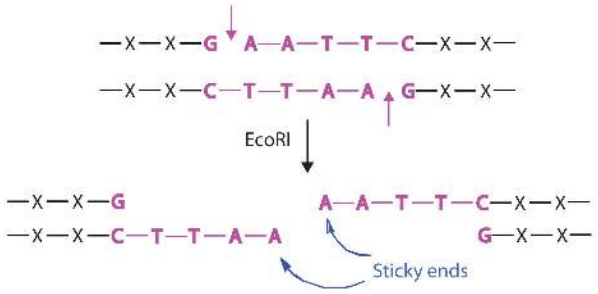
> *Every state in the United States has mandated that screening for PKU be provided to all newborns.*

Several genetic diseases are collectively categorized as *lipid-storage diseases*. Lipids are constantly being synthesized and broken down in the body, so if the enzymes that catalyze lipid degradation are missing, the lipids tend to accumulate and cause a variety of medical problems. When a genetic mutation occurs in the gene for the enzyme hexosaminidase A, for example, gangliosides cannot be degraded but accumulate in brain tissue, causing the ganglion cells of the brain to become greatly enlarged and nonfunctional. This genetic disease, known as *Tay-Sachs disease*, leads to a regression in development, dementia, paralysis, and blindness, with death usually occurring before the age of three. There is currently no treatment, but Tay-Sachs disease can be diagnosed in a fetus by assaying the amniotic fluid (amniocentesis) for hexosaminidase A. A blood test can identify Tay-Sachs carriers—people who inherit a defective gene from only one rather than both parents—because they produce only half the normal amount of hexosaminidase A, although they do not exhibit symptoms of the disease.

11.6.1 Looking Closer: Recombinant DNA Technology

More than 3,000 human diseases have been shown to have a genetic component, caused or in some way modulated by the person's genetic composition. Moreover, in the last decade or so, researchers have succeeded in identifying many of the genes and even mutations that are responsible for specific genetic diseases. Now scientists have found ways of identifying and isolating genes that have specific biological functions and placing those genes in another organism, such as a bacterium, which can be easily grown in culture. With these techniques, known as *recombinant DNA technology*, the ability to cure many serious genetic diseases appears to be within our grasp.

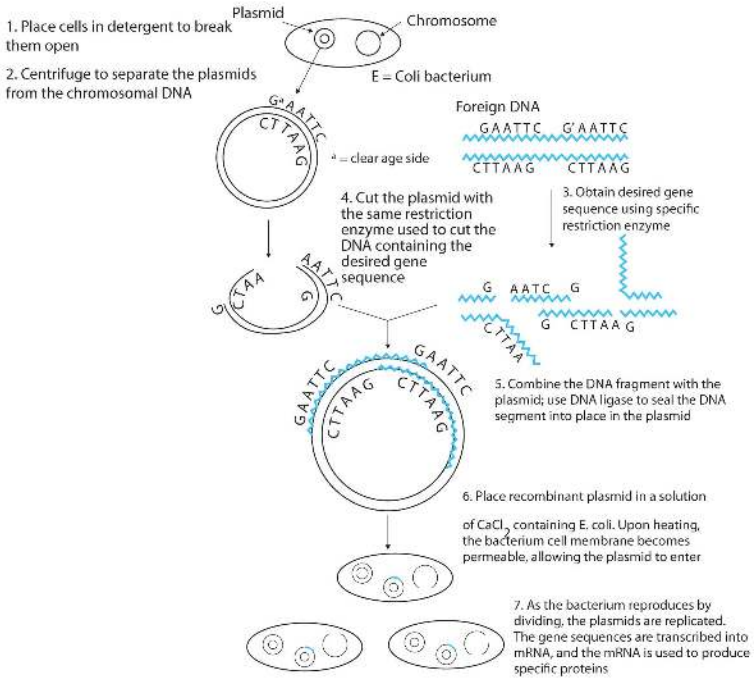
Isolating the specific gene or genes that cause a particular genetic disease is a monumental task. One reason for the difficulty is the enormous amount of a cell's DNA, only a minute portion of which contains the gene sequence. Thus, the first task is to obtain smaller pieces of DNA that can be more easily handled. Fortunately, researchers are able to use *restriction enzymes* (also known as restriction endonucleases), discovered in 1970, which are enzymes that cut DNA at specific, known nucleotide sequences, yielding DNA fragments of shorter length. For example, the restriction enzyme *EcoRI* recognizes the nucleotide sequence shown here and cuts both DNA strands as indicated:



Once a DNA strand has been fragmented, it must be cloned; that is, multiple identical copies of each DNA fragment are produced to make sure there are sufficient amounts of each to detect and manipulate in the laboratory. Cloning is accomplished by inserting the individual DNA fragments into phages (bacterial viruses) that can enter bacterial cells and be replicated. When a bacterial cell infected by the modified phage is placed in an appropriate culture medium, it forms a colony of cells, all containing copies of the original DNA fragment. This technique is used to produce many bacterial colonies, each containing a different DNA fragment. The result is a *DNA library*, a collection of bacterial colonies that together contain the entire genome of a particular organism.

The next task is to screen the DNA library to determine which bacterial colony (or colonies) has incorporated the DNA fragment containing the desired gene. A short piece of DNA, known as a *hybridization probe*, which has a nucleotide sequence complementary to a known sequence in the gene, is synthesized, and a radioactive phosphate group is added to it as a "tag." You might be wondering how researchers are able to prepare such a probe if the gene has not yet been isolated. One way is to use a segment of the desired gene isolated from another organism. An alternative method depends on knowing all or part of the amino acid sequence of the protein produced by the gene of interest: the amino acid sequence is used to produce an approximate genetic code for the gene, and this nucleotide sequence is then produced synthetically. (The amino acid sequence used is carefully chosen to include, if possible, many amino acids such as methionine and tryptophan, which have only a single codon each.)

After a probe identifies a colony containing the desired gene, the DNA fragment is clipped out, again using restriction enzymes, and spliced into another replicating entity, usually a plasmid. *Plasmids* are tiny mini-chromosomes found in many bacteria, such as *Escherichia coli* (*E. coli*). A recombined plasmid would then be inserted into the host organism (usually the bacterium *E. coli*), where it would go to work to produce the desired protein.



Proponents of recombinant DNA research are excited about its great potential benefits. An example is the production of human growth hormone, which is used to treat children who fail to grow properly. Formerly, human growth hormone was available only in tiny amounts obtained from cadavers. Now it is readily available through recombinant DNA technology. Another gene that has been cloned is the gene for epidermal growth factor, which stimulates the growth of skin cells and can be used to speed the healing of burns and other skin wounds. Recombinant techniques are also a powerful research tool, providing enormous aid to scientists as they map and sequence genes and determine the functions of different segments of an organism's DNA.

In addition to advancements in the ongoing treatment of genetic diseases, recombinant DNA technology may actually lead to cures. When appropriate genes are successfully inserted into *E. coli*, the bacteria can become miniature pharmaceutical factories, producing great quantities of insulin for people with diabetes, clotting factor for people with hemophilia, missing enzymes, hormones, vitamins, antibodies, vaccines, and so on. Recent accomplishments include the production in *E. coli* of recombinant DNA molecules containing synthetic genes for tissue plasminogen activator, a clot-dissolving enzyme that can rescue heart attack victims, as well as the production of vaccines against hepatitis B (humans) and hoof-and-mouth disease (cattle).

Scientists have used other bacteria besides *E. coli* in gene-splicing experiments and also yeast and fungi. Plant molecular biologists use a bacterial plasmid to introduce genes for several foreign proteins (including animal proteins) into plants. The bacterium is *Agrobacterium tumefaciens*, which can cause tumors in many plants, but which can be treated so that its tumor-causing ability is eliminated. One practical application of its plasmids would be to enhance a plant's nutritional value by transferring into it the gene necessary for the synthesis of an amino acid in which the plant is normally deficient (for example, transferring the gene for methionine synthesis into pinto beans, which normally do not synthesize high levels of methionine).

> Restriction enzymes have been isolated from a number of bacteria and are named after the bacterium of origin. *EcoRI* is a restriction enzyme obtained from the R strain of *E. coli*. The roman numeral I indicates that it was the first restriction enzyme obtained from this strain of bacteria.

Summary

- The nucleotide sequence in DNA may be modified either spontaneously or from exposure to heat, radiation, or certain chemicals and can lead to mutations.
- Mutagens are the chemical or physical agents that cause mutations.
- Genetic diseases are hereditary diseases that occur because of a mutation in a critical gene.

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11.7: Viruses

Learning Objectives

- To explain how viruses reproduce in cells.

Viruses are visible only under an electron microscope. They come in a variety of shapes, ranging from spherical to rod shaped. The fact that they contain either deoxyribonucleic acid (DNA) or ribonucleic acid (RNA)—*but never both*—allows them to be divided into two major classes: DNA viruses and RNA viruses (Figure **11.7.1**).

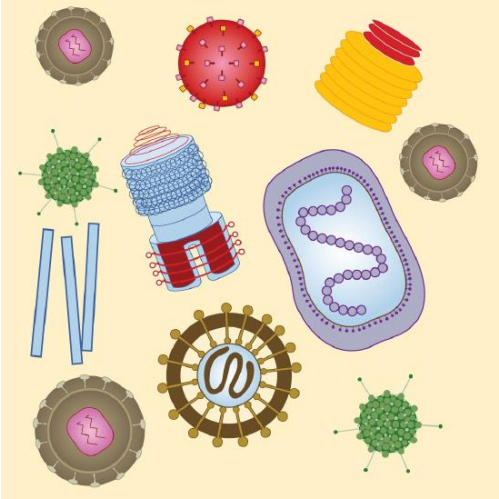


Figure **11.7.1**: Viruses. Viruses come in a variety of shapes that are determined by their protein coats.

Most *RNA viruses* use their nucleic acids in much the same way as the DNA viruses, penetrating a host cell and inducing it to replicate the viral RNA and synthesize viral proteins. The new RNA strands and viral proteins are then assembled into new viruses. Some RNA viruses, however, called retroviruses (Figure **11.7.2**), synthesize DNA in the host cell, in a process that is the reverse of the DNA-to-RNA transcription that normally occurs in cells. The synthesis of DNA from an RNA template is catalyzed by the enzyme reverse transcriptase.

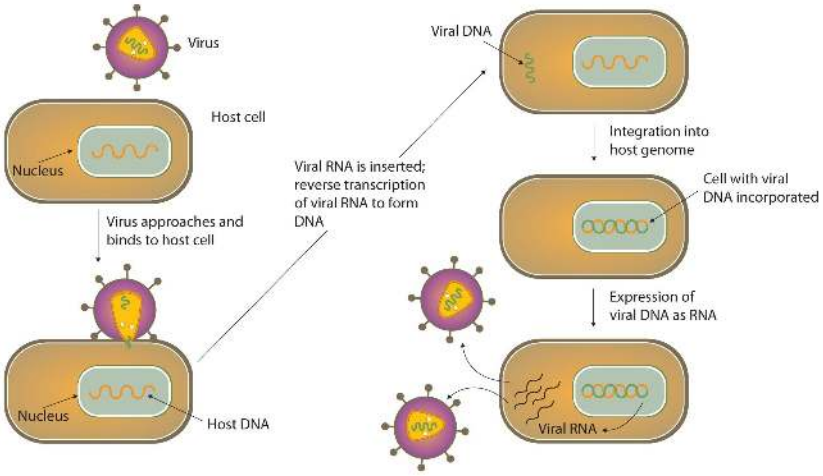
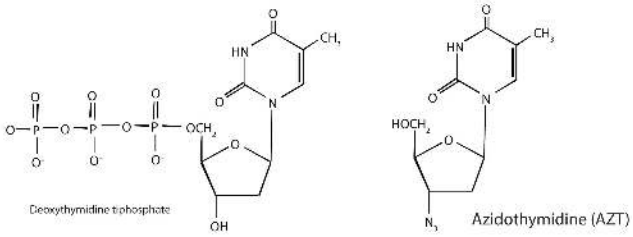
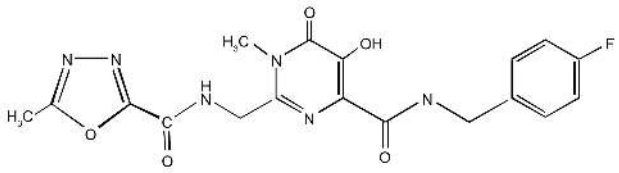


Figure **11.7.2**: Life Cycle of a Retrovirus

In 1987, **azidothymidine** (AZT, also known as zidovudine or the brand name Retrovir) became the first drug approved for the treatment of AIDS. It works by binding to reverse transcriptase in place of deoxythymidine triphosphate, after which, because AZT does not have a 3′OH group, further replication is blocked. In the past 10 years, several other drugs have been approved that also act by inhibiting the viral reverse transcriptase.



Raltegravir (Isentress) is a newer anti-AIDS drug that was approved by the FDA in October 2007. This drug inhibits the integrase enzyme that is needed to integrate the HIV DNA into cellular DNA, an essential step in the production of more HIV particles.



A major problem in treating HIV infections is that the virus can become resistant to any of these drugs. One way to combat the problem has been to administer a “cocktail” of drugs, typically a combination of two reverse transcriptase inhibitors along with a protease inhibitor. These treatments can significantly reduce the amount of HIV in an infected person.

Career Focus: Genetics Counselor

A genetics counselor works with individuals and families who have birth defects or genetic disorders or a family history of a disease, such as cancer, with a genetic link. A genetics counselor may work in a variety of health-care settings (such as a hospital) to obtain family medical and reproductive histories; explain how genetic conditions are inherited; explain the causes, diagnosis, and care of these conditions; interpret the results of genetic tests; and aid the individual or family in making decisions regarding genetic diseases or conditions. A certified genetics counselor must obtain a master’s degree from an accredited program. Applicants to these graduate programs usually have an undergraduate degree in biology, psychology, or genetics.



Photo courtesy of the United States National Institutes for Health, commons.wikimedia.org/wiki/File:Geneticcounseling.jpg.

Summary

Viruses are very small infectious agents that contain either DNA or RNA as their genetic material. The human immunodeficiency virus (HIV) causes acquired immunodeficiency syndrome (AIDS).

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